

# EVOLVED PREAMBLES FOR MAX-SAT HEURISTICS

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Abstract: MAX-SAT heuristics normally operate from random initial truth assignments to the variables. We consider the use of what we call preambles, which are sequences of variables with corresponding single-variable assignment actions intended to be used to determine a more suitable initial truth assignment for a given problem instance and a given heuristic. For a number of well established MAX-SAT heuristics and benchmark instances, we demonstrate that preambles can be evolved by a genetic algorithm such that the heuristics are outperformed in a significant fraction of the cases. The heuristics we consider include the well-known *novelty*, *walksat-tabu*, and *adaptnovelty+*. Our benchmark instances are those of the 2004 SAT competition and those of the 2008 MAX-SAT evaluation.

## 1 INTRODUCTION

Given a set  $V$  of Boolean variables and a set of disjunctive clauses on literals from  $V$  (i.e., variables or their negations), MAX-SAT asks for a truth assignment to the variables that maximizes the number of clauses that are satisfied (i.e., made true by that assignment). MAX-SAT is NP-hard (Garey and Johnson, 1979) but can be approximated in polynomial time, though not as close to the optimum as one wishes. This holds in general (Ausiello et al., 1999) as well as in the restricted case of three-literal clauses (Dantsin et al., 2001). MAX-SAT has enjoyed a paradigmatic status over the years, not only because of its close relation to SAT, the first decision problem to be proven NP-complete, but also because of its importance to other areas (e.g., constraint satisfaction in artificial intelligence (Dechter, 2003)).

Since NP-hardness is a property of worst-case scenarios, the difficulty of actually solving a specific instance of an NP-hard problem varies widely with both the instance's size and internal structure. In fact, in recent years it has become increasingly clear that small changes in either can lead to significant variation in an algorithm's performance, possibly even to a divide between the instance's being solvable or unsolvable by that algorithm given the computational resources at hand and the time one is willing to spend (Hartmann and Weigt, 2005). Following some early groundwork (Rice, 1976), several attempts have been made at providing theoretical foundations or practical

guidelines for automatically selecting which method to use given the instance (Russell and Subramanian, 1995; Minton, 1996; Fink, 1998; Gomes and Selman, 2001; Lagoudakis et al., 2001; Leyton-Brown et al., 2003; Vassilevska et al., 2006; Xu et al., 2008). These include approaches that have addressed the solution of NP-complete problems.

Here we investigate a different, though related, approach to method selection in the case of MAX-SAT instances. Since all MAX-SAT heuristics require an initial truth assignment to the variables, and considering that this is invariably chosen at random, a natural question seems to be whether it is worth spending some additional effort to determine an initial assignment that is better suited to the instance at hand. Once we adopt this two-stage template comprising an initial-assignment selection in tandem with a heuristic, fixing the latter reduces the issue of method selection to that of identifying a procedure to determine an appropriate initial assignment. We refer to this procedure as a preamble to the heuristic. As we demonstrate in the sequel, for several state-of-the-art heuristics and problem instances the effort to come up with an appropriate preamble pays off in terms of better solutions for the same amount of time.

We proceed in the following manner. First, in Section 2, we define what preambles are in the case of MAX-SAT. Then we introduce an evolutionary method for preamble determination in Section 3 and give computational results in Section 4. We close with concluding remarks in Section 5.

## 2 MAX-SAT PREAMBLES

Let  $n$  be the number of variables in  $V$ . Given a MAX-SAT instance on  $V$ , a preamble  $p$  of length  $\ell$  is a sequence of pairs  $\langle v_1, a_1 \rangle, \langle v_2, a_2 \rangle, \dots, \langle v_\ell, a_\ell \rangle$ , each representing a computational step to be taken as the preamble is played out. In this sequence, and for  $1 \leq k \leq \ell$ , the  $k$ th pair is such that  $v_k \in V$  and  $a_k$  is one of 2, 1, or 0, indicating respectively whether to leave the value of  $v_k$  unchanged, to act greedily when choosing a value for  $v_k$ , or to act contrarily to such greedy assignment. A preamble need not include all  $n$  variables, and likewise a variable may appear more than once in it.

