SHORT-TERM CEPSTRAL ANALYSIS APPLIED TO VOCAL FOLD EDEMA DETECTION

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Abstract: Digital signal processing techniques have been used to perform an acoustic analysis for vocal quality assessment due to the simplicity and the non-invasive nature of the measurement procedures. Their employment is of special interest, as they can provide an objective diagnosis of pathological voices, and may be used as complementary tool in laryngoscope exams. The acoustic modeling of pathological voices is very important to discriminate normal and pathological voices. The degree of reliability and effectiveness of the discriminating process depends on the appropriate acoustic feature extraction. This paper aims at specifying and evaluating the acoustic features for vocal fold edema through a parametric modeling approach based on the resonant structure of the human speech production mechanism, and a nonparametric approach related to human auditory perception system. For this purpose, LPC and LPC-based cepstral coefficients, and mel-frequency cepstral coefficients are used. A vector-quantizing-trained distance classifier is used in the discrimination process.

1 INTRODUCTION

A great range of diseases causes modifications in the voice. These are related to the vocal tract pathologies, as well as many others which are provoked by neuro-degenerative diseases (Davis, 1979; Quek et al, 2002).

Voice quality of patients have been evaluated by several techniques, most of which are based on listening to the patient's voice and on the inspection of the vocal folds through laryngoscopy. The first method is subjective, which could provide different results, depending of the professional experience. The second one has the advantage of being more accurate, but it requires high cost tools such as special light sources and specialized video-camera equipments. In addition, it is considered an invasive technique, which may cause discomfort to the patients

Non-invasive techniques based on acoustic analysis of the speech signal can be used to

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diagnosis and evaluation of medical treatments of diseases which provoke vocal disorders. Moreover, acoustic analysis can be employed to the precocious detection of pathologies in the vocal folds or the evaluation of the vocal quality of patients subject to surgical processes in the vocal folds.

Some researchers have dedicated their efforts for obtaining efficient methods to discriminate normal and pathological voices using acoustic analysis (Godino-Llorente et al, 2006; Shama et al, 2007; Murphy and Akande, 2007; Dibazar et al, 2006; Umapathy et al, 2005). Those methods have employed techniques based on the estimation of glottal noise, feature extraction from decomposed time-frequency parameters, linear prediction modeling-based measures and measures based on auditory modeling. However, there is limited agreement on which parameters are more suitable for acoustic modeling of particular pathology. An efficient and reliable acoustic modeling of the pathology is necessary, when pattern classification of vocal disorders is being used. Thus, the vector of acoustic characteristics of the pathological voice should be carefully chosen to be quite representative.

In this research, techniques of digital signal processing are used to carry out an acoustic analysis of pathological voice. The study is focused on the case of voice disorders provoked by edemas in the vocal folds, using the evaluation of following features: LPC coefficients, LPC-based cepstral coefficients and mel-frequency cepstral coefficients. The irregularities in the features of the normal voice in comparison with the pathological voice are observed and analyzed. A vector quantization technique (VQ) was used associated with a distortion measurement to classify the speech signal. The VQ was trained with voices affected by the considered pathology.

The results can be used in order to build an effective method basis for detecting pathological voices. The outline of the paper is as follows: basis for an acoustic modeling of disordered voices, database and methods, results and conclusions.

2 ACOUSTIC MODELING BASIS

Feature extraction of speech signals is frequently employed to acoustic evaluation of pathological voices. Specific statistical parameters based on the linear model of speech production can be used as significant acoustic features. It is known that the voice signal is produced as a result of glottal pulses or a signal varying randomly, like noise excitation filtered by the vocal tract (Rabiner and Schafer, 1978).

Vocal fold pathology such as vocal fold edema affects the vocal fold or other components of the vibratory system, producing an irregular vibration. In fact, it is widely known that pathological vocal folds can present variation in the cycle of the vibratory movement because of changes in the vocal folds elasticity. This occurs due to incomplete closure of the vocal folds in all glottal cycles. The changes in the vocal folds morphology can provoke significant modifications to the acoustic signal. Although the pathology is located in the vibratory system it can affect the regular articulatory movement during the speech production. Furthermore, components of the resonating system can be affected, resulting in changes of the vocal shape, producing irregularities on the spectral properties. A modification in the fundamental frequency and on the spectral shape can be observed as a result of the vocal disorders (Godino-Llorente et al, 2006).

