

# ALGORITHM TO MAINTAIN LINEAR ELEMENT IN 3D LEVEL SET TOPOLOGY OPTIMIZATION

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**Abstract:** In level set topology optimization the boundary of the structure is defined by level set function values stored at the nodes of a regular grid of simple bilinear elements. By changing the level set function values according to optimization sensitivities the boundary of the structure is moved to create an optimal structure. However it is possible for the boundary to cut an element more than once; violating the linear element assumptions resulting in insufficient nodal information for the optimization sensitivity calculations. To resolve this the local boundary of the structure is moved so that each element is only cut once. In 2D where a square element mesh is used an element cut twice is altered by moving one of the boundaries within the element to intercept the node closest to it removing the extra cut from the element. In 3D where a voxel mesh is used the process of moving the boundary within an element is more complicated due to the greater number of boundary cuts possible and the effect that it can have on neighbouring elements. An algorithm is developed which allows the boundary within a 3D element to be moved with these considerations taken into account.

## 1 INTRODUCTION

Topology optimization is considered to have enabled a step-change in structural design as it is the most generalized form of structural optimization producing a solution least dependent on the initial design. It usually starts with a continuum of the available design space and finds the optimal topology as well as shape and size of the structural members within it. One parameterization that is receiving much interest in recent years is the level set method due to its flexibility and stability in handling topological changes (Allaire et al., 2004).

Topology optimization is an iterative process where a finite element analysis is applied to carry out the sensitivity analysis. The local sensitivities are then used to update the level set function values, thus modifying the structural boundaries to create an improved structural geometry. To avoid the need for a new finite element mesh every iteration the geometry is usually projected onto a regular mesh of elements (Allaire et al., 2004). Since the finite element analysis is the computational bottleneck of optimisation simple 1<sup>st</sup> order bilinear elements (4 node rectangular elements in 2D and 8 node brick elements in 3D) are most commonly used in

topology optimisation (Dunning and Kim, 2011). For convenience finite element nodes are used to define the level set function. As the geometric boundary defined by the level set function does not always conform to the regular element edges, there is a group of *boundary elements* which are cut by the boundary. A variety of methods have been used to estimate the material properties of these elements, from simple element volume ratio based calculations (Allaire et al., 2004) (Jang and Kim, 2005) (Wang et al., 2007) to local remeshing approaches (Wang and Wang, 2006).

A popular approach is to compute local sensitivities per element for the level set function update (Allaire et al., 2004) (Jang and Kim, 2005). This means the elemental properties are homogenised and the nodal properties are approximately computed by interpolating the elemental properties, instead of using the more accurate nodal values from finite element analysis. Nodal sensitivities can be used directly to update the level set function (Dunning and Kim, 2011). However this means an element can be cut by two boundaries. In these cases, there are insufficient nodes for the subsequent finite element analysis to describe the linear displacement field of the element,

thus sensitivities cannot be computed for the next iteration.

Several methods have been devised to resolve the problem of elements containing multiple boundaries. The simplest is to declare cut elements to be entirely outside the structure (Challis, 2010), however this is a significant simplification and increases the mesh density required for accurate optimization. Researchers have employed the extended finite element method (X-FEM). This has been developed primarily for describing fractures and multi-scale analysis where the elemental stiffness matrix is “enriched” to describe the local material distribution. However, higher order elements must be used at the geometric boundary to accomplish this (Belytschko et al., 2003) (Wei et al., 2010). The local mesh refinement method splits each cut element into multiple elements which are fitted to the geometric boundary (Wang and Wang, 2006). However both these methods require an increase of the degrees of freedom with considerable additional computation. This increases the computational cost of the finite element analysis which is already a processing bottle neck.

This paper proposes an alternative method to resolve the problem of elements containing multiple cuts without increasing the degrees of freedom in the finite element model. The proposed approach is to alter the local boundary geometry so that no elements contain multiple boundaries allowing first order bilinear elements to be used on the geometric boundary. This allows the use of accurate nodal properties to compute the sensitivities and avoids the unnecessary additional computational complexity. The numerical results show that the boundary “fix” proposed in this paper is sufficiently minimal and the algorithm consistently finds the optimum solutions.

## 2 BOUNDARY MODIFICATION IN 2D

### 2.1 Problem Statement

The level set topology optimization procedure moves the structural boundary by cutting through elements. As a result it is possible for multiple boundaries to simultaneously cut through an element. When 1<sup>st</sup> order elements are used, there is insufficient nodal information for sensitivity computation in elements containing multiple boundary cuts and thus, they are considered “illegal”. In order to proceed with the topology

optimization procedure any illegal elements must be avoided.

