

# Integration of Autonomic Mechanisms to a Test Management Solution

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**Abstract:** Testing is one of the most time-consuming phases of the software development cycle and this is not different in the mobile software domain. In fact, small input mechanisms, dependence to wireless network configurations and complex navigations create a very stressful and prone to errors test environment. This paper presents additional modules that were specified to a test management tool, which extend its abilities in terms of automation, intelligent control and statistical metrics manipulation. We compare this approach to other efforts from the software engineering community and stress the gains in our test process. A list of learned lessons was also consolidated to share important points of this experience.

## 1 INTRODUCTION

While number and complexity of tests are increasing due to new resources provided by computational platforms, test centers are forced to improve their test process time. Note that as faster a specific system is evaluated and delivered to the market, as better will be its chances against other applications. Thus this scenario configures a contradiction: the need to increase the number of tests and decrease the test time. Furthermore, this contradiction can lead to reduce the quality of the overall test process.

The use of test management solutions, which are able to support all the stages of a test cycle (Aljahdali et al, 2012), is an option to ensure a better control and quality of this process. There are several options for management tools available in the market (Chin et al, 2007). However, it is hard to cover all the stages of the test process with a unique tool, mainly if the test domain differs from the traditional software development cycle. Considering this fact, we have investigated and specified a test architecture, which mainly focused on concepts of automation. This architecture was carried out in a modular way, so that each module could be instantiated with third-party or home-made solutions.

The remainder of this paper is organized as follows: Section 2 presents an abstract view of our test architecture, showing its modules and

communications among them. Section 3 discusses our investigation about possible pre-defined solutions/tools that could fit this test architecture, stressing the gaps of such solutions. Section 4 describes additional components that were integrated to the solution to cover such gaps. Section 5 comments the main learned lessons in terms of test coverage, documentation and time efficiency. Section 6 discusses previous works related to our approach, while Section 7 concludes this work.

## 2 TEST ARCHITECTURE

Test management architectures can be seen as a set of several different modules. Each of them is a computational process that intends to perform a function related to the whole test process. The diagram in follow (Figure 1) shows an abstract view of test management modules that were considered important to our test process. This diagram stresses six main test modules: Test Case (TC) Generation, Mapping, Filter, Planning, Execution and Results.

*TC Generation* accounts for populating the TC Database with test cases that validate the Domain Specification (Yamaura, 1998). This module can be an automatic process if the domain specification is modelled in a formal way. There are some approaches in this direction, which are mainly based on formal methods (Prasanna et al, 2005).

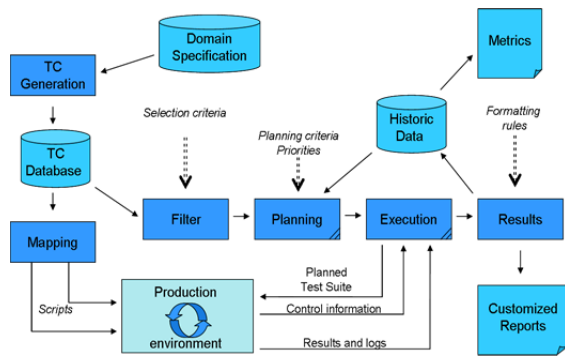


Figure 1: Test management architecture.

The *Mapping* module accounts for the generation of scripts to be executed in a production environment. Differently of test cases, which do not usually change, the script language depends on the environment where they are going to be executed. The automatic generation of scripts can be carried out using similar techniques than those used to TC generation.

The *Filter* module accounts for selecting the test cases that are going to be used in a test cycle, according to some *Selection Criteria*. For example, a product may not support certain function, so that all the tests related to such function must be eliminated from the active test suite.

The *Planning* module accounts for creating an optimal sequence of tests (or plan of tests) based on parameters such as *Plan Criteria* (time and resources), *Priorities* (simple indications of test ordering) and Historical Data rules (e.g., indications of more problematic tests so that they can firstly be carried out).

