Pose Estimation using a Hierarchical 3D Representation of Contours and Surfaces

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Abstract: We present a system for detecting the pose of rigid objects using texture and contour information. From a stereo image view of a scene, a sparse hierarchical scene representation is reconstructed using an early cognitive vision system. We define an object model in terms of a simple context descriptor of the contour and texture features to provide a sparse, yet descriptive object representation. Using our descriptors, we do a search in the correspondence space to perform outlier removal and compute the object pose. We perform an extensive evaluation of our approach with stereo images of a variety of real-world objects rendered in a controlled virtual environment. Our experiments show the complementary role of 3D texture and contour information allowing for pose estimation with high robustness and accuracy.

1 INTRODUCTION

The pose estimation problem is a crucial aspect in many vision, robotic and cognition tasks. Many systems solve the problem of obtaining the location of the object of interest by tailoring the implementation to a specific object class or by relying on a specific feature type. Many 2D or image-based methods (Bay et al., 2006; Belongie et al., 2002; Lowe, 1999; Payet and Todorovic, 2011) learn an object model by discretizing over the set of possible views on an object, and base the detection on this representation. In 3D methods (Bariya and Nishino, 2010; Drost et al., 2010; Hetzel et al., 2001; Johnson and Hebert, 1999; Novatnack and Nishino, 2008; Papazov and Burschka, 2010; Rusu et al., 2009; Stein and Medioni, 1992; Wahl et al., 2003), there is a tendency towards the use of range data which provides a large set of surface points in the scene. Correspondences between these points and a 3D model are then sought, from which a pose is calculated.

We address the problem of estimating the pose of rigid objects with known geometry and appearance based on calibrated stereo image views of the object. From the input stereo image, we reconstruct a 3D scene representation using an early cognitive vision system (Jensen et al., 2010; Pugeault et al., 2010) covering contour and surface information.

Based on our features, we define relations between feature pairs. These relations encapsulate both appearance and shape information. Inspired by (Belongie et al., 2002), we call the total set of relations for a feature in a given spatial region a *context*. The feature context descriptor is used for discriminative correspondence search during pose estimation.

In Figure 1, we show the effect of combining both contour points (blue) and surface points (red) in the estimation process from a training view (top). Even though contour information is adequate for obtaining an alignment, it is clear that the quality of the fit is poor, which can be easily seen by the misaligned edge contours which should be on the corner of the object.

In summary, the work described in this article makes two main contributions:

1. The potential of using viewpoint invariant 3D relations for pose estimation and
2. By combining different 3D entities, i.e. contour and surface information, higher precision and robustness in pose estimates can be achieved.

The paper is structured as follows. In Sect. 2 we describe the feature extraction process. In Sect. 3, we describe how the object representation is obtained. Sect. 4 describes how model-scene correspondences are obtained, and Sect. 5 how the estimation process is performed. Finally, experiments provide an evaluation of our process in Sects. 6 and 7.

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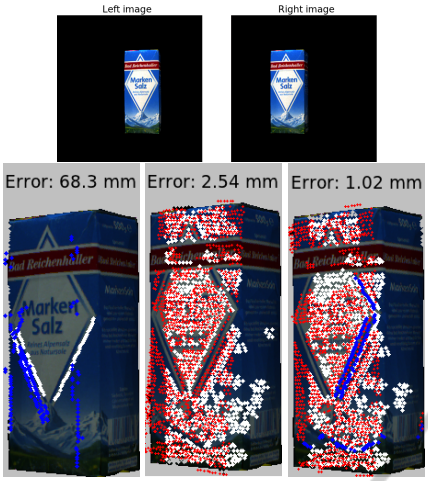

Figure 1: Pose estimates using contour features only, surface features only and the combination of both. Top: a frontal training stereo view used for generating a model. The input view (bottom) is rotated 35° compared to the training view. The trained model points are shown in blue (contours) and red (surflings). White points represent the matched points in the input. The error is given as the ground truth translation error. Left: Fit using only contours. Middle: Fit using only surfaces. Right: Fit using both kinds of features.

1.1 Related Work

In the domain of 3D object alignment, a significant amount of research has been done. Methods based on local descriptors have proven to be usable in resolving correspondences between a stored model and a scene in a stable manner. Many of these works involve 2D descriptors (see e.g. (Bay et al., 2006; Belongie et al., 2002; Lowe, 1999)). Since these methods do not need any kind of 3D data, they can be directly applied to monocular images. In general, for these methods the accuracy of the pose estimate is limited since rather significant pose changes in 3D might result in only small changes in the projected image.

