




Integration of Monitoring and Alarm Management in Power Plants

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Abstract: A power plant monitoring system is crucial for ensuring normal operation of the whole power plant as well as initiating alarms to avoid further development of unattended fault within the power generating system. In this work, a computer vision-based solution is introduced in order to quickly detect anomalies and possible failure affecting the monitored infrastructure.

1 INTRODUCTION

Today, renewable energies as solar energy (thermal and photovoltaic), hydraulic, wind and geothermal, are a fundamental alternative to fossil fuels. Their use indeed reduces not only gas emissions from energy production and consumption, but also the dependence on fossil fuels imports.

It is estimated that renewable sources have satisfied globally almost 7% of the electricity demand (BP, 2018). The problem of power plants condition monitoring is crucial to the proper and effective operation of any power generating system.


Today there are several types of monitoring, as continuous monitoring, high frequency monitoring and medium-low frequency monitoring. Continuous monitoring can be applied on simple systems and complex systems either in a non-critical or critical context, where the desired values are known and must be fulfilled. High frequency monitoring is applied when the detection is not really continuous, rather at a higher frequency compared to the monitored process, so that any correction to the process can be carried out meaningful and timely. Medium-low frequency monitoring is typical in cases where variables are generally very large, while surveys are established on a daily, monthly or quarterly basis. The


time between surveys is also necessary to normalize, sort, and convert data to make it accessible.


In the past, maintenance of power plants was manually performed by personnel assigned to each building with the aim of providing high-quality and reliable power of communications facilities. However, as the reliability of power plants improved and new monitoring equipments were introduced, systems began to shift towards centralized monitoring and maintenance of multiple buildings from one location (So and Chan, 1991). For this reason, today the need for a centralized monitoring system has increased more and more.

An effective monitoring can be also considered as a strategy to periodically track the quality of power plants, endorsing a better energy management, from a single building (Cernian et al., 2013) to a wider context (Kapoor and Garg, 2018), even IoT-based (Domova and Dagnino, 2017).

In this paper we present the monitoring system developed within Bax Energy (Baxenergy, 2019), able to ensure that all system's components are properly up and running; it uses a system's state representation to timely identify eventual faults or failure. Moreover, a real time problem solving approach is adopted. There are several approaches to monitor a system, from analysing frequent operating mode patterns (HU et al., 2018), to predictive

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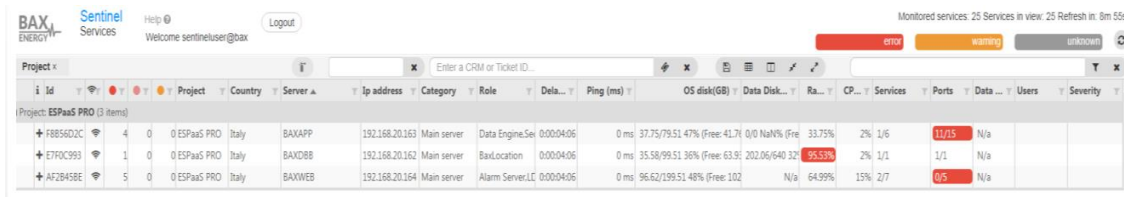


Figure 1: Sentinel status view – Detail.

modeling (Ryan, 2017) to proactively find potential failures and triggering the maintenance personnel to fix the issue timely .

A useful monitoring system must be able to detect the failure in time, showing which system components are affected. An incorrect localized system fault, even in terms of simple time delay, or a not detected fault (false negative) can have a significant impact on the company.

In this paper, we will focus on two different tools for monitoring systems: Sentinel, developed inside BaxEnergy and Refocus (Refocus, 2019); both their advantages and drawbacks are considered, in order to select which one is better for proactive monitoring. Finally, their integration with an alarm management system is discussed.

The paper is organized as follows. In Section 2, both Sentinel and Refocus monitoring systems are introduced and compared, whereas in Section 3 the alarm management that exploits monitoring systems is discussed, showing our final remarks in section 4.

2 MONITOR SYSTEMS

2.1 Refocus Monitor System

Refocus is a platform developed by Salesforce (Salesforce, 2018) for visualizing health and status of a system. Originally, Refocus was developed to communicate with Argus (Argus, 2018), a time-series monitoring and alerting platform. Refocus provides real-time visibility into a company’s products and infrastructure. It’s easy to use and also allows to improve data comprehension by connecting with other tools into an unified platform.