The *Execution* module accounts for the real performance of pre-defined sequence of tests. To that end, this module sends the planned test suite to the production environment and monitors the execution of this sequence via control information. Control information is, for example, an indication that a TC has failed. Then, the execution module must decide if this TC must be performed again, or if the next TC must be loaded on.

The *Results* module accounts for generating a customized report according to *Formatting Rules*. Such rules can be seen as templates, which are instantiated with result data. Another important function is to generate historic data about the test cycle. These data are important to raise up metrics about the process and to lead future plan definitions. Metrics indicate, for example, average time to perform suite of tests, so that we have a good prevision of future cycles and possible problems.

The Planning and Execution modules have a more complex structure, which are represented in follow (Figures 2 and 3). To create an execution sequence of tests, the planning module (Figure 2) must act as a schedule, where a restriction manager generates constraints to be respected by this schedule. A priority modifier uses the historic data to set new priorities that can optimise the process.

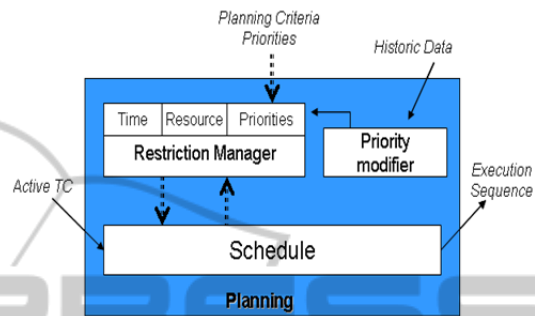


Figure 2: Details of the planning module.

The execution module (Figure 3) has a set of control rules that lead the decision process in case of failures. This module also acts in situations where we could change the test sequence to optimise the process.

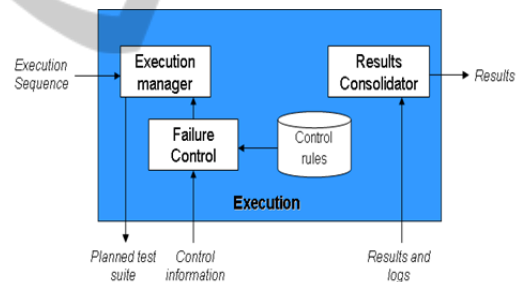


Figure 3: Details of the execution module.

For example, consider the following scenario from our test process. Some of the tests must be repeated several times and there is an associated approval percentage. For instance, consider that each test is represented by the 3-tuple  $\langle t, \eta, \varphi \rangle$ , where  $t$  is the test identifier,  $\eta$  is the number of test repetitions for each device, and  $\varphi$  is the approval percentage. Then a 3-tuple specified as  $\langle t_1, 12, 75\% \rangle$  means that  $t_1$  must be performed twelve times and the device will only be approved if the result is correct at least nine times. However, if the first nine tests are correct, then the other three do not need to be executed, avoiding waste of time.

This abstract architecture considers some important concepts to our test domain. First, the automation idea is distributed in its modules, so that

after providing some inputs (selection criteria, planning criteria and formatting rules), the architecture could adapt the process to evaluate a product and generate customized reports. Second, the execution module could provide an intelligent control and, consequently, some level of autonomy to the process. Furthermore, this control could also find opportunities to optimize the process. Third, the architecture does not consider the historical test data as just a passive information store. Rather, these data are used as a decision element by the *Priority Modifier*, also optimizing the sequence of tests.

### 3 TEST MANAGEMENT TOOL

The next step, after the definition of an appropriate abstract architecture, was to investigate test management tools that could cover a significant part of this architecture. Thus, four tools were evaluated by our team: Testlink, QATraq, HP Quality Center and RHT. This evaluation has shown that, independently of the tool, some basic functions are always presented. Examples are (1) organization of information such as software requirements, test plans, and test cases; (2) test results tracking; and (3) reports and statistic generation. However, each tool has its own features and strengths.