A notable work using 3D entities is (Johnson and Hebert, 1999) where descriptors are formed as spin images which act essentially as a sub-sampling of the shape information in the neighborhood of a surface point. In a previous work (Stein and Medioni, 1992), a splash descriptor is formed by the differential properties of the neighborhood. An interesting descriptor is presented in (Bariya and Nishino, 2010; Novatnack and Nishino, 2008) where focus lies on scale-dependent keypoint features providing rich shape information. In (Hetzel et al., 2001; Rusu et al., 2009), local geometric descriptors are formed by histograms of relative coordinate frames for capturing local characteristics of the object. Some of the most convincing results, however, have been reported based on very local point pair representations (Drost et al., 2010; Papazov and Burschka, 2010; Wahl et al., 2003) in which the density of the full model representation is utilized. In (Payet and Todorovic, 2011), contours are used in a framework for monocular-based object detection. Instead of a context descriptor, a summary descriptor is generated on a lattice in the image.

All the methods mentioned above operate on geometric information only on one scale and one kind of descriptor without making use of any relational information in 3D. In our approach we make use of the different granularities in the visual hierarchy, show the complementary role of surface and contour information and apply viewpoint invariant relational feature descriptors. In addition, our method includes appearance information by incorporating appropriate descriptors.

The concept of using context information derives from (Belongie et al., 2002; Frome et al., 2004) in which such context information is encoded by defining a 2D or 3D neighborhood around a point. The difference to our approach is that the distal properties encoded are not restricted to the spatial domain but also apply to appearance attributes, and that we operate on two kinds of descriptors at the same time on different levels of granularity.

2 ECV SCENE REPRESENTATION

The foundation of our work lies in the way 3D entities are obtained given a stereo image pair. We make use of an early cognitive vision (ECV) system described in (Jensen et al., 2010; Pugeault et al., 2010), which provides a processing hierarchy from the lowest level (pixels) to increasingly higher level of abstraction (see Figure 2).

The system first performs linear image filtering operations for the extraction of 2D primitives, here line segments and textured regions (texlets). Correspondences between left and right image are then searched for, and the line segments and texlets are reconstructed in 3D. The result of this is a relatively dense 3D scene representation in both the contour and the surface domain containing line segments and texlets. We refer to these entities as primitives. The line segments are defined by position, direction and color, and texlets by a position, normal vector and mean color. These 3D primitives can be grouped, which results in higher-level entities, or simply *features*, which we call contours and surflings. A contour thus consists of a set of line segments with similar di-

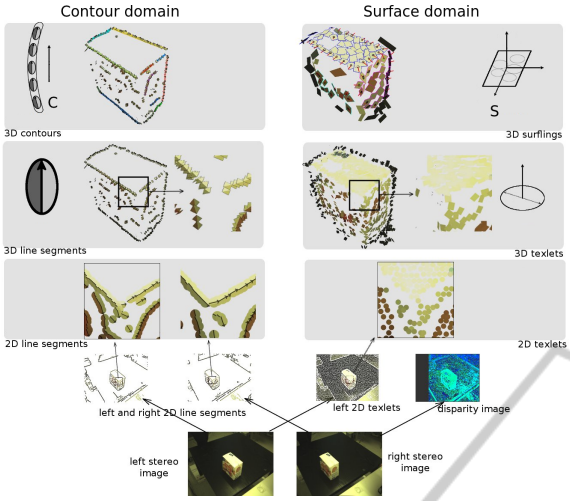

Figure 2: Example of an ECV interpretation of a scene.

rection and color. Similarly, a surfling is made up of a group of texlets with similar normal vector direction and color. The grouping has a smoothing effect which increases robustness of the generated features, even under large viewpoint transformations. The ECV representation of an example scene is shown in Figure 2.

3 ECV CONTEXT DESCRIPTORS

The result of the ECV processing presented in Sect. 2 is a scene representation consisting of a sparse set of features with a high descriptive ability. These features capture the object geometry and appearance in different ways. Contours reflect distance and orientation discontinuities as well as color borders. Surflings are able to describe the local appearance of a surface. At the current stage, we generate a training stereo image based on a textured CAD model from which we extract the 3D feature set. This process could also be done using views of real objects captured in an experiment setup.

