

# An Online Vision System for Understanding Complex Assembly Tasks

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Abstract: We present an integrated system for the recognition, pose estimation and simultaneous tracking of multiple objects in 3D scenes. Our target application is a complete semantic representation of dynamic scenes which requires three essential steps; recognition of objects, tracking their movements, and identification of interactions between them. We address this challenge with a complete system which uses object recognition and pose estimation to initiate object models and trajectories, a dynamic sequential octree structure to allow for full 6DOF tracking through occlusions, and a graph-based semantic representation to distil interactions. We evaluate the proposed method on real scenarios by comparing tracked outputs to ground truth trajectories and we compare the results to Iterative Closest Point and Particle Filter based trackers.

## 1 INTRODUCTION

Fast and reliable interpretation of complex scenes by computer vision is still a tremendous challenge. Development in manufacturing procedures require vision systems to cope with versatile and complex scenarios within a fast changing production chain (Zhang et al., 2011). Many of the assembly processes today can be described by object identities and their spatiotemporal relation in the semantic level (Aksoy et al., 2011; Yang et al., 2013; Ramirez-Amaro et al., 2014). Hence, object detection and persistence as well as pose estimation are vital in order to automatically detect and classify assembly processes.

Figure 1 shows a scene where multiple objects of uniform and identical color are presented to the vision system. Monitoring of such state spaces is important, e.g., for the execution of assembly tasks performed by robots, as we are investigating in the industrial workcell MARVIN (see Figure 2). This monitoring allows for the detection of preconditions for robot actions to be performed as well as for the evaluation of individual robot actions. In our setup, we restrict ourselves to table top scenarios, where rigid objects are manipulated in different ways.

Recently, Semantic Event Chains (SECs) (Aksoy et al., 2011) have been introduced as a mid-level representation for action sequences. SECs encode “the essence of action sequences” by means of

touching relations between objects in so-called key-frames. SECs—in addition to a graph with touching relations—include object identity and object pose information fed from the lower level modules. Hence detecting object identities and tracking the poses of these objects are required in order to enrich SECs and relate them to actions. However, it is neither possible nor required to compute object identity and pose information continuously. It is not possible due to limited computational resources and it is not required, since the object identity does not change arbitrarily. Furthermore, exact pose estimation is only required in particular situations, e.g. when an assembly action is performed. As such, it is sufficient to initialize a tracking system using a precise detection and pose estimation system, and then maintain object pose using the tracker. The tracked output can be used to detect when key-frames occur and more precise (or interaction-specific) pose and object-identity information are needed.

One important aspect required in such a system is object persistence through occlusions, as in even simple assembly tasks components will often become occluded by the person performing the assembly. This is of particular importance to the system described above, as losing object identity makes it impossible to recover an accurate semantic understanding of observed actions. While recent 3D tracking approaches address the issue of run-time performance

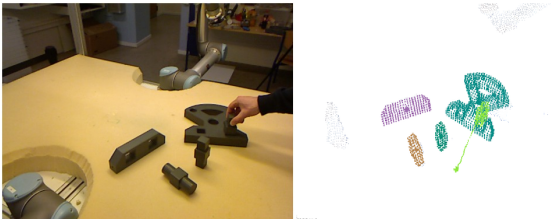


Figure 1: Left: intermediate frame of a complex assembly scene where one of many peg in hole operations is being performed. Right: detection and tracking output.

by offloading processing to a GPU (Choi and Christensen, 2013), or accurate 3D reconstruction of single targets (Ren et al., 2013), in this work we focus on maintaining tracks of multiple interacting objects even in the presence of long durations of full occlusions.

In this paper, we describe a system which is able to compute a semantic representation of complex scenes. In particular, we propose a tracking and monitoring system with bottom-up initialisation of the tracking and top-down enrichment of the scene representation. The main processing backbone for connecting the object-specific recognition and pose estimation systems with the object-invariant SEC representations is the tracking system proposed by (Papon et al., 2013). Motivated by the particular importance of the parallel tracking of multiple objects in this work, we compare the proposed tracking system with a naive tracking method (ICP), a comparable tracking method (particle filter) and to a “ground truth tracking” by magnetic sensors in order to demonstrate the superior occlusion-handling of our system.

We show that by using assumptions on object permanence and efficiently combining low-level tracking and pose estimation, we are able to extract the structure of a complex scene with multiple moving objects with partly significant occlusions in real-time.