In 2D this occurs when an element is cut twice, usually due to a narrow strut or a small hole in the structure. An example of a legal and illegal element can be seen in Figures 1 and 2, respectively.

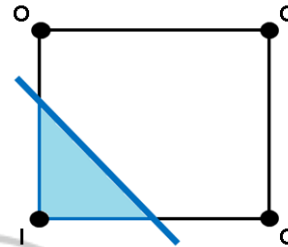


Figure 1: Legal 2D Element. The structure is indicated by the shaded region.

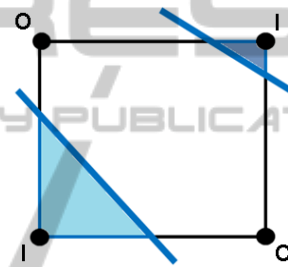


Figure 2: Illegal 2D Element.

### 2.2 Treatment for Illegal Elements

A simple and computationally efficient method is to move the boundary such that all elements contain a maximum of one cut. To identify the illegal elements we examine the status of the element nodes.

The implicit level set function representation usually has two node statuses, a node is inside the structure (an I-node) if the level set function is positive and is outside the structure (an O-node) if the level set function is negative. An element is cut by the boundary if it is made of both I-nodes and O-nodes. Similarly an edge of the element is cut if it has an I-node on one end and an O-node on the other. In 2D an element is considered illegal if an element contains two I-nodes and O-nodes in opposite corners as seen in Figure 2. In this case the element contains more than two cut edges, this identifies an illegal element in 2D.

We introduce a new node status, a touching node (a T-node) that is considered to be exactly on the boundary of the structure. A T-node can be either inside or outside depending on the status of the nodes it shares an edge with. If a T-node shares an

edge with an I-node the edge is inside the structure, if it shares an edge with an O-node the edge is outside the structure. Hence, a T-node is inside the structure if both edges it is connected to are inside and outside if both edges it is joined to are outside. If one edge is outside the structure and the other inside the structure the boundary intercepts the T-node.

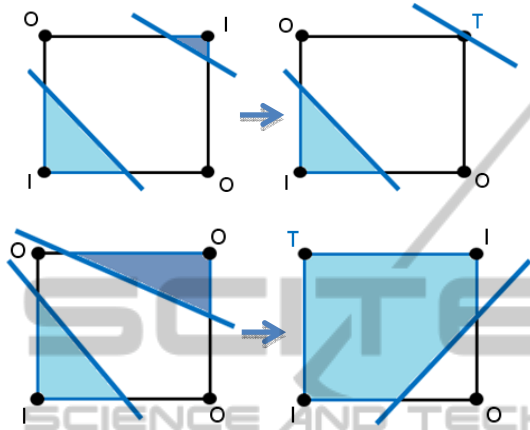


Figure 3: Examples of boundary updates to avoid illegal elements using T-nodes. The illegal elements on the left and modified legal elements on the right.

By changing an I-node or O-node in an illegal element to a T-node the boundary immediately adjacent to the T-node is moved as shown in Figure 3. This moves the boundary outside the element so that it no longer cuts the neighbouring edges and only one boundary remains, making the element legal. To minimize the modification, the node that is the shortest distance away from the boundary, as defined by its level set function value, is selected to be changed to a T-node.

This boundary modification algorithm is applied every iteration after an optimization step and Figure 4 shows an example of the effect it can have on the topological solution. In order to minimize the effects of this algorithm on the overall optimization procedure, it is assumed that the mesh density is of a reasonable density.

### 3 BOUNDARY MODIFICATION IN 3D

The additional dimension in 3D level set topology optimization significantly increases the complexity of the necessary algorithm both to identify and eliminate the illegal elements. To achieve robust treatment of illegal 3D elements a more advanced