The greedy action to which  $a_k$  sometimes refers assigns to  $v_k$  the truth value that maximizes the number of satisfied clauses at that point in the preamble. Algorithmically, then, playing out  $p$  is equivalent to performing the following steps from some initial truth assignment to the variables in  $V$ :

1.  $k := 1$ .
2. If  $a_k = 2$ , then proceed to Step 5.
3. Given the current values of all other variables, compute the number of clauses that get satisfied for each of the two possible assignments to  $v_k$ .
4. If  $a_k = 1$ , then set  $v_k$  to the truth value yielding the greatest number of satisfied clauses. If  $a_k = 0$ , then do the opposite. Break ties randomly.
5.  $k := k + 1$ . If  $k \leq \ell$ , then proceed to Step 2.

We use random initial assignments exclusively. A MAX-SAT preamble, therefore, can be thought of as isolating such initial randomness from the heuristic proper that is to follow the preamble. Instead of starting the heuristic at its usual random initial assignment, we start it at the assignment determined by running the preamble.

It is curious to note that, as defined, a preamble generalizes the sequence of steps generated by the simulated annealing method (Kirkpatrick et al., 1983) when applied to MAX-SAT. In fact, what simulated annealing does in this case, following one of its variations (Geman and Geman, 1984; Barbosa, 1993), is to choose  $v_k$  by cycling through the members of  $V$  and then let  $a_k$  be either 1 or 0 with the Boltzmann-Gibbs probability. At the high initial temperatures the two outcomes are nearly equally probable, but the near-zero final temperatures imply  $a_k = 1$  (i.e., be greedy) with high probability. The generalization that comes with our definition allows for various possibilities of preamble construction, as the evolutionary procedure we describe next.

## 3 METHODS

Given a MAX-SAT instance and heuristic  $H$ , our approach is to evolve the best possible preamble to  $H$ . We do so through a genetic algorithm of the generational type (Mitchell, 1996). The description that follows refers to parameter values that were determined in an initial calibration phase. This phase used the heuristics *gsat* (Selman et al., 1992), *gwsat* (Selman and Kautz, 1993), *hsat* (Gent and Walsh, 1993), *hwsat* (Gent and Walsh, 1995), *gsat-tabu* (Mazure et al., 1997), *novelty* (McAllester et al., 1997), *walksat-tabu* (McAllester et al., 1997), *adaptnovelty+* (Hoos, 2002), *saps* (Hutter et al., 2002), and *sapsnr* (Tompkins and Hoos, 2004) as heuristic  $H$ , and also the instances C880mul, am\_8\_8, c3540mul, term1mul, and vdamul (Le Berre and Simon, 2005). Each of the latter involves variables that number in the order of  $10^4$  and clauses numbering in the order of  $10^5$ . Moreover, not all optima are known (cf. Section 4).

The genetic algorithm operates on a population of 50 individuals, each being a preamble to heuristic  $H$ . The fitness of individual  $p$  is computed as follows. First  $p$  is run from 10 random truth assignments to the variables, then  $H$  is run from the truth assignment resulting from the run of  $p$  that satisfied the most clauses (ties between runs of  $p$  are broken randomly). Let  $R(p)$  denote the number of clauses satisfied by this best run of  $p$  and  $S_H(p)$  the number of clauses satisfied after  $H$  is run. The fitness of individual  $p$  is the pair  $\langle S_H(p), R(p) \rangle$ . Whenever two individuals' fitnesses are compared, ties are first broken lexicographically, then randomly. Selection is always performed from linearly normalized fitnesses, the fittest individual of the population being 20 times as fit as the least fit.

For each MAX-SAT instance and each heuristic  $H$ , we let the genetic algorithm run for a fixed amount of time, during which a new population is repeatedly produced from the current one and replaces it. The initial population comprises individuals of maximum length  $1.5n$ , each created randomly to contain at least  $0.4n$  distinct variables. The process of creating each new population starts by an elitist step that transfers the 20% fittest individuals from the current population to the new one. It then repeats the following until the new population is full.