## 2 SYSTEM OVERVIEW

We describe the complete system – both on a hardware and a software level – to explain the context in which the tracker operates. We start by shortly describing our test platform, followed by a description of the different perception modules and their interaction.

The MARVIN platform is a robotic platform designed to simulate industrial assembly tasks (see Figure 2 and (Savarimuthu et al., 2013)). The setup includes both perception and manipulation devices. The perception part includes three sets of vision sensors, each set consisting of a Bumblebee2 stereo cam-

era and a Kinect sensor. The three camera sets are placed with approx.  $120^\circ$  separation, as shown in Figure 2. In addition to the cameras, the platform is also equipped with high-precision trakSTAR magnetic trackers capable of providing 6D poses simultaneously from up to four sensors. In this paper, we use one Kinect and the trakSTAR sensors for the evaluation of the tracker results (see Sect. 4).

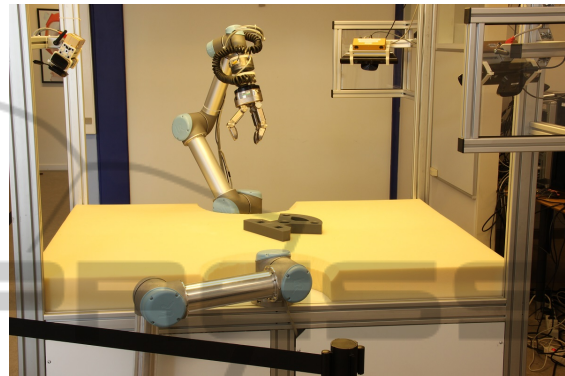


Figure 2: The robotic MARVIN platform with two manipulators and three camera pairs.

### 2.1 Interaction of Modules

The proposed system has four modules: object recognition, pose estimation, tracking and SEC extraction. The system is initialized by the first two modules in combination. Correct detection of objects is crucial to the performance of the running system, but also requires a high amount of computational resources. Therefore, after the detection of objects, the real-time tracker maintains the pose of the objects. Based on the (tracked) state of the scene, the SEC extractor maintains a meaningful high-level representation of the scene. As soon as the state of the scene changes due to newly formed or terminated object relations, a reinitialization of the tracker is required, since at these key-frames the appearance of objects may change in a non-smooth manner, e.g., by sudden occlusions.

The SEC module detects key-frames and propagates the information to the tracker, which in turn invokes the pose estimation module for a robust reinitialization of object pose(s). No object recognition is required at this point, since the object identities have been preserved by the tracker, and only the objects involved in the changed relation are reinitialized. The pose reinitialization is performed using an optimized local pose estimation routine. Consequently, there are multiple dependencies in both directions between the different modules. Object identities and poses are sent “up” for the tracking of objects and the SEC extraction, whereas higher-level relations are sent “down”

to strengthen the tracking. See Figure 3 for an illustration. All modules are detailed in the following section.

The object recognition, pose estimation and tracking modules are all directly involved in the extraction and preservation of explicit object information (identity and pose) for parts in the scene. The last module (SEC) operates at a higher level and integrates the knowledge about all objects into a coherent scene representation. Based on this system, we are able to track multiple object with a framerate of 15hz during robotic assembly. The image on the left of Figure 4 shows the full tracking history of 9 objects. The colored lines marks the path of the individual object during assembly. The top right image of Figure 4 shows the last SEC representation of the scene. Here all the objects are identified and have thier labels attached.

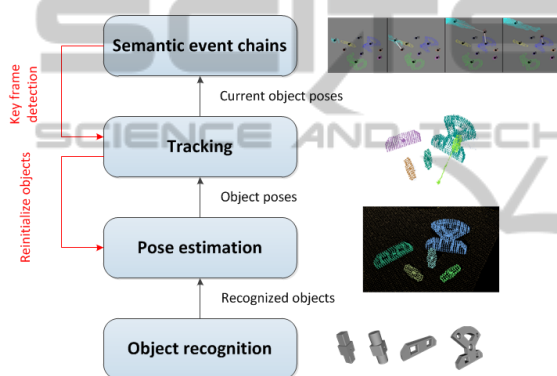


Figure 3: Schematic of the four modules.