The understanding of changes in the acoustic features involving excitation and resonance effects is the key to an efficient disordered voices modeling. The speech signal contains information about both vocal tract and excitation source.

The handle of the variability present in the speech signal is one of the main challenges of acoustic modeling. The variability arises from the dynamic nature of the vocal tract. Thus, speech is dynamic or time-varying and the modeling needs to consider two aspects: 1) the explicit temporal dependencies of the pathological voice, and 2) the estimation of the features have to be based on statistical short-time analysis. The model has to represent the irregularities behaviour introduced by the pathology itself.

Two parametric methods based on the linear model for the human speech production mechanism approaches have been considered on the literature so far: 1) linear predictive coding (LPC) analysis; 2) LPC-based cepstral analysis (Godino-Llorente et al, 2006, Marinaki et al, 2004, Parsa and Jamieson, 2001; Gavidia-Ceballos, 1996).

The LPC estimates each speech sample based on a linear combination of the p previous samples; a larger p enables a more accurate model. It provides a set of speech parameters that represent the vocal tract (Rabiner and Schafer, 1978). It is expected that any change in the anatomical structure of the vocal

tract, because of pathology, affects the LPC coefficients. A linear predictor with p prediction coefficients, α_k is defined as a system whose output is

$$\tilde{s}(n) = \sum_{k=1}^{p} \alpha(k) s(n-k) \quad (1)$$

In the LPC-based cepstral analysis is considered that speech signal is the result of convolving excitation with vocal tract sample response by cepstral analysis, and it is possible to separate the two components. One step in cepstral deconvolution transforms a product of two spectra into a sum of two signals. In practice, the complex cepstrum is not needed. The real cepstrum suffices, obtained with digital algorithm as follows (Rabiner and Schafer, 1978; O'Shaugnessy, 2000):

$$c(n) = \frac{1}{N} \sum_{k=0}^{N-1} \log[X(k)] e^{j2\pi kn/N} \quad n = 0, 1, ..., N\text{-}1 \quad (2)$$

Cepstral coefficients can be computed recursively from the linear predictor coefficients, α_i, by means of (Furui, 1981):

$$\begin{cases} c(1) = -\alpha(1) \\ c(i) = -\alpha(i) - \sum_{k=1}^{i-1} (1 - \frac{k}{i}) \alpha(k) c(i-k) \quad 1 < i \leq p \end{cases} \quad (3)$$

Other authors have investigated the use of mel-frequency cepstral (MFC) analysis which is a measure based on the human auditory perception system (O'Shaughnessy, 2000). A nonparametric MFC-based approach can be derived from fast Fourier transform (FFT-MFC) (Godino-Llorente et al, 2006, Dibazar et al, 2006, Murphy and Akande, 2007, Bou-ghazale and Hansen, 2000).

Cepstrum analysis is based on the human auditory perception system, which incorporates some aspects of audition. This method provides a logarithm relationship between the real and the perceived frequency scales (mels). Mel-frequency cepstral coefficients $c(n)$ are calculated by means of (O'Shaughnessy, 2000):

$$c(n) = \sum_{k=1}^{M} \log(S_k) . \cos[n(k - \frac{1}{2})] . \frac{\pi}{\mathrm{M}} \quad n = 0, 1, ..., \mathrm{M}, \quad (4)$$

where M is the number of mel bands in the mel scale and $S(k)$ is given by

$$S(k) = \sum_{j=1}^{NFFT} W_k(j) . X(j) \quad k = 1, ..., M, \quad (5)$$

where $W_k(j)$ is the triangular weighting windows associated with the mel-scales, and $X(j)$ is the NFFT-point magnitude spectrum (Godino-Llorente et al, 2006, O'Shaughnessy, 2000).

A common model for the relationship between frequencies in mel and linear scales is as follows (O'Shaughnessy, 2000):

$$F_{mel} = 2595 \cdot \log_{10}(1 + \frac{F_{linear}(Hz)}{700}), \quad (6)$$

where F_{linear} is the linear frequency (in Hertz), and F_{mel} is the perceived frequency (in Mel).