To obtain an even higher level of descriptive ability, we calculate relations between a feature and all other features in a local spatial region. Inspired by (Belongie et al., 2002; Frome et al., 2004), we refer to the complete set of relations of a given feature as a context. An object model thus consists of a set of features and their contexts. The locality of a context is controlled by a radius given as a distance in Euclidean space. In contrast to many existing methods, our context descriptors also include appearance information. Figure 3 shows the whole processing pipeline from input image to 2D primitives to 3D features (left part), and finally to the context of a feature using a

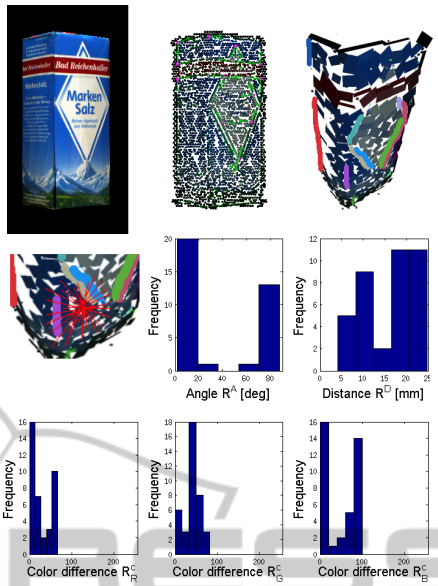

Figure 3: ECV representation of the KIT object "BlueSaltCube" and an example of a surfling context descriptor. Top part: left input image from rendered stereo image, extracted 2D primitives (line segments and texlets) and reconstructed 3D contours and surflings (contours not shown by intrinsic color, but using a unique color label). Bottom part: an example context based on set of relations of a surfling with a radius of 25 mm. Relation parameter distributions shown by histograms.

radius of 25 mm (right part). The five histograms of the context descriptor show the sampled distribution of the relations to the neighboring features in terms of geometry (angle and distance) as well as appearance (RGB color differences). The object is taken from the Karlsruhe Institute of Technology (KIT) database of household objects (KIT,), which is also used for the experiments presented in Sect. 6.

As previously mentioned, we extract context information both in the model building phase as well as in the execution phase. As the features represent different visual modalities (Krüger et al., 2004), the parametrization also differs. We list the relational feature parameters in Table 1. Contours are denoted by C and surflings by S.

Table 1: Parameters of the different relation types for contours C and surflings S.

Name	Relation types	Parameters
Angle	$C \leftrightarrow C, S \leftrightarrow S$	R^A
Distance	$C \leftrightarrow C, C \leftrightarrow S, S \leftrightarrow S$	R^D
Color difference	$C \leftrightarrow C, C \leftrightarrow S, S \leftrightarrow S$	$R^{\vec{c}}$

Distances are calculated using the center points of the features. For surflings, the angle is simply de-

fined as the dihedral angle. For contours, we perform a principal component analysis on the contour line segments and take the eigenvector corresponding to the largest eigenvalue to get the main direction. This direction vector is then used for calculating angle differences. In Figure 4, we show how these spatial relations are obtained.

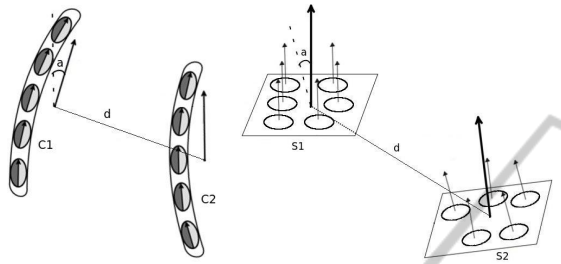

Figure 4: Spatial relations for a contour pair (left) and a surfling pair (right). This figure also shows the line segments making up a contour as well as the texlets making up a surfling.

The context descriptor $\mathcal{D}$ of a feature $\mathcal{F} \in \{C, S\}$ is defined as the complete set of feature relations $\mathcal{R} \in \{R^A, R^D, R^c\}$ in a neighborhood bounded by the radius r.

$$\mathcal{D}(\mathcal{F}) = \{\mathcal{R}(\mathcal{F}, \mathcal{F}') \mid R^D(\mathcal{F}, \mathcal{F}') < r\} \quad (1)$$

where $\mathcal{F}'$ is any other feature in the neighborhood. A complete object/scene model, $\mathcal{M}$ and $\mathcal{S}$, is thus extracted from the total set of descriptors, which we denote as:

$$\mathcal{M} = \{\mathcal{D}_M\} \quad (2)$$

$$\mathcal{S} = \{\mathcal{D}_S\} \quad (3)$$

4 CORRESPONDENCES

We perform a correspondence search between the model $\mathcal{M}$ and the scene descriptors $\mathcal{S}$ in order to eliminate scene features not expected to be part of the model sought. This operation can be regarded as a filtering which removes scene entities which do not match the model features according to some metric. This metric is defined in Sect. 4.1 where we explain the feature matching. In Sects. 4.2 and 4.3, we show how this information is used for resolving correspondences. While the correspondence matching in Sect. 4.1 is done on entities extracted on higher levels of the visual hierarchy (i.e. contours and surflings) and their contexts, the actual computation of the pose is done based on the local primitives which the higher level entities consist of (i.e. 3D line segments and texlets). Sect. 5 describes how the final pose estimation is done based on the remaining set of putative feature correspondences between the model and the scene.