There are two possible ways to deploy Refocus, either on Heroku (Heroku, 2018), a cloud platform as a service (PaaS) supporting several programming languages used as a web application deployment model, or alternatively it can be deployed locally as a docker (a software container platform used to increase compute density). Refocus requires Redis (Redis, 2019) in-memory data structure store, PostgreSQL database (PostgreSQL, 2019) and

Node.js cross-platform JavaScript run-time environment (Node JS, 2019).

2.2 The Monitor System Sentinel

Sentinel software is a system developed by BaxEnergy and used by Service Operation Center (SOC). It consists of two main pillars: Sentinel as a service and Sentinel as a website tool. Sentinel as a website tool allows to monitor a network with particular focus on the components of Energy Studio Pro (Energy studio Pro, 2019), a renewable energy management software. Its main goal is to quickly detects the anomalies affecting the monitored infrastructure. Sentinel as a service is a distributed system running over multiple subnets. It is composed by one or more agents interconnected one each other, whose information are visualized through a web interface shown in Figure 1.

Sentinel represents the entities to monitor as *host sentinel nodes*. The possible entity metrics are divided in basic properties (CPU, data delay, memory, ping) and custom properties which depend on the host sentinel. There are two different metrics range values, i.e. *warning* and *error*. Hierarchy dependency is given by the full name attribute of the sentinel host.

In Sentinel, data are represented with a tabular view developed in HTML5, AngularJS and wijmo.grid. Information about the status of a host property is detailed and it is possible to examine the numeric value in addition to the different ranges of the columns. Sentinel provides users data filtering, sorting and grouping.

Finally, a logged user is provided with a table containing all the available host, their current state and related values, to be refreshed manually or automatically every ten minutes.

2.3 Sentinel vs Refocus

Refocus is a visualization platform for system monitoring. Users can see very easily if some value of a specific aspect is critical or invalid. There are four different thresholds with different colors, Green

Table 1: Comparison between Sentinel and Refocus.

Features	Sentinel	Refocus
Entity identification	Hosts	Subjects
Entity Metrics	<ul style="list-style-type: none"> • basic • custom 	Generic aspect
Metrics conditions	<ul style="list-style-type: none"> • warning range • error range 	<ul style="list-style-type: none"> • ok range • info range • warning range • critical range
Information hierarchy	<ul style="list-style-type: none"> • no common root required • project • country • category 	<ul style="list-style-type: none"> • Tree hierarchy • common root
Data Representation	Tabular	<ul style="list-style-type: none"> • Tabular • Tree hierarchy
Notifications	Supported	Not supported

for “ok”, Blue if the status of a particular sample of data is in info range, Yellow as warning and Red if the status is in critical range.

In Sentinel, information about the status of a host’s property is more detailed than in Refocus and the numeric value in addition to the different ranges of the columns is also shown.

Both systems receive real-time traffic data. The Sentinel UI access data by polling the Sentinel Server, while in Refocus data are pushed by the sources. The Sentinel UI will expose the data samples with the timestamp provided by the server that is different by the time when the poll is performed, thus preserving the source timestamp. Refocus instead assigns the receiving time as the timestamp for the samples pushed in this; if the data gathering is delayed the time of sample acquisition is lost and a wrong timestamp is assigned to the sample.

In Refocus data are refreshed instantly, whereas in Sentinel table values are automatically refreshed every 10 minutes (manually refresh is available).

An important difference between Sentinel and Refocus is the filtering mode. In Refocus, if a user wants to filter for a specific subject of a perspective this one must be updated. Furthermore, fast filtering by searching for a string in a text box is not supported. Conversely, Sentinel lets the user filter, sort and group data. The total number of available hosts in the system is shown in the top right part of the window and if any filter is applied to the grid, the second number, which represents the host in view, is updated with the number of hosts complying with the filter. In Sentinel, you can group or ungroup the table on one or more column. You can group by a column with the drag and drop of a column header into the group panel, or apply filters on single column values.