3 DATABASE AND METHODS

The database used in this work was recorded by the Massachusetts Eye and Ear Infirmary (MEEI) Voice and Speech Lab (Kay Elemetrics, 1994). It includes more than 1,400 voice samples (i.e., sustained /a/) from approximately 700 subjects. The database including samples from patients with a wide variety of voice disorders, was collected in a controlled environment with the following features: low-noise-level, constant microphone distance, direct digital 16-bit sampling and robust signal conditioning. Sampling rates of 25 kHz (pathological voices) or 50 kHz (normal voices) were employed. The normal voice signals were downsampled to 25 kHz, to maintain the same sample frequency to all signals.

The selected cases of people presenting edemas in the vocal folds are: 33 women (17 to 85 years old) and 11 men (23 to 63 years old), most of them (32) with bilateral edema. The database of normal voices is composed of 53 patients - 21 male (26 to 59 years old), and 32 female (22 to 52 years old). We also used 23 signals, under other pathologies, such as cysts, nodules and paralysis (07 male and 16 female voices).

First, a 20 ms Hamming window with an overlap of 50% is employed to obtain frames from the dataset for the short-term voice analysis.

A Vector Quantization technique is employed in the classification process, associated with a distortion measurement to discriminate among voices affected by vocal fold edema, normal voices and voices presenting other vocal fold pathologies. The Vector Quantization is carried out individually for each feature using just voices under vocal fold edema. Thus, different VQ-trained distance classifiers are obtained by the discrimination process. The VQ-classifiers are applied to static

feature vectors, which are computed for every 10 ms frame of the speech samples over a dynamic input sustained vowel /a/.

A codebook is generated, after the feature extraction, consisting of N discrete level generation that each input vector could assume. An N-level vector quantizer can be defined as a mapping Q of a K-dimensional Euclidean space R^K into a finite subset W of R^K, such as $Q:R^K \rightarrow W$.

The codebook $W=\{\boldsymbol{w}_i ; i=1, 2, ...N\}$ is the set of codevectors, K is the dimension of the quantizer and N is the number of codevectors in W.

The mapping Q assigns to a K-dimensional real-valued input vector $\boldsymbol{x}$ a K-dimensional codevector $\boldsymbol{w}_i=Q(\boldsymbol{x})$. VQ defines a partitioning of the K-dimensional Euclidean space into non-intercepting cells $S_i = \{\boldsymbol{x} : Q(\boldsymbol{x}) = \boldsymbol{w}_i\}$, $i = 1, 2, \ldots, N$.

As the Voronoi cell, S_i, collects together all input vector mapping to the i-th codevector, the codevector w_i may be viewed as a pattern-class label of the input patterns belonging to S_i.

The mapping of the input vector $\boldsymbol{x}$ to a codevector w_i occurs if the distortion function is such as $d(\boldsymbol{x},\boldsymbol{w}_I) < d(\boldsymbol{x},w_i), \forall_i \neq I$.

It follows the nearest neighbour rule is applied to find the codevector that presents the greatest similarity to $\boldsymbol{x}$. In this work, LBG algorithm and the least mean square distance were used (Linde et al, 1980).

4 RESULTS AND DISCUSSION

To reduce the dimensionality of feature vectors, a Vector Quantizer (VQ) to each parameter was employed, using dimension K=12 and *N=64 levels.* The VQ was trained with 20 voice signals under vocal fold edema. In the test phase 53 normal voices, 24 signals under vocal fold edema and 23 speech signals of speakers, affected by other vocal fold pathologies as nodules, cysts and paralysis, were used. The Euclidean distance measure to classify the signals was used to analyze the effect of pathologies in vocal tract response. For this purpose, LPC, cepstral and mel-cepstral coefficients were extracted from the database signal.

A predictor order *p=12* was applied the LPC analysis. The LPC coefficients were obtained using the autocorrelation method by Levinson-Durbin algorithm (Rabiner and Schafer, 1978). Figure 1 shows the distribution of vocal fold edema, normal voices and other pathologies. It is clear the excellent separation of the two classes analyzed: normal voices and voices affected by vocal fold edema. This results in a high correct rejection rate. In comparison, the edema behaviour and the other pathologies have a certain similarity that suggests difficulties in recognizing each pathology.

A threshold value to provide the best separation between the classes in the classification process was chosen. For cepstral analysis it was used an algorithm based on Eq. (3). A number of 12 coefficients were obtained and the same process of quantization used to LPC method was employed.

