

# Image Retrieval with Reciprocal and Shared Nearest Neighbors

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**Abstract:** Content-based image retrieval systems typically rely on a similarity measure between image vector representations, such as in bag-of-words, to rank the database images in decreasing order of expected relevance to the query. However, the inherent asymmetry of  $k$ -nearest neighborhoods is not properly accounted for by traditional similarity measures, possibly leading to a loss of retrieval accuracy. This paper addresses this issue by proposing similarity measures that use neighborhood information to assess the relationship between images. First, we extend previous work on  $k$ -reciprocal nearest neighbors to produce new measures that improve over the original primary metric. Second, we propose measures defined on sets of shared nearest neighbors for reranking the shortlist. Both these methods are simple, yet they significantly improve the accuracy of image search engines on standard benchmark datasets.

## 1 INTRODUCTION

During the last decade, significant improvements have turned content-based image retrieval systems from research laboratory prototypes into large scale, efficient and effective commercial products. In particular, the seminal “Video Google” paper (Sivic and Zisserman, 2003), by casting powerful local descriptors such as SIFT (Lowe, 2004) into a suitable vector representation, has made possible the use of numerous techniques previously introduced in text-based information retrieval, such as inverted files. Many powerful systems are now based on this idea, allowing the quick identification of the images similar to a query, even within databases comprising millions of images. On top of that foundation, various techniques have been presented for enhancing the query result, including the use of geometric information for re-ranking a result shortlist (Fischler and Bolles, 1981; Jégou et al., 2008), (larger) redefinitions of the visual vocabulary (Nister and Stewenius, 2006), alterations of the distance measure (Jégou et al., 2007), and aggregation of local features in clever ways (Perronnin et al., 2010; Jégou et al., 2010). Although such approaches do boost the quality of the results, there is still much room for further improvement.

One significant impediment to neighborhood-based similarity search is the asymmetry of the  $k$ -

nearest neighbor ( $k$ -NN) criterion used to identify similar items. If  $u$  is among the  $k$ -NN of  $v$ , it is not necessarily the case that  $v$  be among the  $k$ -NN of  $u$ . A lack of reciprocity in  $k$ -NN relationships can be taken as an indication that query result sets are likely to contain many noisy data points of low relevance to the query. The presence of many such false positives may lower the quality of the overall query result.

This paper proposes two methods that exploit the fundamental asymmetry of the  $k$ -NN measure for the reranking of image query results. The first contribution is the definition of three robust and stable extended similarity measures for the comparison of neighborhoods of the candidates in the shortlist, as well as reranking criteria based on these measures. The second contribution is the definition of a maximum reciprocal rank criterion for the identification of a shortlist containing more highly relevant images. When used either in isolation or in a combined manner, these two contributions are shown to significantly improve the accuracy of image search engines.

The paper is structured as follows. Section 2 gives an overview of the research literature most closely related to this paper. Section 3 details the proposed reranking approaches. Then, Section 4 gives experimental evidence of the improvements observed when using our techniques on standard benchmark datasets. Section 5 concludes the paper.

## 2 RELATED WORK

In the context of reranking of the results of queries involving image features, Qin et al. in (Qin et al., 2011) take advantage of  $k$ -reciprocal nearest neighbor structure by applying different distance measures to different parts of the shortlist. Given a query image  $q$ , they separate the database into two disjoint sets, the *close-set* containing images highly related to  $q$ , and the *far-set* comprising the remainder of the database. The *close-set* is used to re-rank images from the *far-set* according to the degree of connectivity of *far-set* images to *close-set* ones.

While the method is able to take advantage of the highly related neighbors within the *close-set*, when ranking elements of the *far-set*, the use of arbitrary threshold values on the (non-reciprocal) primary cosine similarity ranking leads to unstable performance behavior as the neighborhood size  $k$  increases. Furthermore, the construction process for the *close-set* is quite costly. Overall, their set partition strategy for exploiting structural information over neighborhoods turns out to be insufficient for practical applications on image data.

Houle et al. in (Houle et al., 2010) examines the use of shared nearest neighbors for secondary rank-based similarity measures. Experimental evidence indicates that shared-neighbor measures are more stable and robust than traditional noisy approaches, especially in high dimensional spaces. Here, the similarity value of an object pair is a function of the number of data objects in the common intersection of fixed-sized neighborhoods, determined by a conventional (primary) similarity measure. The primary similarity measure can be any function ( $L_2$ , cosine) ranking the data objects relatively to the query.