An important function Refocus that is missing is the fast filter function which applies basic text filter on some host properties. Sentinel allows to write a string in the fast filter text box and only columns complying to fast filter will be shown. This function is case insensitive and it verifies if the string is contained in any of project, country, server name, category, type (used to filter/group hosts), IP of the host and description. In Sentinel, you can take in charge a specific column value or assign it to a specific user. This allows to make the active phase by opening a report in the expertise’s field; this is not possible in Refocus.

In Sentinel the user is allowed to take in charge each single property or the entire host whether the server is in error or not. A user can take in charge a property in error if the property is not part of a host that has been taken in charge. In addition, when a user takes in charge an host or a property that was taken in charge by another user, the property is automatically released from the original user. Taking in charge a host means that the user automatically takes in charge all the property error that happens from the point in time in which he has taken in charge the host until the moment he releases the host. While a user takes in charge the host no more work can be performed on it. Every server which is affected is associated with a ticket id. In addition, the user will see in separate columns the information about the number of errors taken in charge. It is also possible to filter on this one. It’s mandatory that a note has to be associated to each take in charge action.

When a user takes in charge one or more servers or properties, he will be shown in the view in the ‘users’ column. Refocus presents a significant limitation compared to Sentinel: the sample does not

SOC - Data Diagnostics Report					LEGEND
Countries		Report Execution Time Zone (RTZ)		Events from date (RTZ)	Ev
Romania		(UTC+01:00) Amsterdam, Berlin, Bern,		22-Sep-15	
Technology	Country	Plant Name	GU Name	Log Interval (minutes)	Date
Wind	Romania	Agighioi	T-CC_01	10	
Wind	Romania	Agighioi	T-CC_05	10	

Figure 2: SOC - Data Diagnostic Report – Detail.

have the timestamp related the time when the data was actually produced from the source.

Another important Refocus drawback relies is the user experience during host navigation. Navigation on specific properties is not dynamic as in Sentinel where a better focus management is available.

According to Refocus, you have to create new perspectives by inserting the root node containing associated subjects. You need also to specifying aspects that you would like to monitor.

In Sentinel we only have two thresholds: warning and error. Four thresholds can be an advantage for monitoring certain properties whose trend is changing over time (in a negative way) to allow a proactive approach. Furthermore, there is the possibility of using only some thresholds for some properties rather than others and this is an advantage of Refocus.

In Sentinel, for data representation, you do not define a common root for all the nodes you want to monitor. However, this is necessary in Refocus if you want to be aware of what is happening on the different subjects' properties.

Finally Sentinel, unlike Refocus, allows the handling of any failure messages. Table 1 summarizes the overall comparison.

3 ALARM MANAGEMENT

One of BaxEnergy's use cases is designing, integrating and deploying Worldwide Monitoring Room (WMR). The WMR consists of different subsystems from different vendors which have been connected together to a central server infrastructure located in Rome. In a WMR all data flows from national Local Control Room (LCR), a room where there are technicians involved in monitoring all power plants. LCRs and WMRs are based on Energy Studio Pro, that retrieves data from all power plants in Italy.

Data Monitoring can be either:

- Soft real-time, if data are available after minutes, acquired by Live Data Manager (LDM), a module designed to perform real-time bridging between equipment on the field (e.g. a wind turbine, PV inverter, PLC etc.) and client applications requesting data for visualization or business logic and then processed;

- Historical, when data are available after hours, the main use is for the KPI (Key Performance Indicator) calculation and O&M activities (Operation and Maintenance), but also as a basis for forecasting the future data or trends.

Sentinel arises from the need of the Service Operation Center (SOC) to have a monitoring system up and running 24x7 h from hardware infrastructure in power plant to WMR and LCR monitors. SOC is a service offered to customers for monitoring the data acquisition chain of an LCR, the data completeness and the data quality.

The SOC service focuses on three main aspects:

- Network diagnostics: monitoring of devices and nodes responsible for data acquisition, data transmission, data processing and data storage;
- Data diagnostics: measurement of data quality and completeness;
- KPI Calculation: calculation of key performance indicators for the hardware nodes and software applications.

A sample of data collected by SOC is shown in Fig. 2. The goal is to maximize the business value chain and Data Quality throughout the whole data acquisition chain. The SOC must (a) Detect problems and take in charge anomalies (b) Make appropriate corrections and check if they went successful.

3.1 Architecture

In the alarm management system there are three main actors: Sentinel, Alarms Server and Refocus (see Fig. 3). Sentinel consists of one or more agents (e.g. Alarms Server Sentinel Agent), connected each other.