### 3 MODULE DESCRIPTION

#### 3.1 Geometry-based Object Recognition and Pose Estimation

At the very first frame of a sequence, we apply robust object recognition and pose estimation techniques to extract the identity and location of each object present in the scene. Both modules operate on a specialized set of 3D feature points called *texlets*, which are used for representing local surfaces (Kootstra et al., 2012). The texlet representation significantly reduces the number of data points compared to, e.g., a point cloud representation and provides a good trade-off between completeness and condensation.

The texlet representation has previously been applied by (Mustafa et al., 2013) for recognition and by (Buch et al., 2013) for pose estimation of 3D objects containing varying degrees of distinct appearance (texture) and geometry (non-planar surfaces), both for

single- and multi-view observations of scenes. For completeness, we shortly outline these methods below, as they are used in the respective modules.

Our approach stands in contrast with regular point cloud based detection systems in which local features capture geometric statistics (Tombari et al., 2010), and where feature points are either selected uniformly or randomly (Drost et al., 2010; Aldoma et al., 2012). Instead, we use detected feature points and local features capturing both geometry and appearance. The object recognition system is based on a Random Forest (RF) classifier, which is applied to a high-dimensional feature space, given by a two-dimensional histogram computed globally on an object surface represented by texlets. The histogram entries are computed by binary geometric relations between texlet pairs. Since texlets are much more sparse than, e.g., point clouds, we sample all possible texlet pairs on the reconstructed object surface. Each object model is now represented by a *geometry histogram*, as follows. Between every sampled texlet feature pair, we extract the relative angle between the surface normals, and the *normal distance*, i.e., the point to plane distance between the one texlet and the plane spanned by the other texlet in a pair. We bin all pairs into a 2D angle-distance histogram, and get the final geometry descriptor vector by placing all rows in the histogram in a single array. This histogram provides a highly discriminative object representation, which is fed to the RF classifier. This method requires the objects in the scene to be separable by segmentation in order to initially extract the full texlet representation of the object. For each recognized segment in the scene, we now execute a robust feature-based pose estimation. This method uses local histogram descriptors computed in a local region around each texlet, defined by a support radius. Pose estimation is done by a check of the geometric consistency between the triangle formed on the object by the sample points and the triangle formed in the scene by the matched feature points. We refer the reader to (Buch et al., 2013) for further details and evaluations of this method. This method has also been accepted in the Point Cloud Library (PCL) (Rusu and Cousins, 2011), and is available since version 1.6 in the class `SampleConsensusPrerejective`.

#### 3.2 Proposed Tracker

Tracking takes advantage of a novel Sequential Adjacency-Octree (SATree), also publicly available as part of the PCL. This is a dynamic octree structure which was first introduced by (Papon et al., 2013) in order to achieve object permanence and maintain

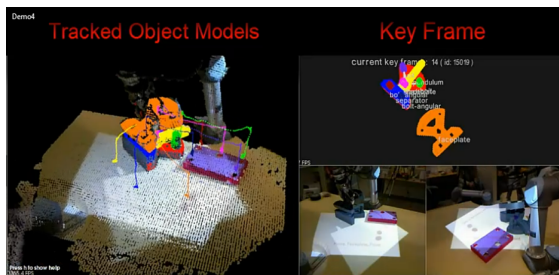


Figure 4: The scene interpretation. Left panel: The tracing history of the individual objects represented by coloured threads. Top right panel: Scene representation on the level of Semantic Event Chains. Bottom left: Two of the cameras recording the scene.

object tracks through lengthy full occlusions. Points are added into this tree structure through a three stage process. Starting with an existing tree (i.e. from the first frame), we first insert the newly observed points into the octree, and initialize new leaves for them if they did not exist previously. This results in an octree where leaves fall into three possible categories; they are either new, observed, or unobserved in the most recent observation. Handling of new and observed leaves is straightforward; we simply calculate adjacency relations for their voxels to existing leaves. Of more importance is how to handle leaves which were not observed in the inserted point cloud. Rather than simply prune them, we first check if it was possible to observe them from the viewpoint of the sensor which generated the input cloud. This occlusion check can be accomplished efficiently using the octree by determining if any voxels exist between unobserved leaves and the sensor viewpoint. If a clear line of sight exists from the leaf to the camera, it can safely be deleted. Conversely, if the path is obstructed, we “freeze” the leaf, meaning that it will remain constant until it is either observed or passes the line of sight test in a future frame (in which case, it can be safely deleted). In order to account for objects moving towards the camera, we additionally check if an unobserved occluded leaf has any new leaves adjacent to it. If so, and one of these adjacent leaves is responsible for the occlusion, we prune it. This occlusion testing means that tracking of occluded objects is trivial, as occluded voxels remain in the observations which are used for tracking. We should note that this reasoning assumes that occluded objects do not move significantly while being fully occluded. Tracking of the segmented models is accomplished using a bank of independent parallel particle filters. The models consist of clouds of voxels, and observations are the voxel clouds produced by the SATree. The measurement model transforms model voxels using predicted state ( $x, y, z$ , roll, pitch, yaw), and then determines correspondences by asso-