The *relevant-set correlation* (RSC) clustering model (Houle, 2008) adopts such a shared-neighbor scheme to account for well-associated items in the grouping procedure. (Hamzaoui et al., 2013) also builds on shared-neighbors. They designed a bipartite shared-neighbor clustering algorithm for suggesting additional object-based visual queries suitable for relevance feedback search.

All three of the aforementioned approaches rely heavily on some notion of shared neighborhood. Each attempts to compensate for the difficulties inherent in high-dimensional search, by extracting adjacency and structural information among neighborhoods within the image space, or among object seeds within the object space. The three methods all can be regarded as attempting to “denoise” the primary traditional similarity measure, in a second processing step, in order to provide more reliable matches.

## 3 OUR APPROACH

This paper proposes two reranking mechanisms for the improvement of image query results. Each can be used in isolation, or in a combined manner. Both exploit the degree of association between the  $k$ -NN sets of a query image  $q$  and any candidate result object.

Both mechanisms to be presented seek to adjust the contents of the original query result, by measuring the degree to which the neighbor set of a result object agrees with that of the query object itself. The first reranking approach involves the use of shared neighbor information; three neighborhood comparison measures are presented in Section 3.1. The second approach involves the use of a maximum reciprocal rank criterion to construct a result set for query  $q$ . It is defined in Section 3.2.

### 3.1 Comparing Neighborhoods

In traditional systems, for a query image  $q$ , a shortlist  $\mathcal{N}_k(q)$  of results is produced from a  $k$ -NN candidate set, where the membership and order is determined according to a similarity measure defined in advance. Such shortlists often contain irrelevant images, or omit relevant images, in part due to the asymmetry of the original  $k$ -NN ranking criterion.

It is possible to rerank the images in the shortlist by considering the number of similar images that are shared by the members of the shortlist. This reranking strategy borrows from the notion of shared nearest neighbors studied by Houle et al. (Houle et al., 2010).

#### 3.1.1 Shared Nearest Neighbors

Once a shortlist  $\mathcal{N}_k(q)$  has been determined, it is then possible to parse it to determine the relationship between the neighborhoods of any two of its elements. Given two images  $t$  and  $u$  in the shortlist of  $q$ , their shared neighbor set is defined as the number of images in the common intersection of their  $k$ -NN sets. The shared neighbor set is more formally defined as

$$SNN_k(t, u) = \mathcal{N}_k(t) \cap \mathcal{N}_k(u); \quad (1)$$

its cardinality  $|SNN_k(t, u)|$  can be used as the basis of a query result reranking function.

#### 3.1.2 Metrics for Neighborhoods

Information concerning the pairwise relationships among all images in the shortlist can in principle be incorporated into a similarity measure for the purpose of reranking that shortlist. Two images in the shortlist that share many database images are likely to be more similar than two other shortlist images sharing

few relevant images. Comparing the neighborhoods of the images in the shortlist can therefore serve for the comparison of the images themselves. In this paper we use three measures for computing the similarity between two neighborhood sets:

- *Jaccard*: A traditional measure of the similarity between sets is the Jaccard coefficient:

$$j_k(x, y) = \frac{|SNN_k(x, y)|}{|\mathcal{N}_k(x) \cup \mathcal{N}_k(y)|} \quad (2)$$

Jaccard values range from 0 to 1, with  $j_k(x, y) = 1$  implying that the images share precisely the same set of neighbors, in which case we assume that the images themselves are very likely to be strongly related. By taking into account the union of the neighbor sets,  $j_k$  measures the distribution of the shared neighbors.

- *Set Correlation*: Another possible measure is the set correlation measure defined by Houle (Houle, 2008). For  $x$  and  $y$  in database  $\mathcal{D}$ , this measure is:

$$sc_k(x, y) = \frac{|\mathcal{D}|}{|\mathcal{D}| - k} \left( \frac{|SNN_k(x, y)|}{k} + \frac{k}{|\mathcal{D}|} \right) \quad (3)$$

Neighbors appearing in both  $\mathcal{N}_k(x)$  and  $\mathcal{N}_k(y)$  support the correlation. In contrast, objects appearing in only one of two neighborhoods detract from the correlation. Compared to  $j_k$ , the set correlation measure does not take the union of the neighborhood sets into account.

- *Sigmoid*: The Jaccard and Set Correlation measures both fail to differentiate between the case where strong (original) similarity scores are observed when  $k$  is small, from the case where weaker similarities are observed when  $k$  is large. In the latter case, neighborhoods typically include a significant proportion of irrelevant neighbors, and are therefore less informative and reliable than when  $k$  is small. By construction,  $j_k$  and  $sc_k$  increase with  $k$ , and fail to reflect that the associated neighborhoods become noisy.