The *QATraq Test Management Tool*<sup>1</sup> covers several plan stages from writing test cases to defining test plans and recording results. One of the main aims of this tool is to improve the coordination between testers, team leaders and managers. To that end, the tool provides resources such as a repository of testing progress, a knowledge base of technical testing to share among a test team, a formal channel for developers and testers to suggest tests, accurate tracking of functional software testing, instant reports based on test cases created and executed and statistics listing the testing which is most effective. This focus on test teams' coordination shows the potential advantages in using QATraq in domains where there is a parallelism related to the test activity. On the other hand, its code is not open and there is a cost associated with its use. These facts have motivated the investigation of free open source tools, such as RHT and Testlink.

*RTH*<sup>2</sup> is a web-based tool designed to manage requirements, tests, test results and defects throughout the application life cycle. The tool provides a structured approach to software testing

and increases the visibility of the testing process by creating a common repository for all test assets including requirements, test cases, test plans, and test results. RTH is a good free option to test management tool. However it does not offer the same technical support than Testlink in terms of documentation and discussion forum, for example. Furthermore, RTH does not provide an API, which could enable its integration to external components

*Testlink*<sup>3</sup> is also an open source web-based Test Management and test Execution system, which allow test teams to create and manage their test cases as well as organise them into test plans. These test plans allow team members to execute test cases and dynamically track test results, generate reports, trace software requirements, prioritise and assign tests. The tool is based on PHP, MySQL and includes an API and clients in several languages to enable integration processes. It also supports Bug tracking systems, such as Bugzilla or Mantis, and has a good technical support.

*HP Quality Center*<sup>4</sup> is a web-based system for automated software quality testing across a wide range of IT and application environments. It is designed to optimize and automate key quality activities, including requirements, test and defects management, functional testing and business process testing. The principal advantage of this tool is its level of customization. The tool has special functions to change the database structure, creating new tables and fields. This allows the definition of input interfaces according to the requirements of tests and this data can be saved in the appropriate way in the database. Thus, stored procedures can be defined to create reports using the power of SQL. On the other hand, this tool is expensive and more appropriate to big projects. Furthermore, it does not have the flexibility provided by an open-source tool.

This analysis about current important test management tools has led us to go for the Testlink tool. This tool supports the basic features to compose some of the modules of the architecture in Figure 1, as discussed in the next section, and it provides the conditions to be integrated to other components. Furthermore, the lacks presented by Testlink (use of schedule, historic data and failure control) were also presented in other tools. A next step in this process was to perform a more detailed study on Testlink, including the execution of a Pilot Evaluation. This pilot was carried out using a test suite composed by 10% of our test cases. Using such

<sup>1</sup> <http://www.testmanagement.com>

<sup>2</sup> <http://www.qatestingtools.com/rth>

<sup>3</sup> <http://testlink.org/>

<sup>4</sup> <http://www.testmanagement.com/qualitycenter.html>

test cases, we have gone through all the test cycle, from the test case edition on the Testlink environment to the execution of such tests. This process was also important to highlight the lacks of this environment, regarding our test management architecture (Figure 1), so that we could generate a list of additional requirements that could complement it.

#### 4 ARCHITECTURE ELEMENTS

This section describes how each module was implemented and integrated into the test management architecture (Figure 1). The principal aim of this implementation was to increase the level of test automation. On this perspective we have worked with the modules of filtering, planning, execution, results and production environment. Some of the modules (TC Generation and Mapping) are not considered in this paper. However some approaches for these modules, can be seen in (Prasanna et al, 2005).

##### 4.1 The Role of Testlink

The Testlink tool is the backbone of our solution. Its first function is to act as the editor and organizer of test cases, saving all the related information in its database, which represents our *TC Database* (Figure 1). Before the use of Testlink, all our test cases were maintained as digital Word documents that describe concepts such as sequence of test steps and expected results. As the test cases were implemented in a structured way, we could apply a parser to extract the test information from the documents and insert such information into the database tables. Such kind of parser was very important because we had more than 1000 test cases to be inserted into the database. Thus, the time required to implement this parser is justified if we consider the manual work needed to populate the tables.