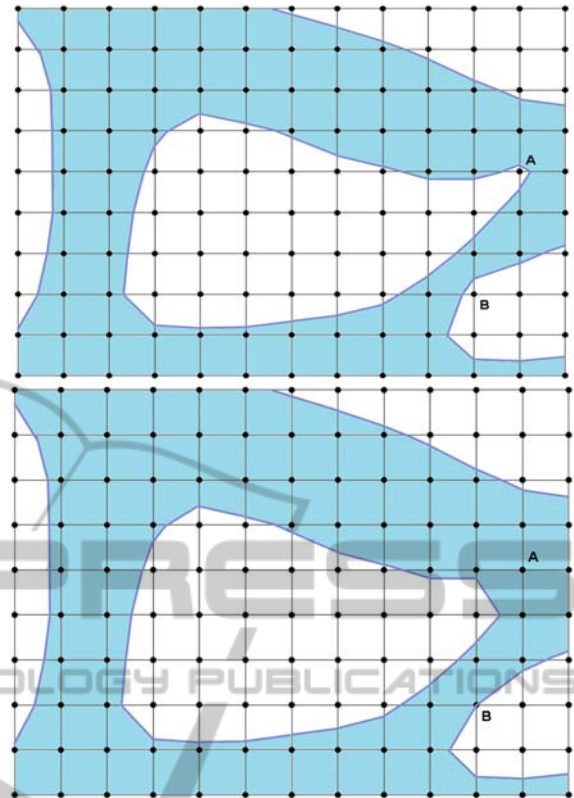


Figure 4: An example of how treating an illegal element affects the global structure. Nodes A and B are changed to T-nodes. The mesh density should be high enough for these features to be considered to be minimal.

correction method is formulated as discussed in the following.

#### 3.1 Identification of Illegal 3D Elements

A 3D element is considered illegal if a boundary of the structure cuts the element in a manner that cannot be represented by a single linear cut. Again this occurs when the boundary of the structure cuts an element more than once. Figure 5 shows examples of legally cut 3D elements and Figure 6 features examples of illegal elements showing that in 3D between two and four separate cuts by the boundary are possible. This complicates the detection of illegal elements as there is not a clear relationship between the number of cut edges and the illegal elements. While the maximum number of cut edges in a legal element is 6 (Figure 5), the same number of cuts can produce an illegal element (Figure 6). Introducing T-nodes does not lead to a well-defined relationship between the number of cut edges and illegal elements, as shown in Figure 7.

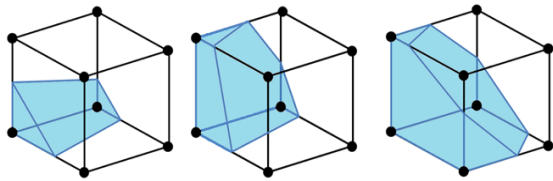


Figure 5: Examples of legally cut 3D elements.

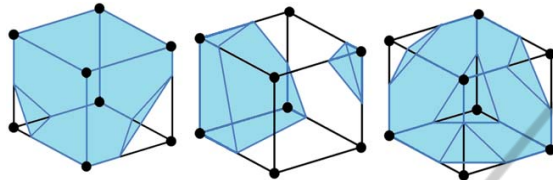


Figure 6: Example of illegal 3D elements that have been cut more than once. It is possible for an element to be cut four times.

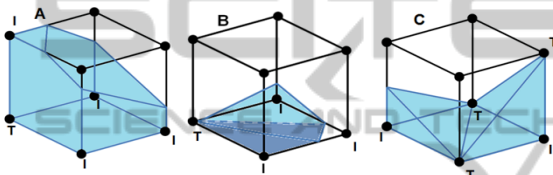


Figure 7: Example of 3D elements containing T-nodes. Element A is legal. Elements B and C illegal are as the T-nodes are intercepted by the boundary within the element in a manner that cuts the volume of material in two.

Another approach that could be used to identify an illegal element would be to check that all the I-nodes and O-nodes are linked by edges that are not cut. This would suggest a single section of the element containing all the I- and O-nodes and hence a single linear cut. However, it is unclear how to classify T-nodes; examples of this are shown in Figure 7. In addition, Figure 8 shows an illegal element with four I-nodes linked by uncut edges. We nickname this case the “Impossible 4” because it is impossible to form a legal cut that satisfies these node statuses, to do this two overlapping cuts are required.

We therefore, propose a binary index method. This method has been used to identify the type of boundary cut through an element for surface reconstruction (Bourke, 1994) and we develop this concept to identify illegal elements. The method assigns each node in the element a binary value based on its status. All O-nodes are assigned 0. Node 0 in Figure 9 is assigned 1 if it is an I-node and 256 if it is a T-node, node 1 is given 2 if it is an I-node and 512 if it is a T-node and so on with the values doubling for each node as a binary number. The full list of the values related to the node status is shown