ciating observed voxels with nearest voxels from the transformed models. Distance between corresponding voxels is measured in a feature space of spatial distance, normals, and color (in HSV space). These correspondence distances are then combined into an overall weight for each particle. We use a constant velocity motion model, but do not include velocity terms in the state vector, as this would double the dimensionality of the state-vector (negatively affecting runtime performance). Instead we use a “group-velocity” which uses the overall estimated state from the previous time-step to estimate the velocity. This allows us to track motions smoothly without the need to add velocity state terms to each particle.

KLD sampling (Fox, 2003) is used to dynamically adapt the number of particles to the certainty of predictions. This works well in practice due to the use of the persistent world model from the SATree, meaning that very little computational power is needed to track models which are static (or occluded), as the target distribution has only small changes and therefore can be approximated well using only a small particle set. Details of the particle filters themselves are beyond the scope of this work, but we refer the reader to (Fox, 2003) for an in-depth description of their operation. For this work, we rely on the fact that the particle filters yield independent predictions of 6D object states, allowing a transformation of the model to the current time-step, roughly aligning it with the currently observed world voxel model.

### 3.2.1 Pose Refinement for Reinitialization

The object recognition combined with the pose estimation addresses the problem of identifying the objects present in the scene, while the tracker seeks to maintain these identities during movement. As will be presented in the following section, we are additionally able to detect object collisions, which can destabilize the tracking process. At these time instances, we apply a customized ICP (Besl and McKay, 1992), initialized with the current tracker pose, to the objects involved in the collision. For efficiency, we resample the point clouds of the objects and the scene to a coarse resolution of 5 mm. We set an inlier threshold of twice this resolution for associating nearest point neighbors in each iteration, and we terminate the ICP loop prematurely if the relative decrease in the RMS point to point alignment error becomes too low. These modifications ensure convergence to a good solution, while avoiding the risk of running for too many iterations.



### 3.3 Semantic Event Chain

The SEC was recently introduced as a possible descriptor for manipulation actions (Aksoy et al., 2011). The SEC framework analyzes the sequence of changes of the *spatial relations* between the objects that are being manipulated by a human or a robot. The SEC framework first interprets the scene as undirected and unweighted graphs, nodes and edges of which represent segments and their spatial relations (e.g. touching or not-touching), respectively. Graphs hence become semantic representations of segments, i.e. objects present in the scene (including hands), in the space-time domain. The framework then discretizes the entire graph sequence by extracting only main graphs, each of which represents essential primitives of the manipulation. All extracted main graphs form the core skeleton of the SEC which is a sequence table, where the columns and rows correspond to main graphs and spatial relational changes between each object pair in the scene, respectively. SECs consequently extract only the naked spatiotemporal patterns which are the essential action descriptors, invariant to the followed trajectory, manipulation speed, or relative object poses. The resulting SECs can be easily parsed for the detection of key-frames in which object collisions/avoidances occur, simply by checking if touching relations have appeared/disappeared. This in turn allows us to determine when to perform reinitialization of object poses for the tracker, as described in the previous section. Figure 4 top right image shows the SEC representation of the assembly scene shown in the two bottom right images.