For the comparison of neighborhood sets, a sigmoid function can be used to differentiate strong from weak similarities while mitigating the influence of (large)  $k$ . The function we define is:

$$sgm_k(x, y) = \frac{1}{1 + \exp(-a * (\frac{|SNN_k(x, y)|}{k} - b))}, \quad (4)$$

$\frac{|SNN_k(x, y)|}{k}$  is the normalized intersection based on neighborhood size  $k$ . The term  $b = \exp(-\frac{k}{n})$  is a decreasing function of  $k$ , which corrects for the bias associated with large values of  $k$ .

The slope of the curve is influenced by the parameter  $a$ . When  $a < 1$ , the resulting mapping is very

gradual. In contrast, higher values for  $a$  create a much sharper mapping as the slope becomes more steep. The extreme case is when the sigmoid function approaches a step function. Since our goal is to benefit on the one hand from the profitable behavior of Jaccard and Set Correlation for small  $k$  similarity values, and on the other hand from the thresholding of similarities when  $k$  is large, a natural choice is to set  $a = 1$ .

### 3.1.3 Extending Neighborhood Measures

The Jaccard and the Set Correlation measures are sensitive to the membership and sizes ( $k$ ) of the neighborhoods, but not their order; as such, they are oblivious to the rank which neighborhoods begin to greatly diverge. On the other hand, the motivation for the Sigmoid measure comes from the desire to increase the relative weight of less relevant images (with initial ranks closer to  $k$ ) at the expense of highly relevant images (with initial ranks closer to 1). By determining the sharpness of the inflection of the sigmoid function, the shape parameter  $a$  allows control of the relative influence of near and far members of the neighborhood; however, is very sensitive to the value of  $k$ .

In order to cope with the sensitivity of the aforementioned similarity measures, we also propose robust extensions which account for variation in the neighborhood size. Each extended measure integrates contributions from the basic shared neighbor measure upon which it is based, taken across the range of neighborhood sizes  $1 \leq k' \leq k$ . For each choice of neighborhood size  $k'$ , the basic scores are normalized by a value depending on  $k'$ . The extended measures can be viewed as voting processes retaining reliable high-quality votes that are likely near the top of the shortlist, as well as accounting for divergence in neighborhoods based at items at the bottom of the list.

- *Extended Jaccard*:

$$\bar{j}_k(x, y) = \sum_{k'=1}^k j_{k'}(x, y) \frac{1}{\sum_{l=1}^{k'} \delta_l(x, y)}, \quad (5)$$

with

$$\delta_l(x, y) = \begin{cases} 1, & \text{if } |SNN_l(x, y)| > 0 \\ 0, & \text{otherwise} \end{cases} \quad (6)$$

- *Extended Set Correlation*:

$$\bar{sc}_k(x, y) = \sum_{k'=1}^k \frac{sc_{k'}(x, y)}{k'} \quad (7)$$

- *Extended Sigmoid*:

$$\bar{sgm}_k(x, y) = \sum_{k'=1}^k \frac{sgm_{k'}(x, y)}{k'} \quad (8)$$

The measures can be made even more robust in practice by allowing  $k'$  to vary over the range  $k_0 \leq k' \leq k$ , for some small constant  $k_0 \geq 1$ ; the extended measures stated are with  $k_0 = 1$ .

### 3.2 Maximum Reciprocal Rank

In (Qin et al., 2011), Qin et al. use a reciprocal  $k$ -NN criterion for their *close-set* and then rerank images. In contrast to their approach, which can be rather costly and unstable, we propose here a new reranking criterion based on reciprocity of  $k$ -NN set membership.