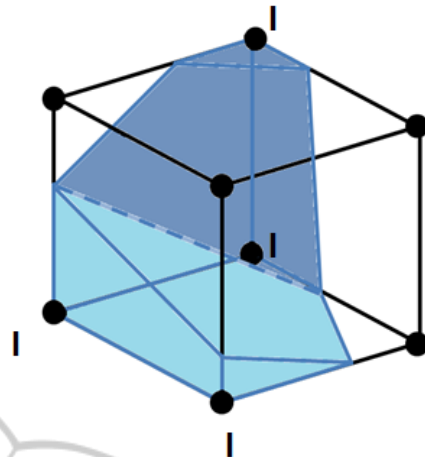


Figure 8: Example of the “Impossible 4”. All the I-nodes are connected to each other by uncut edges but two boundaries meet inside the element, making it illegal.

in Table 1 and the local position of each node is shown in Figure 9.

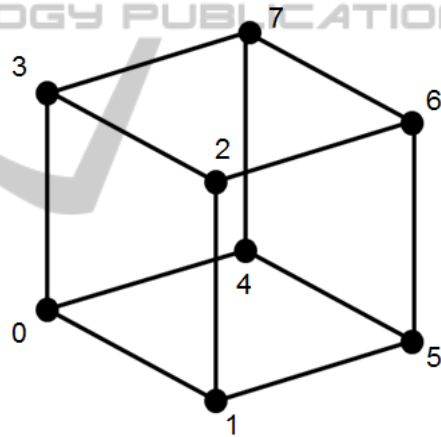


Figure 9: Local node numbering of an element.

Table 1: Value assigned to each of the local nodes based off the node status.

Node	I-node	T-node	O-node
0	1	256	0
1	2	512	0
2	4	1024	0
3	8	2048	0
4	16	4096	0
5	32	8192	0
6	64	16384	0
7	128	32768	0

Summing up the value of each node produces a number that is unique for each possible element cut. The sum of the index value for each element therefore, is used to identify the legal elements. There are 2554 legal cuts whose values are all stored in the index. Examples of this method of

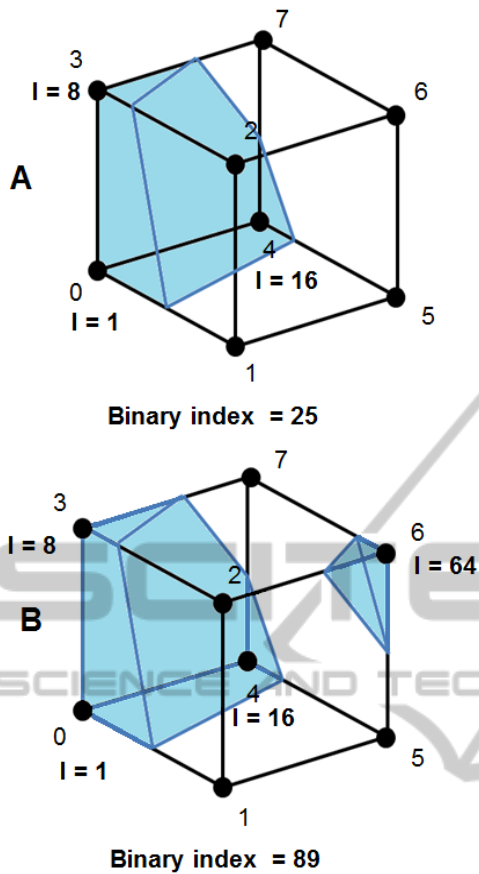


Figure 10: Example of the binary point method. Element A is legal; 25 is in the legal element index. Element B is illegal; 89 is not in the legal element index.

identification are shown in Figure 10 applied to a legal element (A) and an illegal element (B).

As well as having the advantage of being simple the method is also computationally inexpensive. This is an important characteristic as the binary index method is used to check element legality at every iteration of optimization.

### 3.2 Further Issues in 3D Elements

After an optimization step to update the structural boundaries and the illegal elements are identified using the binary index method the boundaries must be modified to eliminate the illegal elements. This step moves the boundaries in illegal elements such that there is only one surface cut through the element. This is implemented by changing the status of nodes to T-nodes, which follow the same rules in 3D as in 2D. However there are several cases that are unique to 3D geometry.

Firstly in 2D all the illegal elements could be fixed by changing one node to a T-node. However

this is not the case in 3D. Figure 11 shows a case where two nodes need to be changed to T-nodes to remove one of the cuts. Investigation has shown that the maximum number of node changes required to correct any illegal element cut is two, including an element cut four times and the Impossible 4 as shown in Figure 12.