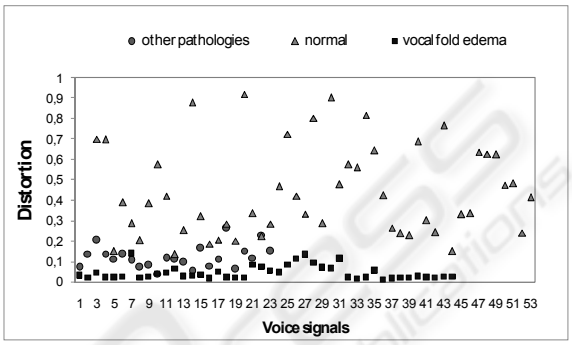

Figure 1: Distortion behaviour for normal, vocal fold edema and other pathologies, obtained by Euclidian distortion on LPC method.

The behaviour of classes, on cepstral analysis, is shown in Figure 2. The graphic provides a great way to observe the relevance of each parameter in classifying a pathological voice. The good separation of normal and pathological voices is well defined as in LPC method.

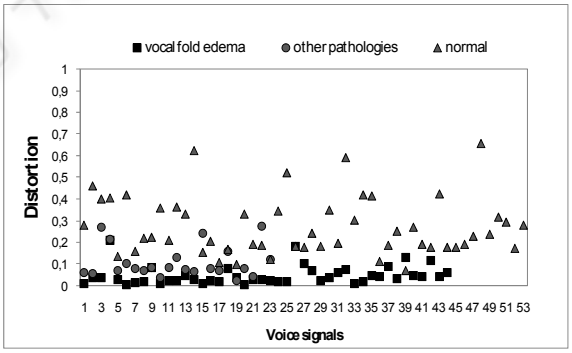

Figure 2: Distortion behaviour for normal, vocal fold edema and other pathologies obtained by Euclidian distortion on cepstral method.

The number of filter bank bands employed to MFCC method was 30 (3ln(*Fs*), where *Fs* is the sampling frequency (*Fs* = 25kHz) and a number of 12 MFC coefficients were obtained as described in section 2. An algorithm of Voicebox - Speech Processing Toolbox for MATLAB (http://www.ee.ic.ac.uk/hp/staff/dmb/voicebox) was used.

The behaviour of classes in mel-cepstral method is presented in Fig. 3. In this method, as in the others, it has a good separation of normal and pathological voices. However, the differences among the pathologies are not evident. LPC and cepstral methods seem to be better in representing the pathologies specificities.

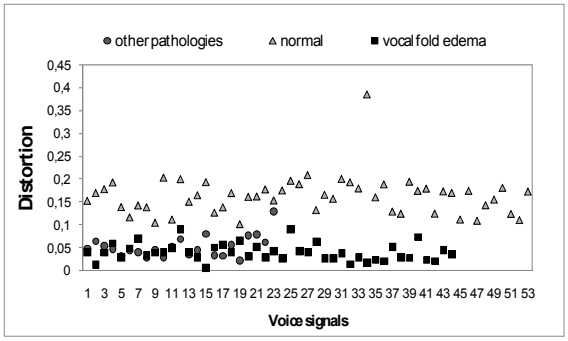

Figure 3: Distortion behaviour for normal, vocal fold edema and other pathologies obtained by Euclidian distortion on mel-cepstral method.

The evaluation of performance was made by the use of the following measurements: 1) Correct acceptance rate (CA), in which the presence of the pathology is detected when that is really present; 2) Correct rejection (CR), that gives the rate of the detection of the correct absence of the pathology; 3) False acceptance rate (FA) that detects the presence of the pathology when it is not present; 4) False rejection rate (FR), that quantifies the rejection of the presence of the pathology when, in fact, it is present.

Related to the rates mentioned it was computed:

- Specificity - SP: represents the likelihood that the pathology is detected when it is present, given by $SP=CR/(CR+FA)$x100.
- Sensitivity – SE: represents the likelihood that the pathology is detected when it is present, obtained by SE(%)=(CA/(CA+FR)x100.
- Efficiency-E: gives the correct classification of a given class when that is present given as E(%)=(CR+CA)/(CR+CA+FA+FR)x100.

Figure 4 presents results to the measurements above obtained for the three applied methods considering other pathologies as a separate class of edema. It is seen that LPC gives the best method. However, the false rejection rate obtained for this method was 27%. It is important to emphasize that the classifier was trained to accept vocal fold edema signals and reject any other signal as being pathological.