First a decision is made as to whether crossover (with probability 0.25) or mutation (with probability 0.75) is to be performed. For crossover two individuals are selected from the current population and each is partitioned into three sections for application of the standard two-point crossover operator. The partitioning is done randomly, provided the middle sec-

tion contains exactly  $0.4n$  distinct variables, which is always possible by construction of the initial population (though at times either of the two extreme sections may turn out to be empty). The resulting two individuals (whose lengths are no longer bounded by  $1.5n$ ) are added to the new population. For mutation a single individual is selected from the current population and 50% of its pairs are chosen at random. Each of these, say the  $k$ th pair, undergoes either a random change to both  $v_k$  and  $a_k$  (if this will leave the individual with at least  $0.4n$  distinct variables) or simply a random change to  $a_k$  (otherwise). The mutant is then added to the new population.

The calibration phase referred to above also yielded three champion heuristics, viz. *novelty*, *walksat-tabu*, and *adaptnovelty+*. The results we give in Section 4 refer exclusively to these, used either in conjunction with the genetic algorithm as described above or by themselves. In the latter case each heuristic is run repeatedly, each time from a new random truth assignment to the variables, until the same fixed amount of time used for the genetic algorithm has elapsed. The result reported by the genetic algorithm refers to the fittest individual in the last population to have been filled during that time. As for the heuristic, in order to compare its performance with that of the genetic algorithm as fairly as possible the result that is reported is the best one obtained after every 50 repetitions.

All experiments were performed from within the *UBCSAT* environment (Tompkins and Hoos, 2005). Optima, whenever possible, were discovered separately via the 2010 release of the *MSUnCore* code to solve MAX-SAT exactly (Manquinho et al., 2009).

## 4 COMPUTATIONAL RESULTS

In our experiments we tackled all 100 instances of the 2004 SAT competition (Le Berre and Simon, 2005), henceforth referred to as the 2004 dataset, and all 112 instances of the 2008 MAX-SAT evaluation (Argelich et al., 2008), henceforth referred to as the 2008 dataset. The time allotted for each instance to the genetic algorithm or each of the three heuristics by itself was of 60 minutes, always on identical hardware and software, always with exclusive access to the system. We report exclusively on the hardest instances from either dataset, here defined to be those for which *MSUnCore* found no answer as a result of being stymied by the available 4 gigabytes of RAM and the system's inability to perform further swapping. There are 51 such instances in the 2004 dataset, 11 in the 2008 dataset, totaling 62 instances.

Our results are given in Tables 1 through 6 for  $H = novelty$  (Tables 1 and 4),  $H = walksat-tabu$  (Tables 2 and 5), and  $H = adaptnovelty+$  (Tables 3 and 6). They refer to those of the 62 instances that come from the 2004 dataset (Tables 1 through 3) and those that come from the 2008 dataset (Tables 4 through 6). Each table contains a row for each of the corresponding instances. For each instance the number  $n$  of variables is given, as well as the number of clauses ( $m$ ) and results for the genetic algorithm and for the heuristic in question by itself. These results are the number of satisfied clauses and the time at which this solution was first found during the allotted 60 minutes. Missing results indicate either that no population could be filled during this time (in the case of the genetic algorithm) or that no batch of 50 runs of the heuristic could be finished.

Some entries in the tables are highlighted by a bold typeface to indicate that the genetic algorithm found a solution strictly better than the one found by the heuristic when used by itself, or a solution satisfying the same number of clauses but first encountered in a shorter time. In the former case only the number of satisfied clauses is highlighted, in the latter case the time is highlighted as well. The number of highlighted instances amounts to the ratios given in Table 7. Clearly, with the notable exception of  $H = walksat-tabu$  on the 2008 dataset (on which the use of  $H$  alone outperformed the genetic algorithm on all 11 instances), the genetic algorithm succeeds well on a significant fraction of the instances.