Testlink provides an API that enables the manipulation of data via typical database operations such as insert, delete and update. Figure 4 illustrates part of the Testlink database, where we can see the *testcase* table, its attributes and some of its relations with other tables of the model. For example, each test case must be related to a category and execution result instances must always be associated with a *testcase*.

Testlink also supports the *Filter Module* functions because it can select test cases to compose test suites, according to pre-defined keywords

associated with each test case during its edition.

The third Testlink function is to support the *Results Module* functions. To that end, Testlink saves all the results information, of past and current execution, in a database that represents the *Historic Database* in our architecture. This enables the creation of several types of reports related to the own test execution and statistical metrics generation. In fact, Testlink already brings pre-defined templates, which consolidate the historic test information contained in its database. Another resource is the *query metrics report* frame. Using such resource, testers are able to perform some simple queries on the test data results, which are maintained in the database.

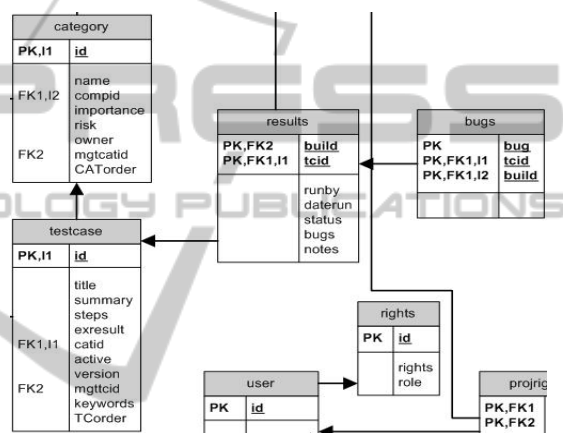


Figure 4: Part of the Testlink database structure.

We have generated some reports using Testlink and observed that its reports are a bit limited. For example, its query metrics report frame does not enable complex queries using logic operators (and, or, not, etc.). Thus, we are investigating, at the moment, some report generator tools. Some examples are *Jasper Report*<sup>5</sup> and *Eclipse Birt*<sup>6</sup> tools. Our initial analysis shows that both tools offer an appropriate level of flexibility and are a good alternative if more complex reports are required. Furthermore, they are also open source projects under the GNU General Public License.

Testlink also supports the activities of planning test sequences and its test execution. However, this support is limited if we consider the premise of automation. The selection of tests to compose a test suite (a plan) is manually performed by testers and they must manage details such as correct sequence, constraints of time and opportunities for optimization. Regarding the execution, Testlink is

<sup>5</sup> <http://community.jaspersoft.com/>

<sup>6</sup> <https://www.eclipse.org/birt/>

only an input interface where testers use the test results to fit the interface fields. Thus, both modules should be extended to support the premise of automation.

## 4.2 Expanding the Planning Module

Testlink considers the concept of test plan as a table in its database, so that each plan is a register in this table. Test plans are then loaded by the execution interface so that testers can choose one and execute it. Considering the idea of automation, test plans could be built via an external component and saved in the Testlink database. To implement this idea, we have specified the *Planning Module* as an Intelligent Planning system (Ghallab et al, 2014), which implements a schedule of test cases as a constraint satisfaction problem (CSP). In this case, time, resources and priorities are constraints that must be respected during the development of a test plan.

The <I-N-C-A> (Issues - Nodes - Constraints - Annotations) general-purpose ontology (Tate, 2003) is used to represent plans. In <I-N-C-A>, each test plan is considered to be made up of a set of *Nodes*, which represent test cases of our domain. Nodes are related by a set of detailed *Constraints* of diverse kinds such as *domain-state* constraints. For example, considering *handset-inbox* a plan variable, we can have a constraint specifying that this variable must be empty to the performance of a specific test case. *Annotations*, in this specification, add complementary human-centric and rationale information to constraints, and can be seen as notes on them.