We first define  $\text{rank}_x(y)$  as the rank of the image  $y$  when the database is queried for  $x$ , according to some underlying primary similarity measure (possibly but not necessarily the cosine similarity). Conversely,  $\text{rank}_y(x)$  is the rank of  $x$  in the query result based at  $y$ . From the perspective of  $x$ ,  $\text{rank}_x(y)$  will be referred to as the *forward rank* of  $y$ , while  $\text{rank}_y(x)$  is termed the *backward rank* of  $y$ . We then define the following reciprocity-based symmetric dissimilarity measure:

$$r(x, y) = \max_{x, y \in \mathcal{D}} (\text{rank}_x(y), \text{rank}_y(x)). \quad (9)$$

Based on  $r(\cdot, \cdot)$ , we define  $\mathcal{R}_k(x)$ , the  $k$ -Maximum Reciprocal Rank Set of an image  $x \in \mathcal{D}$ , to be the  $k$  items of  $\mathcal{D}$  achieving the smallest maximum reciprocal rank values in conjunction with  $x$ :

$$\mathcal{R}_k(x) = k\text{-arg min}_{y \in \mathcal{D}} r(x, y). \quad (10)$$

$\mathcal{R}_k(x)$  identifies images in the vicinity of  $x$  having a high degree of mutual relevance: these images are not only reciprocal neighbors of  $x$ , but the extent of reciprocity is strictly bounded by the value of  $k$ . This reciprocity-based neighborhood is a much stronger indication of mutual similarity than can be determined by an asymmetric  $k$ -NN primary similarity measure.

For these reasons, we propose the use of the reranked set  $\mathcal{R}_k(q)$  as a substitute for the original neighborhood set  $\mathcal{N}_k(q)$  when determining the contents of the shortlist corresponding to the query  $q$ .

### 3.3 Discussion

The extended measures defined in Section 3.1.3 rely on two different notions of neighborhood. The first notion corresponds to the *direct* neighborhood of the query that is used to determine the shortlist of similar images. The second notion corresponds to the neighborhood of the images inside that shortlist for building on their own shared neighbors. This is the *indirect* neighborhood of the query.

The criterion for determining the neighborhood of an image (whether this image be the query or an image from the shortlist) can be based either on a traditional similarity measure, or on the Maximum Reciprocal Rank scheme defined in Section 3.2. It should therefore be clear that these two mechanisms — based on shared neighborhoods or on reciprocity of neighborhoods — can be used either independently or in combination. For example, it is possible to determine  $\bar{j}_k$  from  $\mathcal{N}_k(q)$  alone, or  $\bar{j}_k$  from  $\mathcal{R}_k(q)$ .

In the discussion of the experimentation in the next section, we will see that query result quality generally increases when using one of the extended neighborhood comparison measures for reranking. When determining neighborhoods using the Maximum Reciprocal Rank, and then applying our reranking process, further improvements can be observed.

## 4 EXPERIMENTS

In this section we report on the performance of the approaches presented above. We start by examining the improvements on the quality of the retrievals when using the extended measures comparing shared neighborhoods. We then compare the performance of these measures when building the shortlist using  $\mathcal{R}_k$  instead of  $\mathcal{N}_k$ . We first describe our experimental setup.

### 4.1 Experimental Setup

We used the three following popular datasets for our experiments, all of which are available online.

**Holidays** (1491 images, 500 queries). This dataset contains personal holiday photos of high resolution, provided by INRIA (Jégou et al., 2008). The set is composed of small groups of images showing the same object or the same scene. Each query image is applied to the remaining 1490 images in a leave-one-out fashion.

**Oxford5k** (5062 images, 55 queries). The set consists of images corresponding to 11 distinct buildings in Oxford, England (Philbin et al., 2007). Each query is specified as the portion of the image contained in a supplied bounding box. All queries are performed against the entire database.

**Paris6k** (6412 images, 55 queries). These images were taken from Flickr through a search procedure focusing on particular landmarks in Paris (Philbin et al., 2008). Again, queries are in bounding boxes, and ran against the entire database.

SIFT descriptors were extracted from the three image sets using a Hessian-Affine detector. The features describing the Holidays image set were assigned