Revising these ratios to contemplate all instances from both datasets (i.e., include the results omitted from Tables 1 through 6) yields the ratios in Table 8. These show that the genetic algorithm fares even better when evaluated on all 100 instances of the 2004 dataset. They also show slightly lower ratios for the genetic algorithm on the 112-instance 2008 dataset for  $H = novelty$  and  $H = adaptnovelty+$ . As for  $H = walksat-tabu$ , we see in Table 8 a dramatic increase from the 0.000 of Table 7, indicating that for this particular  $H$  on the complete 2008 dataset the genetic algorithm does better than the heuristic alone only on the comparatively easier instances (and then for a significant fraction of them).

## 5 CONCLUDING REMARKS

Given a heuristic for some problem of combinatorial optimization, a preamble such as we defined in Section 2 for MAX-SAT is a selector of initial conditions. As such, it aims at isolating the inevitable randomness of the initial conditions one normally uses with

Table 1: Results for  $H = novelty$  on the 2004 dataset. Times are given in minutes.

Instance	$n$	$m$	Genetic algorithm		$novelty$ alone	
			Num. sat. cl.	Time	Num. sat. cl.	Time
c3540mul	5248	33199	33176	17.965	33180	6.291
c6288mul	9540	61421	61375	21.391	61375	6.706
dalumul	9426	59991	59972	59.961	59973	5.712
frg1mul	3230	20575	<b>20574</b>	<b>0.543</b>	20574	1.390
k2mul	11680	74581	<b>74524</b>	1.729	74516	23.493
x1mul	8760	55571	<b>55570</b>	<b>1.550</b>	55570	5.383
am_6_6	2269	7814	<b>7813</b>	<b>0.263</b>	7813	0.267
am_7_7	4264	14751	<b>14744</b>	55.270	14733	50.688
am_8_8	7361	25538	25331	37.914	25332	36.184
am_9_9	11908	41393	<b>40982</b>	1.827	40970	36.322
li-exam-61	28147	108436	108011	47.997	108041	22.993
li-exam-62	28147	108436	107999	56.149	108006	27.760
li-exam-63	28147	108436	107998	29.129	108003	19.108
li-exam-64	28147	108436	107987	58.590	108013	34.106
li-test4-100	36809	142491	141844	34.560	141856	35.189
li-test4-101	36809	142491	141858	40.733	141865	31.374
li-test4-94	36809	142491	<b>141863</b>	2.556	141843	29.103
li-test4-95	36809	142491	141850	58.823	141868	16.500
li-test4-96	36809	142491	<b>141858</b>	6.038	141848	16.622
li-test4-97	36809	142491	<b>141855</b>	29.113	141852	33.242
li-test4-98	36809	142491	<b>141862</b>	40.632	141850	52.114
li-test4-99	36809	142491	<b>141859</b>	12.537	141855	31.298
gripper10u	2312	18666	<b>18663</b>	<b>0.877</b>	18663	2.014
gripper11u	3084	26019	<b>26017</b>	15.150	26016	6.459
gripper12u	3352	29412	<b>29409</b>	<b>14.485</b>	29409	29.184
gripper13u	4268	38965	38961	9.830	38961	1.315
gripper14u	4584	43390	<b>43386</b>	<b>23.381</b>	43386	36.584
bc56-sensors-1-k391-unsat	561371	1778987	<b>1600252</b>	15.997	1600079	43.783
bc56-sensors-2-k592-unsat	850398	2694319	2366874	48.252	2366923	13.745
bc57-sensors-1-k303-unsat	435701	1379987	1261961	39.150	1262043	14.386
dme-03-1-k247-unsat	261352	773077	<b>736229</b>	49.430	736010	22.759
motors-stuck-1-k407-unsat	654766	2068742	<b>1842393</b>	55.420	1842299	7.294
motors-stuck-2-k314-unsat	505536	1596837	1445274	53.360	1445323	57.764
valves-gates-1-k617-unsat	985042	3113540	2714448	58.357	2714681	30.268
6pipe	15800	394739	<b>394717</b>	42.251	-	-
7pipe	23910	751118	-	-	-	-
comb1	5910	16804	16749	43.330	16751	44.024
dp12u11	11137	30792	30785	11.240	30789	17.881
f2clk_50	34678	101319	100629	18.230	100668	29.506
fifo8_300	194762	530713	506270	9.520	506329	49.814
homer17	286	1742	<b>1738</b>	<b>0.126</b>	1738	0.317
homer18	308	2030	<b>2024</b>	<b>0.131</b>	2024	0.331
homer19	330	2340	<b>2332</b>	<b>0.142</b>	2332	0.349
homer20	440	4220	<b>4202</b>	<b>0.170</b>	4202	0.429
k2fix_gr_2pinvar_w8	3771	270136	<b>269918</b>	47.860	269910	29.096
k2fix_gr_2pinvar_w9	5028	307674	307563	43.461	307565	42.008
k2fix_gr_2pin_w8	9882	295998	295657	54.870	295691	5.697
k2fix_gr_2pin_w9	13176	345426	<b>345230</b>	39.450	345228	24.266
k2fix_gr_rcs_w8	10056	271393	<b>271296</b>	17.291	271292	58.947
sha1	61377	255417	251863	54.40	251927	48.348
sha2	61377	255417	<b>251915</b>	19.47	251873	17.809