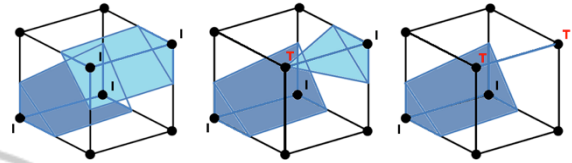


Figure 11: Cases where changing one node to a T-node does not make the element legal. Two neighbouring nodes must be changed.

The second issue is that unlike 2D modelling, changing the node closest to the boundary to a T-node does not guarantee the smallest shift in the boundary. In Figure 13 the change that will produce a legal element with the smallest movement of the boundary is to change node A to a T-node and remove the pyramid of material around it. However changing the node closest to the boundary would change node B which would not make the element legal. A more robust method of node selection is required in 3D.

Finally changing a node to a T-node can result in an illegal neighbouring element that shares the node. In Figure 14 changing node X to a T-node makes element A legal but it causes the neighbouring element B to become illegal. To prevent this it is necessary to check that changing a node to a T-node does not make neighbouring elements illegal.

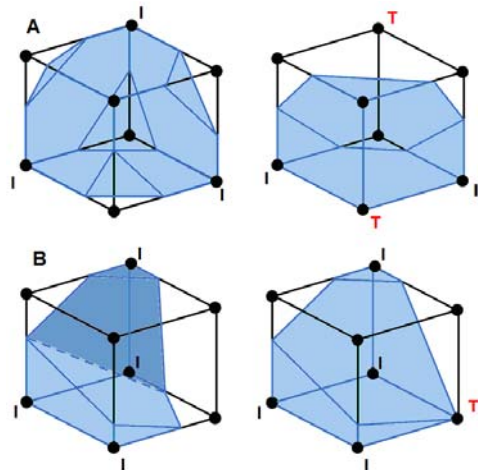


Figure 12: Illustration of how only one or two T-nodes are required to eliminate an illegal cut even when the element is cut four times as in case A. One node is required to be changed to a T-node make an Impossible 4 legal in case B.

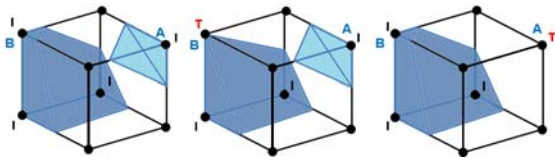


Figure 13: In this case changing the node closest the boundary (node B) to a T-node does not make the element legal. The least significant change to make the element legal is to change node A to a T-node.

### 3.3 Fractured Elements

Due to the need to maintain legality in neighbouring elements during the boundary modification procedure in 3D it is possible that a simple change of nodes of an illegal element to T-nodes is not sufficient in eliminating all illegal elements. This problem occurs in regions where there are multiple structural boundaries close together. As a result moving a boundary cut out of one element results in an extra boundary cut appearing in neighbouring elements. This suggests that the local structure is porous, formed from struts that are narrower than an element and/or islands of disconnected material. Finite element analysis results in porous local structures described by just a few disconnected elements have poor accuracy and such structures are usually a product of numerical instability such as checkerboard patterns well-known in topology optimization. We therefore, consider elements in such local porous regions to be “fractured” where all nodes in the elements are changed to T-nodes. With no I-nodes left a fractured element is considered to be outside the structure and hence makes no contribution to its stiffness.

It is worth noting that fractured elements are not a common occurrence in topology optimization; none occur during either of the example models shown in Figure 16 or Figure 18. If a model produces many fractured elements, it means the mesh is too coarse to further optimize the structure and the mesh should be refined.

### 3.4 Boundary Update Algorithm for 3D Level Set Topology Optimization

Having considered all cases for identifying and eliminating illegal elements in 3D the following algorithm is formulated for level set topology optimization. This algorithm follows the usual level set topology optimization boundary update to eliminate illegal elements. A flow chart of this method can be seen in Figure 15.