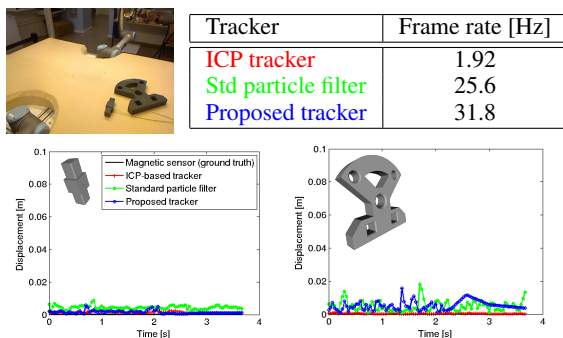


Figure 5: Results for the control sequence, where two objects are lying still for the whole duration. Top: first frame of the sequence and timing benchmark, averaged over the full sequence. Bottom: recorded trajectories for the sequence.

## 4 EXPERIMENTS

For evaluations, we have carried out experiments to assess the performance of the proposed tracker, since the tracker represents the backbone of the online monitoring system. Importantly, we note that full-scale recognition and pose estimation experiments are not the key aspect of this paper, and we thus refer the reader to previous works (Mustafa et al., 2013; Buch et al., 2013). We evaluate our tracking method using a set of real sequences with thousands of frames, showing manipulation of different objects. The first experiment is a sensitivity analysis of the noise level of the tracker, and all the following experiments are done on sequences with varying degrees of movement. In a final qualitative experiment, we show how the presented system performs on a complex assembly sequence involving multiple objects.

We have implemented two baseline trackers for quantitative comparison of our system, an ICP-based tracker and a point cloud based particle filter tracker. This former is a straightforward application of the modified ICP algorithm described in Sect. 3.2.1 into a tracking context. The use of ICP during tracking has been successfully applied in other works, e.g. the KinectFusion algorithm (Newcombe et al., 2011). Our ICP-based tracker simply updates the object pose from frame to frame using the previously described ICP algorithm. While our tracker shows near real-time performance, the ICP-based tracker has a much lower frame rate due to the geometric verification, making it unsuitable for practical usage. While the ICP algorithm is expected to produce an optimal alignment in terms of RMS point to point error in simple scenarios, in realistic scenarios with clutter and occlusions it will tend to fail by switching to clutter or occluders. Even worse, if tracked objects are displaced by a large distance from one frame to another, the ICP tracker will inevitably fail, due to its very narrow convergence basin. The second tracker we compare against is a slightly modified version of the standard point cloud based particle filter previously available as part of the PCL. The modifications include optimizations for run-time performance in the multi-object case, as well as improvements to the measurement function.

### 4.1 Evaluation Metrics

For the evaluation of the different methods, we compare the relative displacements recorded during a tracked trajectory. As all sequences start with objects lying still on the table, we use the first 5% tracked poses to find a mean pose. The rotation mean is taken

by matrix addition of the rotation matrices, followed by an orthogonalization using singular value decomposition. This pose is regarded as the initial pose of the object relative to the tracking system. We now take the *relative* pose in each tracked time instance to this pose to get a displacement in  $SE(3)$  relative to the starting point. The translation component of this pose implicitly reflects both the positional displacement and the angular displacement, and thus quantify the displacement in that time instance by the norm of this translation. All plots shown below are created using this procedure. We consider the trakSTAR trajectories as ground truth, since they show very low relative errors in  $\mu\text{m}$  range. To quantify the tracking error, we take the RMS error between the displacement reported by the tracker and the ground truth displacement. We also note that in all graphs presented in the following sections, equidistant data point markers are added to the plots for the sake of clarity; thus, they do not reflect the frequency of the data points used for plotting the curves, which is much higher.

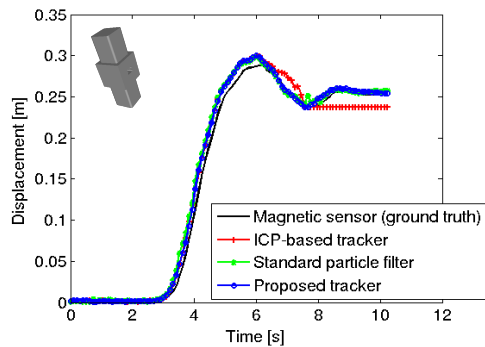
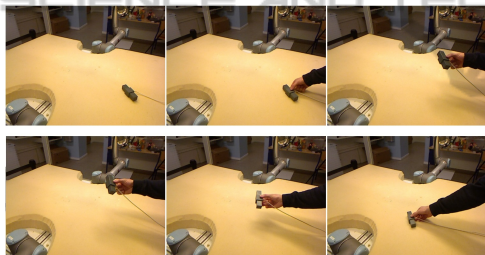


Figure 6: Results for the simple sequences. The object is moved along a circular arc during manipulation.