The next step is to use the abstract *constraint* representation to define required types of constraints that represent features of the test plan. According to <I-N-C-A>, a constraint is characterised by a type (e.g., temporal), a relation (e.g., condition or effect) and a sender-id attribute to indicate its source. The constraint content is described as a list of parameters, whose syntax depends on the type of the constraint. For example, a domain-state constraint has as parameter a list of PATTERN-ASSIGNMENT, which is defined as a pair pattern-value such as ((feature TC-id),value). An example is ((handset-inbox SMS-TC001),0) that means: the amount of messages inside the handset inbox must be zero to carry out the test case 001 from the SMS suite.

Regarding temporal constraints, they must be based on an explicit timeline approach, which indicates that each test (node) has associated a constraint  $I$ , expressing its interval, with initial ( $I_i$ )

and final ( $I_f$ ) moments. Such a constraint could be defined as shown in Figure 5, where the relation attribute is set as *interval*. For this type of constraint, we are composing the pattern, in the PATTERN-ASSIGNMENT element, by the node identifier; while the value is composed of the tuple ( $I_i, I_f$ ). Based on this definition, instances of pattern-assignment for temporal constraints could be represented as: (BW\_TC012,(15,25)). This example indicates that the test BW\_TC012 must start at time 15 and spend 10 time units to be finished.

```

CONSTRAINT ::=
<constraint type="temporal" relation="interval" sender-id="ID" >
  <parameters><list>
    PATTERN-ASSIGNMENT
  </list></parameters>
  <annotations><MAP> MAP-ENTRY </map></annotations>
</constraint>

```

Figure 5: Temporal Constraint Definition.

The duration of a test can directly be defined as the difference between the final and initial moments. Consider now that we want to set temporal relations between two tests  $t_1$  and  $t_2$ , with respective intervals  $I(t_1)$  and  $I(t_2)$ . The representation of temporal relations via <I-N-C-A> follows the structure shown in Figure 5, however with the relation attribute specifying a temporal relation (before, equals, meets, etc.) and a simple tuple ( $t_1, t_2$ ) as parameter rather than a PATTERN-ASSIGNMENT element. The symbols  $a_1$  and  $a_2$  are the identifiers of the nodes (tests) that are being related. Then, using the notation "relation-attribute(parameter)" to represent examples of temporal constraints, we could have:  $\text{before}(test_1, test_2)$  that means  $test_1$  before  $test_2$ .

We can employ the same idea to specify *resource* and *priority* constraints. Resource constraints specify which capability a test requires to be performed. In this way, its constraint specification follows the same structure of the domain-state specification. This means, it is defined as a pair pattern-value such as ((feature TC-id), value). An example is ((testers BT\_TC041),1) that means: the amount of testers required to perform the test case 41, from BT category, is one.

The priority constraint has a priority level as relation attribute, which qualitatively indicates the test priority from the set of five discrete values: Very high, High, Medium, Low and Very low. In this case, the parameter element only indicates the test identifier. The semantic for priority can be understood via temporal relations. For example, consider that we have three tests to be executed:  $t_1$ ,  $t_2$ , and  $t_3$ . If  $t_1$  is classified as *High priority*,  $t_2$  as

*Medium* priority and  $t_3$  as *Very low* priority; then we can write down the following temporal relations:  $\text{before}(test_1, test_2)$ ,  $\text{before}(test_1, test_3)$  and  $\text{before}(test_2, test_3)$ . Thus, we can conclude that the constraint type priority is just a more convenient way to abstract several temporal relations among test cases from our domain.

The interaction between Testlink and planning module is performed via the Testlink Java API client. Using such component, the planning module can access the valid test cases in the Testlink database and save valid test plans. At the moment, the *Priority Modifier* (Figure 2) changes the priority of test execution according to the frequency of errors of each test case. This information is acquired via queries in the database since the results of all tests are saved in such tables.