The first step is to identify the elements that the

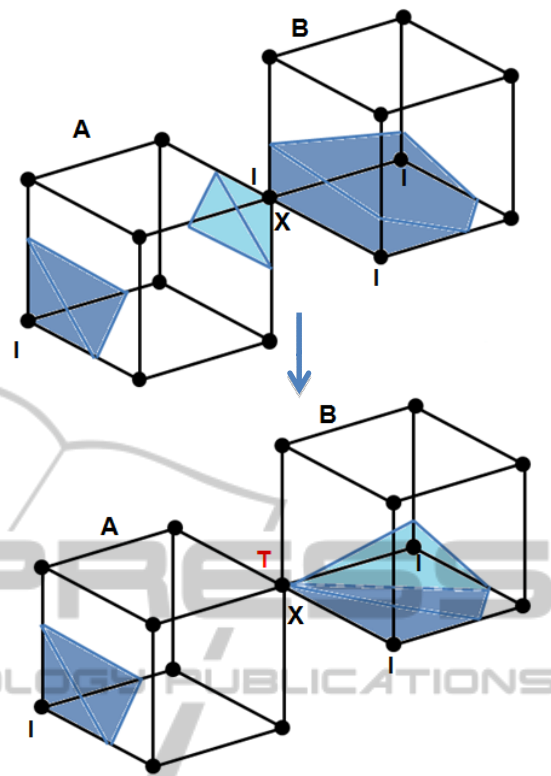


Figure 14: An example when making element A legal by changing node X into a T-node consequently makes element B illegal.

boundary passes through by examining the node statuses of each element. If an element contains at least one I-node and one O-node then it is cut by a boundary; if it has no I-nodes it is entirely outside the structure; otherwise it is entirely inside the structure. If an element is cut by a boundary its legality is checked using the binary indexing method described in Section 3.1, if the element is illegal then it is added to the correction set. Only once the legality of all the elements has been established does the correction procedure begin.

For each of the elements in the correction set, the algorithm searches for the correction that would make the elements legal and minimize the necessary boundary modification. The algorithm begins by changing the status of one node only. Each node in turn is temporarily changed to a T-node and the binary index method is used to check if the change has made the element legal. If so the legality of the neighbouring elements that share the node and are currently legal is checked to make sure the change has not made any of these elements illegal. If all the legal neighbouring elements remain legal then this modification is considered a potential solution. If there is more than one possible solution, the