In an initial experiment, we investigate the sensitivity and computational complexity of the three implemented trackers. As the ICP tracker always seeks to minimize an objective function, it is expected to converge consistently to the same solution, whereas the randomized nature of the particle filter trackers make them more imprecise, but allowing for higher robustness towards large displacements. All results of the experiment are shown in Figure 5. The ICP

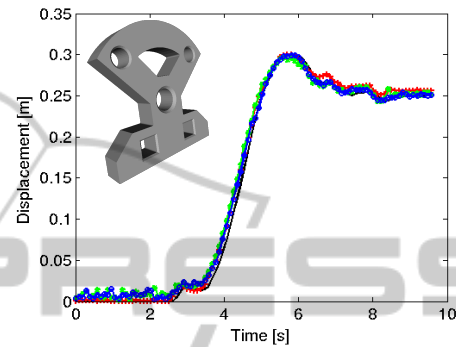
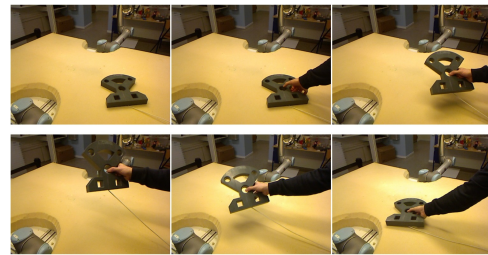


Figure 7: Results for the simple sequences. The object is moved along a circular arc during manipulation.

method provides very stable results for this very controlled scenario. Referring to the two top rows in Tab. 1, this is validated by the numbers, which shows a RMS error of 0.95 mm for the bolt and 0.35 mm for the faceplate (CAD models of both objects, bolt and face plate, are shown in the two lower subfigures in figure 5 in the upper left corner). The lower error of the large faceplate can be explained by the simple fact that the higher number of points in the model allows for a higher robustness against point outliers in the alignment process. For the particle filter trackers, however, this behavior is reversed. These trackers sample poses in  $SE(3)$  around the current estimate to widen the convergence basin, and select the best candidate. For large objects, perturbations in the rotations have larger effect on the resulting alignment, making the error higher for the faceplate in this case. The errors for the proposed tracker are 1.4 mm and 5.9 mm (see table 1 first two lines), respectively, but this is achieved in less than  $1/15^{th}$  of the time. In addition, as we will show below, the proposed tracker is more stable than both the ICP-based tracker and a standard particle filter tracker. We also note that the timings shown in Figure 5 are best case results, since during fast changing poses, the adaptive sampling can lead to multiple pose candidates for validation.

In the second test (see figure 6 and 7) we perform a very simple movement of a single object each. We again tested the small bolt and the larger faceplate and observed similar results. Notably, the ICP tracker per-

forms worse in the final stage of the bolt sequence (see Figure 6) compared to the face plate sequence (see Figure 7). This occurs due to the ICP tracker assigning false point correspondences, i.e., the full model is fitted suboptimally to the incomplete scene data. We now show by a simple peg in hole sequence how

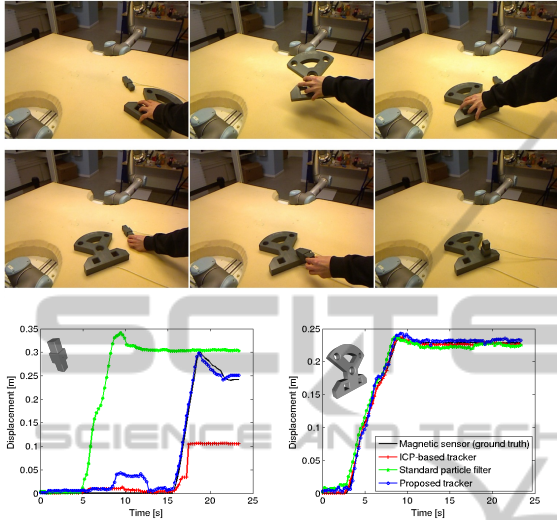


Figure 8: Results for a peg in hole assembly sequence. See text for details.