Table 2: Results for  $H = \text{walksat-tabu}$  on the 2004 dataset. Times are given in minutes.

Instance	$n$	$m$	Genetic algorithm		<i>walksat-tabu</i> alone	
			Num. sat. cl.	Time	Num. sat. cl.	Time
c3540mul	5248	33199	33164	24.820	33166	59.816
c6288mul	9540	61421	<b>61392</b>	3.884	61389	23.451
dalumul	9426	59991	59896	2.730	59910	2.546
frg1mul	3230	20575	20570	30.706	20570	6.465
k2mul	11680	74581	74340	6.720	74341	21.223
x1mul	8760	55571	55561	34.556	55562	26.049
am_6_6	2269	7814	7809	2.580	7810	58.420
am_7_7	4264	14751	<b>14732</b>	29.810	14729	0.926
am_8_8	7361	25538	25466	19.600	25473	45.678
am_9_9	11908	41393	41183	34.960	41189	59.298
li-exam-61	28147	108436	<b>107983</b>	14.918	107982	31.107
li-exam-62	28147	108436	107974	41.560	107992	53.727
li-exam-63	28147	108436	<b>107980</b>	48.127	107978	58.207
li-exam-64	28147	108436	<b>107985</b>	<b>3.946</b>	107985	20.522
li-test4-100	36809	142491	<b>141790</b>	39.720	141782	21.592
li-test4-101	36809	142491	<b>141804</b>	19.040	141800	11.141
li-test4-94	36809	142491	<b>141806</b>	2.853	141780	44.018
li-test4-95	36809	142491	<b>141791</b>	35.540	141782	27.593
li-test4-96	36809	142491	<b>141804</b>	24.995	141791	33.641
li-test4-97	36809	142491	141791	26.641	141805	22.784
li-test4-98	36809	142491	141777	26.300	141791	54.528
li-test4-99	36809	142491	141774	37.930	141781	51.666
gripper10u	2312	18666	<b>18662</b>	<b>42.287</b>	18662	58.256
gripper11u	3084	26019	<b>26014</b>	<b>2.383</b>	26014	10.450
gripper12u	3352	29412	<b>29406</b>	<b>2.104</b>	29406	2.788
gripper13u	4268	38965	<b>38959</b>	<b>2.370</b>	38959	50.868
gripper14u	4584	43390	<b>43383</b>	2.520	43382	0.512
bc56-sensors-1-k391-unsat	561371	1778987	<b>1600245</b>	36.329	1599963	43.683
bc56-sensors-2-k592-unsat	850398	2694319	<b>2361372</b>	33.625	2361225	39.390
bc57-sensors-1-k303-unsat	435701	1379987	<b>1264444</b>	33.863	1264039	44.873
dme-03-1-k247-unsat	261352	773077	<b>740068</b>	15.573	739924	53.964
motors-stuck-1-k407-unsat	654766	2068742	<b>1840274</b>	36.547	1839998	36.970
motors-stuck-2-k314-unsat	505536	1596837	<b>1445995</b>	41.870	1445813	34.788
valves-gates-1-k617-unsat	985042	3113540	<b>2705763</b>	55.140	2705761	53.865
6pipe	15800	394739	<b>394727</b>	48.824	-	-
7pipe	23910	751118	<b>751102</b>	27.336	-	-
comb1	5910	16804	16713	59.406	16717	9.986
dp12u11	11137	30792	<b>30775</b>	22.338	30773	27.777
f2clk_50	34678	101319	<b>100087</b>	49.690	100075	27.279
fifo8_300	194762	530713	509196	2.030	509252	56.291
homer17	286	1742	<b>1738</b>	<b>0.105</b>	1738	0.268
homer18	308	2030	<b>2024</b>	<b>0.112</b>	2024	0.285
homer19	330	2340	<b>2332</b>	<b>0.119</b>	2332	0.309
homer20	440	4220	<b>4202</b>	<b>0.152</b>	4202	0.374
k2fix_gr_2pinvar_w8	3771	270136	269839	46.921	269855	34.207
k2fix_gr_2pinvar_w9	5028	307674	<b>307490</b>	31.670	307485	14.594
k2fix_gr_2pin_w8	9882	295998	295544	12.160	295554	43.311
k2fix_gr_2pin_w9	13176	345426	<b>345065</b>	3.299	345044	47.063
k2fix_gr_rcs_w8	10056	271393	<b>271301</b>	<b>42.525</b>	271301	48.211
sha1	61377	255417	<b>251374</b>	30.88	251364	9.204
sha2	61377	255417	251390	7.67	251392	16.520