4.1 Matching

For the relations in Table 1, we define a discrete function $\xi \in \{C \leftrightarrow C, C \leftrightarrow S, S \leftrightarrow S\}$ for a given relation $\mathcal{R}$ which enumerates the possible combinations of entity pairs or relation types. This is done to assure that only alike relations are compared. It would not make sense to match e.g. a relation of type $C \leftrightarrow C$ against a relation of type $C \leftrightarrow S$.

Given the context descriptors of two features of the same type, we perform matching using the following cost function based on mean absolute differences:

$$c(\mathcal{D}_M, \mathcal{D}_S) = \frac{1}{|\mathcal{D}_M|} \sum_{\mathcal{R}_M \in \mathcal{D}_M} \min_{\{\mathcal{R}_S \in \mathcal{D}_S \,:\, \xi(\mathcal{R}_M) = \xi(\mathcal{R}_S)\}} \frac{\text{abs}\,(\mathcal{R}_M - \mathcal{R}_S)}{|\mathcal{R}_M|} \quad (4)$$

where $|\cdot|$ denotes the cardinality of a set. The term inside the sum calculates for each relation in the model descriptor the "distance" to the nearest matching relation in the scene descriptor. This can also be regarded as a local assignment using closest point matching measured by absolute differences. Since the different parameters have different ranges, we normalize all parameters and thereby the cost c to the interval $[0,1]$. Fortunately, all parameters except distances are intrinsically bounded (e.g. angles are bounded to the range $[0,90]^\circ$). For the distance parameter, we simply normalize using r since this represents the maximally possible distance deviation between two relations.

4.2 Feature Assignment

To establish correspondences between a model and a scene, we calculate all descriptors $\mathcal{D}_S$ of the scene and compare them to the model descriptors $\mathcal{D}_M$ using (4). We form an unbalanced weighted bipartite graph $G = (U, V, E)$ where $|U| = |\mathcal{M}|$, $|V| = |\mathcal{S}|$. Each edge is labeled by $c(\mathcal{D}_M, \mathcal{D}_S)$. Clearly, edges can only be drawn between features of the same type which makes the graph incomplete.

To solve the assignment, we generate a cost matrix of dimension $|U| \times |V|$ of all the edge labels. We then apply the Hungarian method (Kuhn, 1955) which produces a global minimum matching, i.e. a one-to-one matching h between the model and the scene that minimizes the following:

$$\sum_{\mathcal{D}_M \in \mathcal{M}} c(\mathcal{D}_M, h(\mathcal{D}_M)) \quad (5)$$

To remove outliers in this matching, we discard assignments with a cost larger than a predetermined threshold ε_c. The result is an injection $h : \mathcal{M} \rightarrow \mathcal{S}$ containing putative feature correspondences for a subset of the model features.

4.3 Point Correspondence Assignment

As will be clear from the following section, we need 3D correspondences between points in order to generate and validate pose hypotheses of the rigid object transformation. This problem has been partially solved by the feature assignment described in the previous section. However, taking only the center point of the individual features provides too little information of the object geometry for the pose estimation step. We therefore exploit the fact that features are the result of a grouping of lower-level point entities, i.e. 3D line segments and texlets (see Figure 2). Since the correspondence search relates each model feature to one scene feature, we can transfer this knowledge to the point entities simply by setting all points in the corresponding scene feature as putative correspondences of a point in the model feature. This choice is based on the following observation: when a feature assignment is performed, it is based on spatial and appearance invariants. This does not give us any information of the absolute placement of the point entities making up the feature. Line segments and texlets can thus float around freely under different viewing conditions. In other words, we do not know how the individual line segments/texlets of a contour/surfling are ordered under different viewing conditions since we do not know the object pose yet. We only know that the features correspond, so all line segment/texlet points inside a scene contour/surfling can be potential matches of each line segment/texlet point inside the model contour/surfling.

Based on this, we end up with a one-to-many relationship between the model/scene points which we denote $\mathcal{P}$ and $\mathcal{Q}$ respectively. The point correspondences are stored as a $|\mathcal{P}| \times |\mathcal{Q}|$ index or match matrix M. In the pose estimation step, we ultimately seek a bijection between the two sets, relating each model point to one scene point.