both the baseline trackers completely fail under occlusions. In this sequence, the human arm and the large faceplate are moved initially in such a way that they temporarily occlude the bolt completely. In this period, both the ICP and the standard particle filter trackers fail: the ICP tracker stops functioning because it cannot associate point correspondences (because all points close to the model are occluded), and the particle filter—being more global—finds a false alignment on the table and stays there. Our tracker, however, is able to cope with this situation by the occlusion reasoning outlined in section 3.2. When the occlusion initially occurs, the object displaces a bit, since the tracker can only provide a suboptimal alignment due to the increasing level of occlusion. After a short while, the occlusion is detected, and the tracker maintains the object identity in a static pose until the region in space becomes visible again. The occlusion part of the sequence is visible in the left-most plot in Figure 8 at approx. 5-13 s into the sequence. In these sequences, we also observe the effect of the pose reinitialization, made possible by the higher-level SEC detection of key-frames. In the final insertion stage of the bolt, at approx. 22 s, the proposed tracker “undershoots” by approx. 20 mm. The pose reinitialization is invoked, and the alignment improves, until the tracker settles at a displacement

Table 1: RMS errors for the test sequences. The static sequence results reflect the steady state tracker noise levels.

Seq. (object)	ICP [mm]	Std. [mm]	Prop. [mm]
Static (bolt)	0.95	2.3	1.4
Static (f.plate)	0.35	5.7	5.9
Simple (bolt)	13	12	8.9
Simple (f.plate)	7.7	10	7.1
Assemb. (bolt)	81	198	17
Assemb. (f.plate)	2.5	9.1	5.4

with an overshoot of approx. 5 mm. The reinitialization is also visible at the same time in the faceplate sequence, where a slight overshoot occurs during insertion. After the reinitialization of the faceplate pose, the tracker settles at a displacement very close to ground truth.

For all the previous experiments, we quantify the errors relative to the trakSTAR trajectories, as explained in Sect. 4.1. In general, our tracker outperforms the standard particle filter tracker, whereas in most cases ICP provides the optimal fit, but at the expense of a significant increase in computational time. Results are shown in Tab. 1.

We finalize our evaluation by presenting a more realistic and complex assembly involving multiple objects. For this experiment, we had to remove the magnetic sensors, otherwise they would obstruct certain parts of the objects, making assembly impossible. Therefore we present only qualitative results in Figure 9 where the tracked poses of the objects are shown as coloured lines. Note that the bolts are maintained in the model even after heavy occlusions, visible primarily in the last frame. We also note that the human arm causes several occlusions during the sequence.

## 5 CONCLUSIONS

In this paper, we have addressed the non-trivial problem of maintaining the identities and poses of several recognized objects in 3D scenes during manipulation sequences. We have implemented and successfully tested a bidirectional feedback structure to initialize and maintain object identity and pose. By that we were able to compute a semantic scene representation with multiple independently moving objects which has been used for action monitoring. We compared our tracking algorithm to a baseline implementation of an ICP-based tracker. By that we were able to show that our tracking method provides a speedup of more than 15 times with a superior performance on complex scenes. When compared our tracking also with a standard particle filter and showed that our method



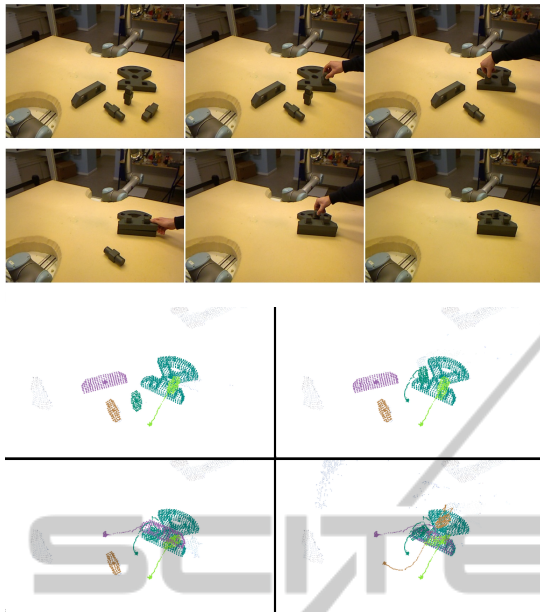


Figure 9: Tracker results for a complex assembly sequence. The bottom images show the tracked object models, represented by point clouds, at the key-frames which are also shown in the four intermediate top images.

shows a higher degree of robustness to disturbances in the form of both partial and total occlusions.

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