### 4.3 Expanding the Execution Module

We are proving a level of intelligence to the execution module via the use of a cognitive function. To that end we have specified a knowledge base and a reasoning process using JEOPS (Java Embedded Object Production System) (Filho and Ramalho, 2000), a Java API that adds forward chaining, first-order production rules to Java through a set of classes designed to provide this language with some kind of declarative programming. The knowledge base is able to keep an internal representation of test engineers' expertise and use such knowledge to take decisions and make choices during the test process. Thus, we can implement autonomic actions in case of failure, or as a way to improve the process when some optimization opportunity is detected.

The creation of a knowledge base requires that relevant data and information can be translated into knowledge. *Knowledge Engineering* (Schreiber et al, 1999) is an artificial intelligence technique that addresses such problem. This technique makes use of some formal representation, such as rules in First Order Logic. In this sense, "real" knowledge of the world needs to be syntactically and semantically mapped into a series of conventions that makes it possible to describe things and then store them on a base of knowledge. The knowledge engineer specifies what is true and the inference procedure figures out how to turn the facts into a solution to the problem. After the creation of knowledge, it is perceived that the information can be manipulated in a systematic way and be applied into different situations by simply assessing the kind of knowledge involved.

The execution module is in fact the component

that accounts for replacing human testers during repetitive and stressful test activities. However, our experience during the specification of this module shows that its implementation is very complex once human testers are used to deal with several types of problems and situations during test sessions. Furthermore, each test suite has particular features that must be covered via specific procedures. Thus, the process of knowledge engineering is very hard, mainly when we are considering a set of more than 500 test cases. To avoid this complexity, each test suite can have its particular knowledge base, which could be loaded in accordance with the test suite that is active. This could avoid the complexity of dealing with several facts and, mainly, conflict among rules. Note however, that we must have a central knowledge base that is always employed. This base maintains the rules and facts that are commons to every test suite and it avoids duplication of the same knowledge in different bases. This simplification in fact improves the knowledge engineering process. On the other hand, we need an additional control component to switch between knowledge bases. Depending on the test plan (sequence of tests to be executed), this control can insert several delays because tests of different suites can be mixed in the test plan. In this case, it could be more efficient the use of a unique knowledge base. This question is still open in our project and we need to perform more experiments to decide for the best approach.

## 5 LEARNED LESSONS

The advantages of using a test management solution can be observed if we analyse some process qualification parameters. First, we could maintain the same requirements coverage using a test suite that is smaller than the original. This was observed because Testlink enables the coverage and tracing of requirements, so that it stresses test cases that perform evaluations of same parts of the software. This redundancy is present because some test cases require the execution of some operations that were already evaluated. Our challenge now is to use this information also as a kind of constraint in the planning process. The idea is to optimise the coverage and avoid as much redundancy as possible.

A second advantage is the support provided to the creation and maintenance of several specialised test suites, which can be applied into specific scenarios depending on the requirements of the development team. We have observed that this creation directly affects the efficiency of the

planning module. If test cases are self-contained (they perform its own pre-configuration and necessary operations) then we will have a large percentage of redundancy. In this case, the planner is not able to find a test plan with a high number-tests/redundancy rate, considering a fixed total time. Differently, dependent and granular test cases are more appropriate to be used by planners, which are able to reach higher values to the number-tests/redundancy rate. Unfortunately such test cases may require the performance of other test cases that are not part of the test scenario (test cases are sorted out by the filtering module in accordance with the current test scenario). Thus, there is no guarantee that a complete test plan is going to be found.

Third, the quality of final reports is ensured by pre-defined templates. We can also create new kind of templates to relate test parameters. The quality of such templates can be improved via the use of external report generation tools. We are still analysing this alternative, however the integration of such tools to our architecture seems to be simple because they just need to access the Testlink database. The disadvantage is that we will have one more component rather than an integrated solution. Furthermore, using the own Testlink, all the generated reports could be accessed in real-time via Web.