5 POSE ESTIMATION

The pose estimation strategy puts all the previously mentioned methods together in a strategy for obtaining an object pose from 3D scene data. The input to the algorithm is a previously generated context model of an object and extracted 3D features of a scene. The following steps are performed:

1. From 3D scene feature data, extract all feature relations and thereby contexts with context radius r.
2. For all model features, match the context descriptor up against each scene feature context using (4).
   - If the cost c to the closest scene feature falls below a threshold ε_c, store the feature pair as a putative feature correspondence.
3. Derive one to many point correspondences and store the indexed matches in the matrix M.
   - Contours: for each line segment point along the model contour, store all line segment points along the matched scene contour as putative point correspondences.
   - Surflings: for each texlet point on a model surfling, store all texlet points on the matched scene surfling as putative point correspondences.
4. Find the pose estimate from the set of putative point correspondences that gives the best fit of the model in the scene using RANSAC (Fischler and Bolles, 1981).
   - In the sampling stage, we start by sampling three model points randomly from $\mathcal{P}$. For each of these, we sample a correspondence from the putative correspondence subset of $\mathcal{Q}$ encoded in the match matrix M. To obtain a pose hypothesis, we apply Umeyama's method (Umeyama, 1991).
   - In the verification stage, we use the median Euclidean distance between the transformed model and scene points to get a more robust estimation. This is referred to as the fit error.

This strategy assures a robust search for point correspondences between the model and the scene as well as high accuracy in the resulting pose estimate.

6 RESULTS

We have performed extensive evaluation of our algorithm using the KIT database (KIT,) which at the current stage consists of 112 textured objects. For each object, we generate eight 45°-spaced training views of the object to cover the whole object. Then we rotate the object with an increment of 5° away from each training view to generate input images. We thus evaluate each view up against eight rotations. In Figure 5, we show example images for five different objects.

Figure 5: Example training images and test sequences (left view only) for five different objects in the database. In the leftmost column, the training images are shown, and on the right side of the vertical white line, the test images corresponding to the rotations $\{5°, 10°, \ldots, 40°\}$ away from the training image are shown. Artifacts stem from the database and were caused by imperfect scans.

Note that only one training case out of eight is shown for each object.

As this is done in simulation using the textured CAD model, we can compare our results with ground truth and get an absolute error measure this way. An instance of an alignment is visualized in Figure 1, where we show these errors for contours only, surflings only and both.

In the experiments we set the context radius to $r = 25$ mm. This parameter should always reflect a compromise between descriptive ability and robustness towards occlusions. We have found this value to be a good compromise. For RANSAC, we set an upper bound of 500 iterations. In Figure 6 we show both the fit errors (left) and the ground truth errors (right) of the experiments for all views of all objects.

As the ground truth errors reveal, we achieve alignment errors well below 0.5 cm for rotation up to 20° and significantly below 2 cm even under large rotations up to 40° when combining the feature types.

Pose estimation on contours alone produces on average worse results than matching based on surface information. However, for non-textured objects containing contour information this is in general the only information available when visual stereo is used. Pose estimation algorithms based on texture information only would fail completely in that case. As shown in Figure 6, the combination of surface and contour information improves performance significantly. Hence, the experiments show that contours are an important source of information for pose estimation, even for textured objects.

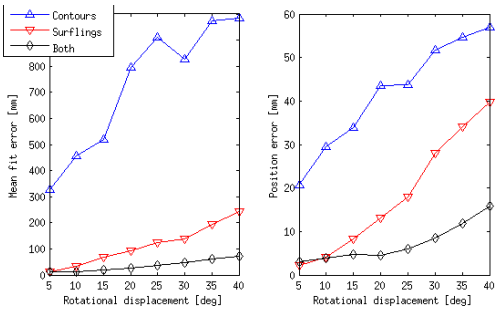

Figure 6: Estimation results for all textured KIT objects. Left: mean of all fit errors. Right: mean of all ground truth errors.

7 CONCLUSIONS

We have described a pose estimation algorithm which makes use of viewpoint invariant representations in terms of context descriptors organized in a visual hierarchy covering two parallel streams, one corresponding to contour structures and the other to texture structures. We have made quantitative evaluations on a data set of 112 objects for which the ground truth is known. We have shown that the combination of contour and surface information increases the accuracy of pose estimation, keeping the ground truth error magnitudes below the errors in the estimation using only contour or surface information.

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