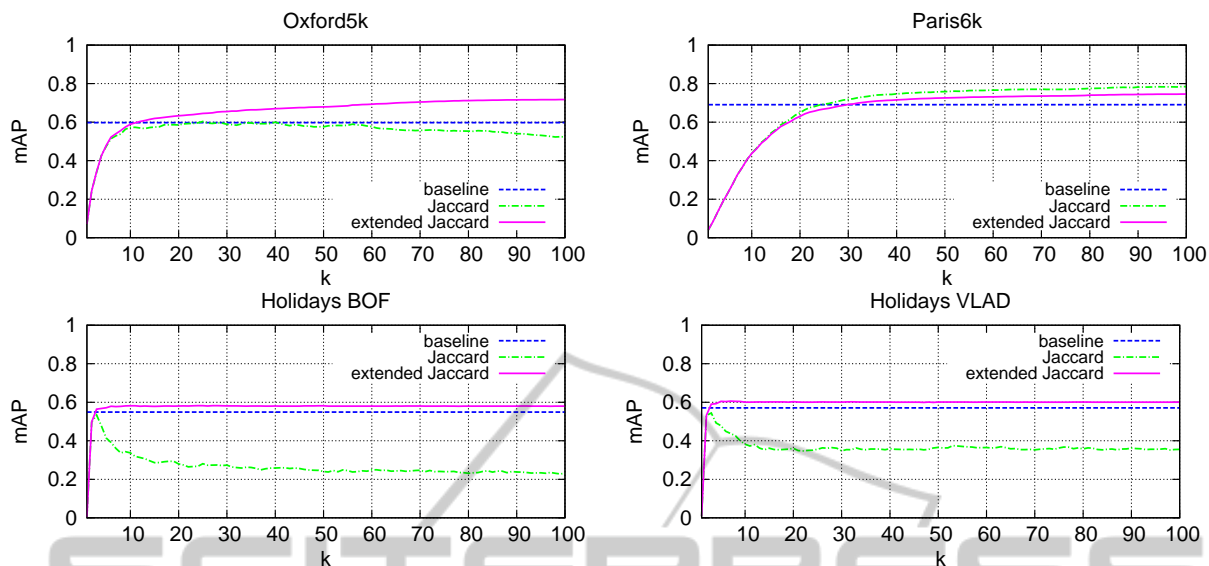


Figure 1: A comparison of mean average precision (mAP) scores obtained with the baseline measure (cosine +  $k$ -NN) with those obtained with the Jaccard  $j_k$  or the extended Jaccard  $\bar{j}_k$  shared-neighbor measures. Comparison performed against the Oxford5k, the Paris6k and the BOF and VLAD versions of the Holidays image sets, while varying  $k$ .

to a vocabulary comprising 200k visual words (available online (Jégou et al., 2008)). All the experiments presented below that use this bag-of-features representation of the Holidays image set are indicated by the label “Holidays BOF”.

Many systems have considered a more sophisticated aggregated representation of the local features, typically yielding better result quality. We therefore computed VLAD features over the Holidays image set (Jégou et al., 2010), clustering them to 64 centroids. Another motivation for using VLAD features is to determine the suitability of our technique when the dimensionality of the features is relatively low. Experiments using the VLAD representation are identified by the label “Holidays VLAD”.

The features computed from the Oxford5k and Paris6k sets were assigned to two different visual vocabularies provided by the authors of (Qin et al., 2011). The Oxford5k feature set was assigned to 1M visual words, while the Paris6k feature set was assigned to 500K visual words.

The baseline for the evaluations is obtained by computing the mean average precision (mAP) on the results returned when probing the databases with the queries. The search process is here a simple  $k$ -NN search based on the cosine similarity. At query time,  $L_2$  normalization is applied to the cosine similarity, and a traditional *tf-idf* weighting scheme is used. We typically ran many series of retrievals for various values of  $k$ . Note that we do not check the geometrical consistency of matches in post-processing.

Table 1: Comparing the baseline mAP (cosine+ $k$ -NN) with the mAP obtained using  $\mathcal{N}_k$  together with the  $\bar{j}_k$ ,  $\bar{sc}_k$  and  $\bar{sgm}_k$  measures.  $k = 100$  on Oxford5k and Holidays BOF.

Method	Oxford5k	Holidays BOF
baseline	0.598	0.549
$\mathcal{N}_k$ & $\bar{j}_k$	0.699	0.580
$\mathcal{N}_k$ & $\bar{sc}_k$	0.697	0.580
$\mathcal{N}_k$ & $\bar{sgm}_k$	0.722	0.587

## 4.2 Jaccard vs. Extended Jaccard

We begin by showing the performance of the basic and extended versions of the Jaccard measure,  $j_k$  and  $\bar{j}_k$ , using  $\mathcal{N}_k(q)$  for the generation of the shared neighbor scores  $SNN_k$ . Figure 1 shows the mAP for the baseline, the Jaccard and the extended Jaccard over all datasets,  $k \in [1, 100]$ .