Table 3: Results for  $H = \text{adaptovelty+}$  on the 2004 dataset. Times are given in minutes.

Instance	$n$	$m$	Genetic algorithm		$\text{adaptovelty+}$ alone	
			Num. sat. cl.	Time	Num. sat. cl.	Time
c3540mul	5248	33199	<b>33162</b>	52.030	33153	30.089
c6288mul	9540	61421	<b>61382</b>	<b>1.893</b>	61382	36.361
dalumul	9426	59991	<b>59930</b>	47.250	59920	44.117
frg1mul	3230	20575	<b>20574</b>	<b>0.527</b>	20574	1.290
k2mul	11680	74581	<b>74417</b>	52.360	74407	16.932
x1mul	8760	55571	<b>55570</b>	<b>1.153</b>	55570	2.477
am_6_6	2269	7814	<b>7813</b>	<b>0.102</b>	7813	0.267
am_7_7	4264	14751	<b>14750</b>	<b>0.277</b>	14750	0.558
am_8_8	7361	25538	<b>25523</b>	44.450	25520	8.450
am_9_9	11908	41393	<b>41296</b>	49.254	41293	53.522
li-exam-61	28147	108436	<b>108037</b>	23.833	108028	54.671
li-exam-62	28147	108436	<b>108045</b>	38.670	108032	17.074
li-exam-63	28147	108436	<b>108031</b>	44.030	108026	49.837
li-exam-64	28147	108436	108030	41.830	108037	49.076
li-test4-100	36809	142491	<b>141903</b>	16.011	141902	35.010
li-test4-101	36809	142491	141894	4.150	141897	15.527
li-test4-94	36809	142491	141899	38.595	141908	37.992
li-test4-95	36809	142491	<b>141900</b>	17.200	141890	57.627
li-test4-96	36809	142491	<b>141902</b>	31.204	141896	52.649
li-test4-97	36809	142491	141897	27.190	141920	33.317
li-test4-98	36809	142491	141898	34.560	141907	52.417
li-test4-99	36809	142491	141902	29.421	141906	12.445
gripper10u	2312	18666	<b>18665</b>	<b>0.161</b>	18665	5.913
gripper11u	3084	26019	26018	18.106	26018	15.914
gripper12u	3352	29412	29410	0.600	29411	56.930
gripper13u	4268	38965	38963	4.270	38963	0.454
gripper14u	4584	43390	43388	23.785	43388	0.456
bc56-sensors-1-k391-unsat	561371	1778987	1623357	17.990	1623430	36.854
bc56-sensors-2-k592-unsat	850398	2694319	<b>2394308</b>	40.210	2393894	6.102
bc57-sensors-1-k303-unsat	435701	1379987	1282208	35.553	1282338	34.813
dme-03-1-k247-unsat	261352	773077	746902	43.755	746935	19.452
motors-stuck-1-k407-unsat	654766	2068742	1866990	12.900	1867266	56.079
motors-stuck-2-k314-unsat	505536	1596837	<b>1467201</b>	55.320	1467123	43.089