Fourth, the solution improves the efficiency of the process, mainly in terms of execution time, due to the level of automation provided by its modules. For example, automation has avoided several common errors related to human manipulation. In fact, tests related to the evaluation of applications are very repetitive and stressing due to the amount of required keyboard inputs, navigation and configurations. Finally, the maintenance of historic data is very important to the measurement and analysis of the quality of our test process. We intend to use such data to support the continuous improvement of our process via the DMAIC technique (Wang, 2008).

The main problem of this approach is to codify all the expertise of test engineers via facts and rules to compose the knowledge base. This process is called knowledge engineering and we are following the KADS method presented in (Wielinga et al, 1992). Furthermore, a significant number of tests tend to still be performed in a manual way, mainly because they need some kind of mechanical interaction (e.g., hard reset, press-and-hold operations, etc.) during the test process.

A final remark is related to the interface between the production environment and execution module.

This interface enables the exchange of information about planned test suite, control messages and result data. Note that this protocol must be standardized otherwise new production environments will find problems to be integrated to the architecture. An option is to use or define a test ontology that covers all required test information. This study is an important research direction of this work, mainly because it will enable the use of this architecture in different software domains.

## 6 RELATED WORK

Several works in the current testing research aim at improving the degree of automation (Polo et al, 2013). However they are focused on specific parts of the test process, rather than the test environment as a whole. In order, the idea of a powerful integrated test environment which could automatically take care of all test activities (generating the most suitable test cases, executing them and issuing a test report) is still a dream (Bertolino, 2007), although it use to attract several followers. One interesting example is the early DARPA sponsored initiative for Perpetual Test and more recently in Saff and Ernst' Continuous Testing approach (Saff and Ernst, 2004). The main idea is to run tests in background on the developers' machines while they program. This approach for test environment deals with several issues regarding the online test creation, so that it is a quite different from other approaches.

Another example that tries to push test automation further, rather than focusing on specific parts of the process, can be found in the Directed Automated Random Testing (DART) approach (Frantzen et al., 2006). This approach fully automates unit testing by automated interface extraction by static source-code analysis; automated generation of a random test driver for this interface; and dynamic analysis of program behaviour during execution of the random test cases, aimed at automatically generating new test inputs that can direct the execution along alternative program paths. Note that this approach is very directed to coverage, while we are more worried about time optimization.

The Agitator commercial tool (Boshernitsan et al, 2006) combines different analyses, such as symbolic execution, constraint solving and directed random input generation for generating input. This approach has similar aims to DART, once it focuses on test coverage. Any solution for test time optimization is given during the creation of test execution sequences. Microsoft Parameterized Unit

Tests (PUT) (Tillmann and Schulte, 2006) is another project whose focus is on coverage. It is very similar to the Agitator tool, once it is also based on symbolic execution techniques and constraint solving to acquire a high coverage.

As general conclusion, we could assert that the state of the art is very poor in researches that try to establish a complete automated test environment. In fact, the own definition of *complete automated test environment* is an open-question. A possible reason for that scenario is the fragmentation of software testing researchers into several disjoint communities (Bertolino, 2007), which have their isolated goals and directions. Thus, investigations about integration architectures, which could associate several isolated automated test practices, may accelerate the definition of such “utopian” environments.

## 7 CONCLUSION

This paper has discussed our experience in adapting and using a test management solution, which was based on the open source Testlink tool. Our focus was on extending this tool with capabilities of automation, intelligent control and use of statistic metrics. To that end, we have specified a modular test architecture and performed some experiments using a subset of such architecture. The main simplifications were: we do not use the TC generation and mapping modules, the planning module only managers priority and temporal constraints, historical statistic metrics are only used to find tests with high priority of failure, the result module uses the own Testlink features and the execution module was not totally configured, so that several situations are not covered by the knowledge base. Such situations are mainly related to failure recovery procedures and they are the principal targets for future researches.

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