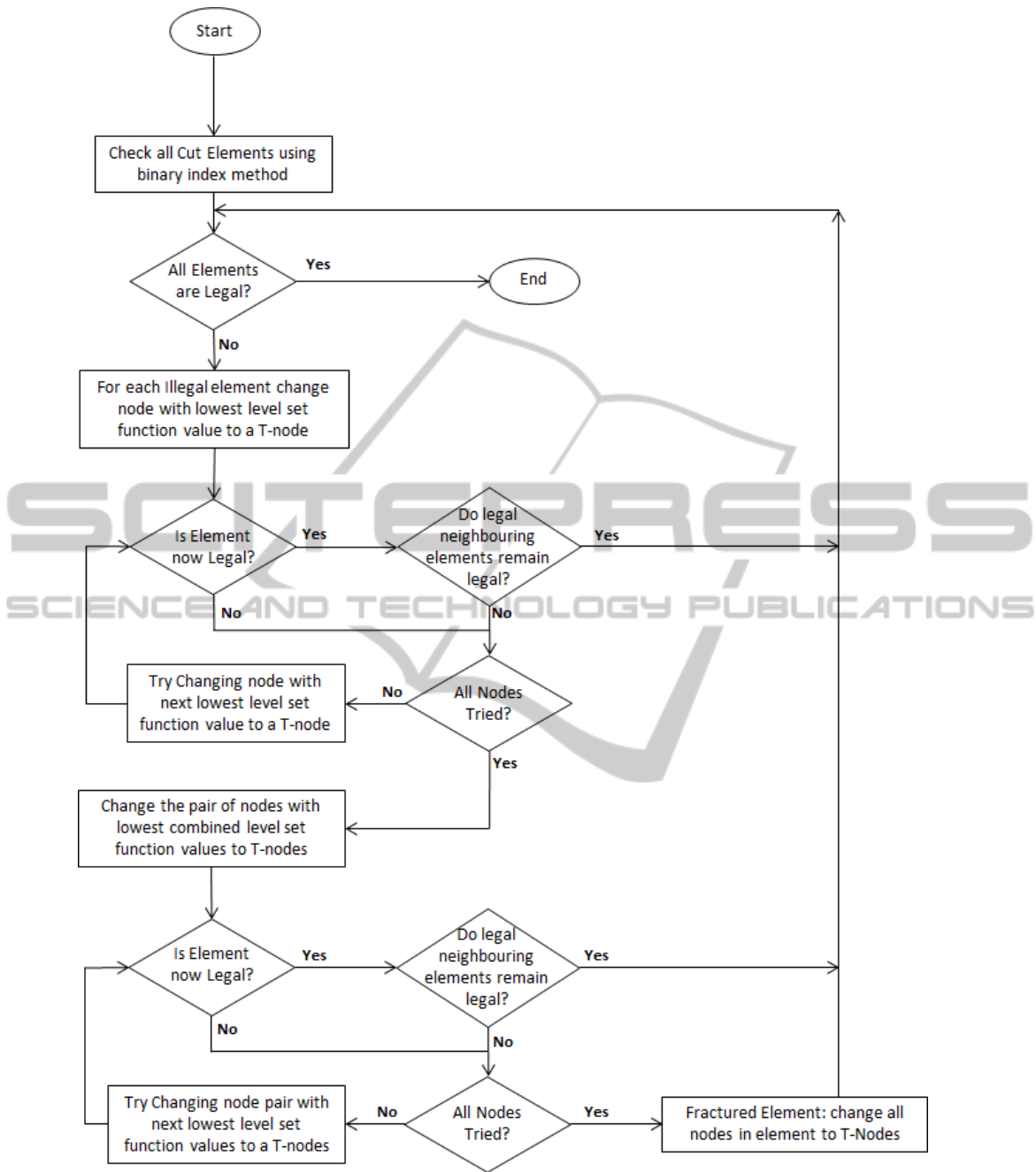


Figure 15: Flow chart for boundary correction algorithm.

one with the smallest level set function value (thus closest to the boundary) is selected and turned into a T-node to make the minimal change to the optimum boundary.

If there are no possible solutions from simply changing a single node to a T-node, a pair of nodes is temporarily changed to T-nodes to find a two-node solution. Again, of all the possible two-node solutions, the lowest combined level set function

value is selected to be turned into T-nodes to make the element legal.

In the rare situation that no suitable correction is found changing either one or two nodes to T-nodes then the element is considered to be fractured as described in Section 3.3. All its nodes are turned into T-nodes removing it from the structure. All the neighbouring elements are then examined, to investigate if this modification made the

neighbouring elements illegal. All the new illegal elements are then added to the correction set.

Once all illegal elements are considered and the correction set is empty, the new solution is checked for convergence and the optimization procedure continues.

An example of the effect this algorithm can have on the topological solution is shown in Figure 16. As in 2D modelling a reasonable mesh density is required to minimize the effect of this process on the optimal boundary.

## 4 NUMERICAL RESULTS

The boundary update algorithm described in Section 3 ensures that there is always sufficient nodal information to perform sensitivity computation allowing the optimization process to reliably proceed. This section illustrates the numerical examples of the topology optimization.

Figure 17 shows level set topology optimization of a 2D cantilever beam with aspect ratio 2. The structure displays features that would be expected in the optimum solution which is well-known (Michell, 1904). The thick beam is positioned at the top and bottom of the design space to resist the bending of the structure. In the centre where less bending is experienced smaller diagonal struts are formed to provide support for the exterior structure.

A similar cantilever beam of aspect ratio 2 is created in 3D on a coarse  $61 \times 30 \times 10$  mesh with a central load downwards, as shown in Figure 18. Figure 18 B to D shows how the structure develops during the optimization procedure. Starting from fully-populated continuum (B) the boundary moves towards the optimum topology by removing material in the centre of the beam first; leaving more material at the top and bottom of the structure to maintain a higher second moment of area and reduce bending. The converged topology adopts similar features to the 2D solution with thick beams around the outside of the structure supported in the centre by a thinner "web" made of multiple struts, similar to an I-beam.

## 5 CONCLUSIONS

During level set topology optimization it is possible for the boundary of the structure to move in a manner that cuts an element more than once. This violates the modelling assumptions for 1<sup>st</sup> order bilinear elements which cannot accurately represent