valves-gates-1-k617-unsat	985042	3113540	<b>2742641</b>	48.170	2742310	18.078
6pipe	15800	394739	<b>393808</b>	46.970	393771	41.444
7pipe	23910	751118	<b>749636</b>	54.051	-	-
comb1	5910	16804	16756	29.750	16759	31.832
dp12u11	11137	30792	<b>30723</b>	15.480	30722	12.257
f2clk_50	34678	101319	100431	6.983	100435	3.063
fifo8_300	194762	530713	516316	55.551	516380	35.441
homer17	286	1742	<b>1738</b>	<b>0.133</b>	1738	0.330
homer18	308	2030	<b>2024</b>	<b>0.138</b>	2024	0.345
homer19	330	2340	<b>2332</b>	<b>0.143</b>	2332	0.367
homer20	440	4220	<b>4202</b>	<b>0.175</b>	4202	0.440
k2fix_gr_2pinvar_w8	3771	270136	269923	30.530	269930	39.335
k2fix_gr_2pinvar_w9	5028	307674	<b>307560</b>	47.330	307559	28.284
k2fix_gr_2pin_w8	9882	295998	<b>295646</b>	55.630	295645	11.987
k2fix_gr_2pin_w9	13176	345426	345123	38.150	345150	51.661
k2fix_gr_rcs_w8	10056	271393	271282	25.350	271290	39.640
sha1	61377	255417	<b>252622</b>	34.02	252613	52.078
sha2	61377	255417	252615	34.58	252617	22.981

Table 4: Results for  $H = novelty$  on the 2008 dataset. Times are given in minutes.

Instance	$n$	$m$	Genetic algorithm		$novelty$ alone	
			Num. sat. cl.	Time	Num. sat. cl.	Time
rsdecoder1_blackbox_KESblock	707330	1106376	<b>1030025</b>	5.471	1030001	47.969
rsdecoder4.dimacs	237783	933978	896327	16.880	896441	51.221
rsdecoder-problem.dimacs_38	1198012	3865513	3350748	11.820	3351073	46.902
rsdecoder-problem.dimacs_41	1186710	3829036	3320154	37.064	3320274	36.249
SM_MAIN_MEM_buggy1.dimacs	870975	3812147	3416609	43.833	3416837	37.047
wb_4m8s1.dimacs	463080	1759150	<b>1624751</b>	17.008	1624325	27.448
wb_4m8s4.dimacs	463080	1759150	<b>1624017</b>	4.333	1623800	22.801
wb_4m8s-problem.dimacs_47	2691648	8517027	<b>7159756</b>	56.460	7159458	53.487
wb_4m8s-problem.dimacs_49	2785108	8812799	7401876	43.930	7402080	52.858
wb_conmax1.dimacs	277950	1221020	<b>1168273</b>	23.774	1168267	27.155
wb_conmax3.dimacs	277950	1221020	<b>1168336</b>	1.808	1168173	56.621

Table 5: Results for  $H = walksat-tabu$  on the 2008 dataset. Times are given in minutes.