Compared to the baseline method, the basic Jaccard shared-neighbor measure  $j_k$  performed better for the Paris6k set, as evidenced by an increase in mAP. For Oxford5k, Holidays BOF and Holidays VLAD, the performance of the basic Jaccard measure was worse than the baseline, and degraded as the value of  $k$  increases. A careful analysis of the experimental logs and the image sets shows that  $SNN_k$  enhances the results when the diversity of the images contained in the database is relatively limited. This was the case for the Paris6k set, as it consists of photos of a small number of landmarks. This was not at all the case for the Holidays sets, which contain a wide variety of images. With Holidays, only very few images are indeed

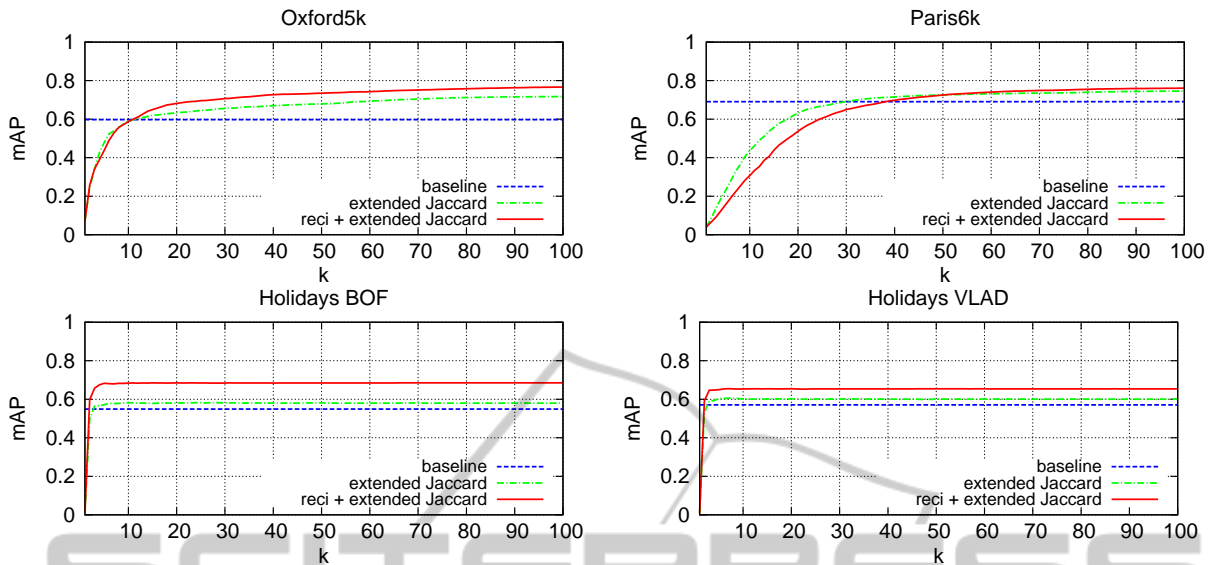


Figure 2: Comparing the behavior of  $\bar{j}_k$  when the query result shortlist is based on  $\mathcal{N}_k$  or  $\mathcal{R}_k$ . Comparison performed against the Oxford5k, the Paris6k and the BOF and VLAD versions of the Holidays image sets, over various choices of  $k$ .

similar to each query image, and these images are in general very well ranked. Therefore, as  $k$  increases, the shortlist contains more and more unrelated images. This behavior is clearly visible from the Jaccard performance curves, where the decrease in mAP is initially very sharp. Note also that this behavior can be observed on the Oxford5k dataset, although the effect is far less pronounced.

The extended version of the Jaccard measure,  $\bar{j}_k$ , exhibited a much better behavior than the basic Jaccard. By integrating the basic Jaccard scores across the full range of neighborhood size,  $\bar{j}_k$  is better able to take into account strong shared-neighbor matches observed from highly relevant items from the shortlist, particularly when  $k$  is small. In this way, the use of the extended Jaccard measure leads to more stable performance. Note that no improvement over the basic version was observed when using the Paris6k image set — this is again due to the very low diversity of the images.

This first experiment showed that the extended Jaccard measure outperforms both the baseline measure and the basic Jaccard measure. We also evaluated the performances of the Set Correlation measures  $sc_k$  and  $\bar{sc}_k$ , as well as the Sigmoid measures  $sgm_k$  and  $\bar{sgm}_k$ . Overall, the extended versions of the three neighborhood comparison measures always outperformed their non-extended counterparts, and were always more stable when  $k$  is allowed to vary. For these reasons, in the remainder, we will compare the performance of the baseline approach only to the extended versions of the Jaccard, Set Correlation and Sigmoid measures ( $\bar{j}_k$ ,  $\bar{sc}_k$  and  $\bar{sgm}_k$ , respectively).