It is also observed that mel-cepstral method was not efficient in discriminating each pathology class. Mel-cepstral analysis represents the perceptual auditory aspect that is similar in some vocal fold pathologies as nodule, cyst and edema.

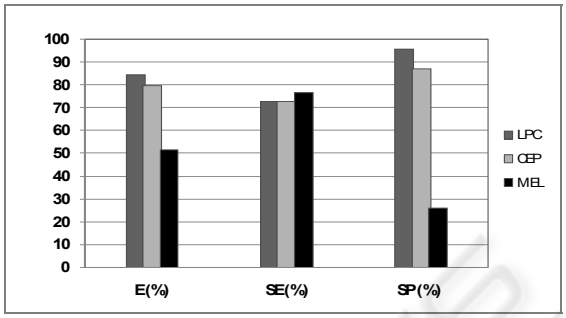

Figure 4: Performance evaluation considering vocal fold edema and the other pathologies as different classes.

The hoarseness and severely noisy-speech are some of common aspects that occur to speakers affected by the mentioned pathologies. The ability of MFCC method in representing the irregular vibration of vocal folds is common in the pathologies in this study and it is reflected on the results. The behaviour of the pathological signals is similar in mel-cepstral domain. Therefore, to discriminate pathologies occurring on vocal folds is not an easy task.

Figure 5 shows a comparison of the LPC, cepstral and mel-cepstral methods, when using the classifier to all pathologies in the same classes. It is clear that mel-cepstral method is better than the other methods in representing the behaviour differences of the pathological signals relating to normal cases.

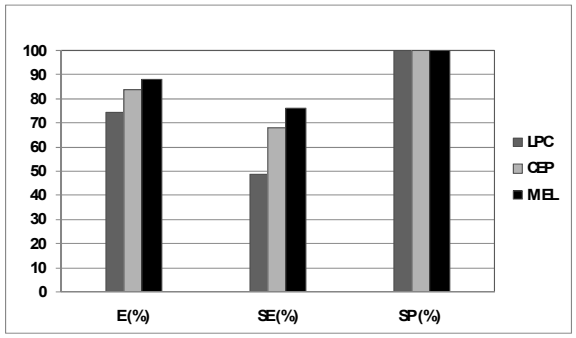

Figure 5: Performance evaluation considering vocal fold edema and the other pathologies in the same class.

The ability of methods employed in rejecting correctly the classes out of classifier training class is excellent (SP).

Figure 6 shows results obtained for Specificity, Efficiency and Sensitivity comparing pathological voices under vocal fold edema and normal voices.

The other pathologies are not considered here. The ability of FFT-MFCC in modeling the irregular vibration of the vocal folds provoked by the pathology is shown in the results. Good results are also obtained to LPC and cepstral analysis.

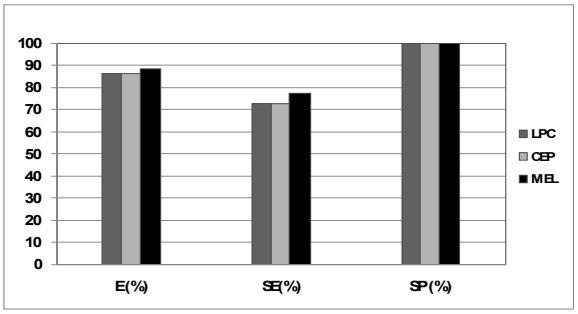

Figure 6: A comparison of the performance evaluation of LPC, cepstral and mel-cepstral analysis to the cases of vocal fold edema and normal voices.

5 CONCLUSIONS

The changes on LPC, cepstral and mel-cepstral coefficients describe the abnormal behaviour of the vocal folds movements caused by the pathologies. The efficiency in characterizing pathological voices using short-time cepstral analysis is well described by results.

It is noted that mel-cepstral coefficients are very good to detect the presence of pathology. They provide a good separation of normal and pathological voices. However, this method is not efficient in discriminating distinct pathologies. The differences among pathologies which belong to similar class of diseases are not evident. LPC and cepstral methods seem to be better in representing the pathologies specificities.

In order to improve the performance of the classification process, two aspects are suggested: 2) the use of non-linear analysis to improve the acoustic modeling of non-linear characteristics inherent to speech signal, and 2) the employment of other classifiers based on Artifitial Neural Networks or Hideen Markov Models, for example.

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