Instance	$n$	$m$	Genetic algorithm		$walksat-tabu$ alone	
			Num. sat. cl.	Time	Num. sat. cl.	Time
rsdecoder1_blackbox_KESblock	707330	1106376	1028280	55.013	1028328	33.828
rsdecoder4.dimacs	237783	933978	895865	1.950	895990	16.142
rsdecoder-problem.dimacs_38	1198012	3865513	3334250	34.230	3334450	49.290
rsdecoder-problem.dimacs_41	1186710	3829036	3304293	7.626	3304476	4.832
SM_MAIN_MEM_buggy1.dimacs	870975	3812147	3386126	37.130	3386263	58.493
wb_4m8s1.dimacs	463080	1759150	1611716	56.910	1611839	59.405
wb_4m8s4.dimacs	463080	1759150	1610945	36.070	1611361	56.378
wb_4m8s-problem.dimacs_47	2691648	8517027	7133234	33.360	7133580	44.962
wb_4m8s-problem.dimacs_49	2785108	8812799	7374846	3.900	7374877	32.896
wb_conmax1.dimacs	277950	1221020	1156736	35.785	1156771	22.056
wb_conmax3.dimacs	277950	1221020	1156718	31.800	1156841	35.277

Table 6: Results for  $H = adaptnovelty+$  on the 2008 dataset. Times are given in minutes.

Instance	$n$	$m$	Genetic algorithm		$adaptnovelty+$ alone	
			Num. sat. cl.	Time	Num. sat. cl.	Time
rsdecoder1_blackbox_KESblock	707330	1106376	1042736	58.190	1042849	35.251
rsdecoder4.dimacs	237783	933978	904931	50.378	905006	38.068
rsdecoder-problem.dimacs_38	1198012	3865513	<b>3374308</b>	33.560	3374204	28.959
rsdecoder-problem.dimacs_41	1186710	3829036	<b>3343967</b>	55.770	3343653	12.919
SM_MAIN_MEM_buggy1.dimacs	870975	3812147	<b>3431928</b>	15.243	3431455	18.274
wb_4m8s1.dimacs	463080	1759150	<b>1637425</b>	6.646	1637337	25.506
wb_4m8s4.dimacs	463080	1759150	<b>1636787</b>	15.974	1636718	49.083
wb_4m8s-problem.dimacs_47	2691648	8517027	7189090	14.730	7189244	19.575
wb_4m8s-problem.dimacs_49	2785108	8812799	<b>7432769</b>	20.240	7432463	12.193
wb_conmax1.dimacs	277950	1221020	1175783	43.730	1175804	8.269
wb_conmax3.dimacs	277950	1221020	1175833	12.660	1175942	14.228

Table 7: Success ratios of the genetic algorithm as per Tables 1 through 6.

Instance set	$novelty$	$walksat-tabu$	$adaptnovelty+$
2004 dataset	0.540	0.667	0.588
2008 dataset	0.545	0.000	0.545
2004 & 2008 datasets combined	0.541	0.548	0.581

Table 8: Success ratios of the genetic algorithm over all 100 instances of the 2004 dataset and all 112 instances of the 2008 dataset.

Instance set	<i>novelty</i>	<i>walksat-tabu</i>	<i>adaptnovelty+</i>
2004 dataset	0.600	0.700	0.630
2008 dataset	0.518	0.518	0.509
2004 & 2008 datasets combined	0.557	0.604	0.566

such heuristics from the heuristic itself. By doing so, preambles attempt to poise the heuristic to operate from more favorable initial conditions.

In this paper we have demonstrated the success of MAX-SAT preambles when they are discovered, given the MAX-SAT instance and heuristic of interest, via an evolutionary algorithm. As we showed in Section 4, for well established benchmark instances and heuristics the resulting genetic algorithm can outperform the heuristics themselves when used alone. We believe further effort can be profitably spent on attempting similar solutions to other problems that, like MAX-SAT, can be expressed as an unconstrained optimization problem on binary variables. Some of them are the maximum independent set and minimum dominating set problems on graphs, both admitting well-known formulations of this type (Barbosa and Gafni, 1989).

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