### 4.3 Comparing Extended Measures

The second experiment presented here compared the performance of the three extended measures against the baseline when the maximum neighborhood size is large ( $k = 100$ ). Table 1 shows that  $\bar{j}_k$ ,  $\bar{sc}_k$  and  $\bar{sgm}_k$  all outperformed the baseline despite the high level of noise in queries involving these two sets. The table shows only the results for the Oxford5k and the Holidays sets, as the performance on the Paris6k set (with its lower image diversity) was quite similar to that achieved on the Oxford5k set, and the performance on Holidays VLAD was almost identical to the performance on Holidays BOF. Note that the best performance was consistently achieved by the extended Sigmoid measure  $\bar{sgm}_k$ .

### 4.4 Using Reciprocity

The third experiment of this study investigated the effect on mAP when the Maximum Reciprocal Rank criterion is used to reorder the query result shortlist prior to the application of the extended shared-neighbor measures. More precisely, we applied  $\bar{j}_k$ ,  $\bar{sc}_k$ , and  $\bar{sgm}_k$  to two situations, one in which the cosine similarity measure is used to generate the neighborhood sets  $\mathcal{N}_k$ , and the other when using the neighborhood set  $\mathcal{R}_k$  produced by reordering  $\mathcal{N}_k$  according to the Maximum Reciprocal Rank criterion.

As in the previous experiments, the relationship between the performances of the basic and extended measures followed the same trends, across all data sets tested, and regardless of the method used to con-

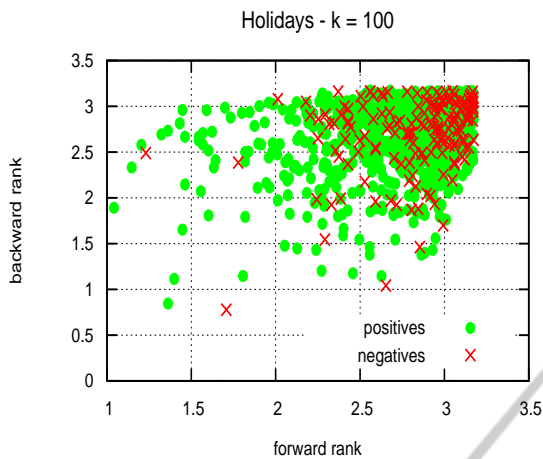


Figure 3: Distribution of contributions to the  $\mathcal{R}_{100}$ , Holidays BOF, 500 queries. Positive and negative examples are determined from the ground truth.  $\log_{10}$  scale for ranks.

struct the initial neighborhoods. Consequently, we discuss here only the experimental results for  $\bar{j}_k$  and  $\bar{j}_k$ ; similar conclusions can be drawn from the experiments for the other two pairs of measures.

Figure 2 plots the mAP for the all datasets considered. Overall, it shows that the extended Jaccard measure  $\bar{j}_k$  using the Maximum Reciprocal Rank criterion  $\mathcal{R}_k$  (indicated as “reci + extended Jaccard”) clearly outperforms  $\bar{j}_k$  using the usual  $k$ -NN neighborhoods  $\mathcal{N}_k$  (indicated by “extended Jaccard”). The gain is significant, even on the challenging Oxford5k image set.

The behavior of the Maximum Reciprocal Rank rule is illustrated by Figure 3. Here, we plot the *forward* and *backward* ranks for the 100 nearest neighbors determined for all 500 queries. Positive examples (as determined from the ground truth information) are indicated using green circles, and negative examples using red crosses. Note that the density of the positive examples is high when both the *forward* and *backward* ranks indicate high relevance, whereas most negative examples have a poor *forward* or *backward* rank, or both.

These experiments provide evidence that the use of reciprocity, as embodied in the Maximum Reciprocal Rank rule, clearly allows for the determination of shortlists of significantly higher quality than can be constructed according to the primary similarity measure upon which it is based. Reranking strategies can then in turn be expected to improve in quality, due to the higher relevance of the neighborhood sets. Overall, the experimental results indicate that the combined use of reciprocity and shared-neighbor information can lead to great improvements in the quality of similarity search and its follow-on applications.

## 4.5 Summary of Experiments

Table 2 summarizes the results obtained over all experiments performed, including those for which performance curves had not been presented and discussed above. The table shows the best mAP values that were observed when running our techniques, when  $k$  was allowed to range between 1 and 200. The table also compares our proposed approaches to that of Qin et al. (Qin et al., 2011). Note, however, that our results differ slightly from those presented by Qin et al. in their paper when using the Oxford5k and the Paris6k image sets — despite our best efforts, we could not reproduce their work exactly, possibly in part due to differences in the visual vocabularies used. Note that here  $k_0 = 1$ . The best mAP values achieved are shown in bold font.