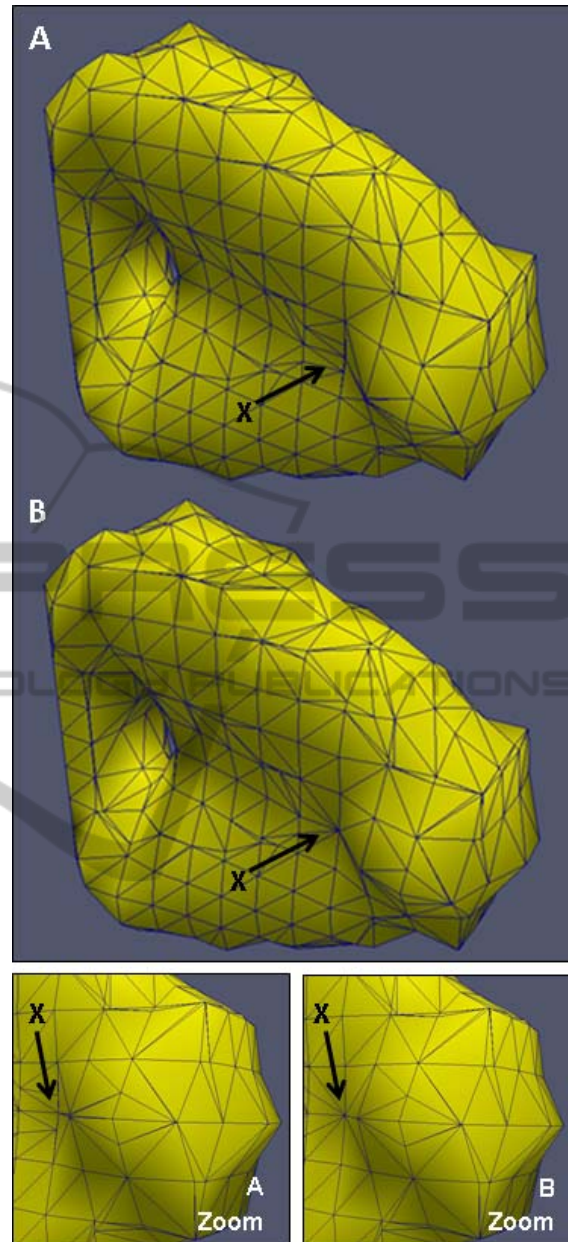


Figure 16: Example of how the treatment of illegal elements affects the global structure. A shows the structure before the element correction process. Arrow X points to a sharp indent in the structure which cuts two elements illegally. The correction procedure changes 4 nodes attached to these elements to T-nodes to make both elements legal. The movement of the boundary flattens the indent as can be seen in B; however this shift is small and does not have a significant effect on the global topological solution. (Note: the triangular surface mesh in these images represents the mesh for visualization and is not used for analysis or optimization. It is shown to highlight the structural details.)



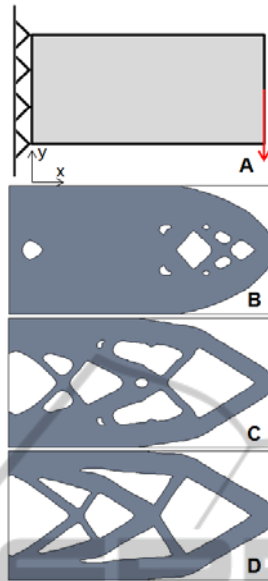


Figure 17: An Example of level set topology optimization applied to a simple cantilever beam in 2D. The modelled situation is shown in A with the development of the optimal structure shown in images B to D.

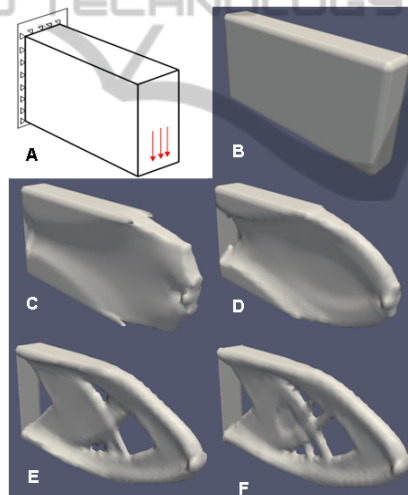


Figure 18: Example of 3D level set topology optimization applied to a simple cantilever beam on a 61x30x10 mesh. A shows the modelling situation and B to F show stages of the structure development from the initial continuum structure to the final optimal structure.

two boundaries in one element. Such element cuts are considered illegal. This paper presented a method to make illegal element cuts legal during level set topology optimization.

A binary index method is used to efficiently and reliably detect illegal elements. The algorithm then identifies the extra boundary, or boundaries, closest to the edge of the elements and moves it outside the element to make the element legal. The possibility of this movement making a neighbouring element illegal is considered by the algorithm and prevented. Even with a relatively coarse mesh density this

method does not have a significant effect on the global solution and produces the optimum topology.

The implementation of this method allows the use of a regular grid of first order bilinear elements on the geometric boundary of nodal sensitivity based level set topology optimization. The successful optimum solutions in 2D and 3D numerical examples demonstrate the method's reliability and suitability for level set topology optimization.

Possible extensions include the interface between two materials in composite structures and contact modelling between two surfaces. This will be

investigated in future works.

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