Table 3 reports the results obtained when setting  $k_0$  to specific values larger than 1 and when using  $\mathcal{R}_k$ . Results are reported only for Oxford5k and Paris6k, where setting  $k_0$  significantly boosts the mAP. This is not the case for the Holidays set due to the high diversity of its images (and the consequent small number of true positive results per query). Experiments conducted with  $\mathcal{N}_k$  show an improvement in mAP improves for the Paris6k set when  $k_0 = 60$ : 0.790 for  $\bar{j}_k$ , 0.789 for  $\bar{sc}_k$  and 0.781 for  $\bar{sgm}_k$ . All the results discussed in this paragraph are the best we observed over all choices of  $k \leq 200$ .

## 4.6 Lessons

Three main lessons can be drawn from these experimental results:

1. Shared nearest neighbor information is useful. It is clear from the experiments and from the tables that taking into account the neighbors shared by the images in the shortlist dramatically improves quality. Spectacular improvements are with the Paris6k image set.
2. Integrating the values of measures over a range of neighborhood sizes can boost performance of reranking methods. Another effect of this extension of reranking measures is that the performance is more robust to increases in the value of  $k$ .
3. Building the shortlist using the Maximum Reciprocal Rank clearly improves over the use of the original  $k$ -NN sets.

Table 2: mAP observed for various search strategies and re-ranking techniques; Holidays, Oxford5k and Paris6k sets.  $k_0 = 1$ .

Method	Oxford5k	Paris6k	Holidays	
	BOF	BOF	BOF	VLAD
baseline	0.598	0.691	0.549	0.571
(Qin et al., 2011)	0.814	0.803	-	-
$\mathcal{N}_k$ & $\bar{j}_k$	0.701	0.752	0.582	0.606
$\mathcal{N}_k$ & $\overline{sc}_k$	0.700	0.748	0.581	0.602
$\mathcal{N}_k$ & $\overline{sgm}_k$	0.724	0.783	0.589	0.607
$\mathcal{R}_k$ & $\bar{j}_k$	0.737	0.768	0.685	0.655
$\mathcal{R}_k$ & $\overline{sc}_k$	0.734	0.765	0.684	0.654
$\mathcal{R}_k$ & $\overline{sgm}_k$	0.746	0.804	<b>0.687</b>	<b>0.660</b>

Table 3: mAP for  $\mathcal{R}_k$  with  $\bar{j}_k$ ,  $\overline{sc}_k$ ,  $\overline{sgm}_k$ . Varying initial neighborhood size  $k_0$ . Oxford5k and Paris6k sets.

Oxford5k		
Method	$k_0 = 1$	$k_0 = 20$
$\mathcal{R}_k$ & $\bar{j}_k$	0.737	<b>0.779</b>
$\mathcal{R}_k$ & $\overline{sc}_k$	0.734	0.777
$\mathcal{R}_k$ & $\overline{sgm}_k$	0.746	0.761
Paris6k		
Method	$k_0 = 1$	$k_0 = 80$
$\mathcal{R}_k$ & $\bar{j}_k$	0.768	<b>0.820</b>
$\mathcal{R}_k$ & $\overline{sc}_k$	0.765	<b>0.820</b>
$\mathcal{R}_k$ & $\overline{sgm}_k$	0.804	0.812

## 5 CONCLUSIONS

This paper presented three measures of the similarity between neighborhoods of images, suitable for use in shared-neighbor similarity re-ranking of images in a query result. Extensions integrating the values of these measures across a range of neighborhood sizes were also presented. Experimental evidence shows that the extended measures improve significantly the mean average precision scores observed over state-of-the-art standard image benchmark datasets. This paper also presents a reciprocal rank criterion allowing the construction of shortlists containing highly relevant images. Both techniques, used in isolation or in a combined manner, outperform standard techniques.

Overall, compared to the work presented in (Qin et al., 2011), our approach provides a quite simple and uniform framework for integrating the structural information that can be obtained from the neighborhood of images into the overall assessment of similarity to the query point. Furthermore, our reranking procedure remains free of complex parameter tuning (since  $k_0$  can be set to a fixed value by default), and does not involve any optimization process, keeping its complexity low. The method does require, however, the computation and storage of ranked neighbor lists. The memory overhead therefore grows linearly with the database size, in the same way as for the main competing method due to Qin et al. (Qin et al., 2011).

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