Alarms Server is an engine that is able to generate Alarms according to rules applied on two types of data: real-time data and historical data. Finally, Refocus is a platform used to understand, visualize and analyze the health and the status of systems and/or services under observation.

The operations sequence is the following:

1. First, the Alarms Server's module requires Sentinel's module the value or the values for a property or possibly for properties of a Sentinel's host.
2. Sentinel responds with required information
3. The Alarms Server's engine processes the request and calculates the alarm status (active or inactive) associated with this one.
4. In parallel to the previous activities, a Sentinel Agent named Alarms Server Sentinel Agent performs polling requests asking the result of the previous computation.
5. Alarms Server responds satisfying agent request.
6. The Alarms Server Sentinel Agent updates the host status (whose property or properties has/have been requested).
7. With a specific data ingestion module, Sentinel sends the data to Refocus which allows it to display through a dashboard.

More in detail, Refocus requires Node.js, Redis and PostgreSQL. Redis and PostgreSQL must be up and running. Node.js is used also for token-based authentication.

3.2 Alarms Server

Alarm systems are the most important tools providing process plant operators to improve power plant performance and monitor plant safety. Process plant operators are often heavily burdened with alarms both during steady-state operation and following plant upset. Events and alarms are the basis for system

status understanding and decision making process (Liu, 2003).

Alarm management is a traditional feature of Supervisor control and data acquisition (SCADA) and distribution management systems (DMS). SCADA systems are implemented in various types of industries. The main reasons of development and the use of these systems are to (1) support a better control of distributed systems and (2) reduce cost and maintaining assets.

SCADA specialties fall in the remote control of systems and in the large distance between end terminal units and the main control unit but in some scenarios the communication system may be a conflicting element in the system (Chumki, 2013).

In the case of well-defined alarms, operators can return the process to its normal operation and prevent dangerous situations that can lead a disaster. Therefore, alarms must be defined exactly on the borderline of normal and abnormal operation, thus avoiding unnecessary alerts and situations where there is no enough time to take action. In ideal situations, the signals of operators have defined so that alarms require immediate actions, while warnings do not appear.

The Alarms Server is a centralized module which provides alarming functionalities. It is able to generate events according to user-defined rules. The Alarms Server can also be helpful to identify faulty equipment, create maintenance work orders and improve on operator's effectiveness.

The user-defined rules can be applied on the following data:

- Real-time data: where the data source is the Live Data Manager (LDM), a server application that collects data arriving from all the real-time data sources, careless of the source protocol, and exposes them as a proper interface;

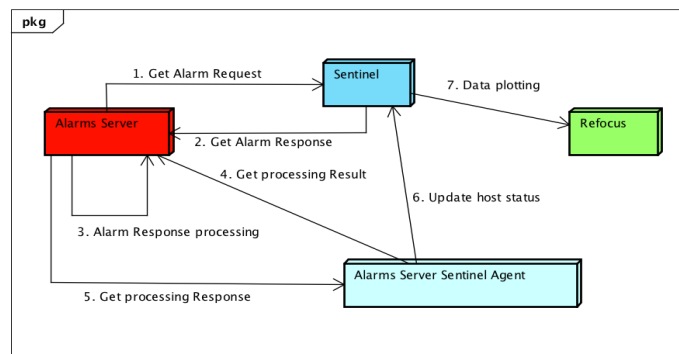


Figure 3: Alarm Management system architecture.

- Historical data: where the data source is BaxEnergy ESP Historical Database, which contains all the aggregated data coming from the power plants (at five or ten minutes, depending on the plant technology).

The Alarms Server is able to monitor parts of a process that may require operator attention when defined threshold are reached, e.g. safety limits of equipment, event detection, abnormal situation and similar. It also can be used to collect and record alarms for audits or used in correlation with other historical data.

Once events are generated, they can be sent to an Alarm Client, which can be integrated in other applications (in this case inside a Sentinel agent called Alarms Server Agent), and these events are automatically stored into an Alarms History database.

Once defined, the alarms in the system are automatically generated, based on the operating conditions and actions performed in the process plant, so they provide advisory information to help operators focus on important alarm information and take correct, quick actions.

3.3 Alarms Configurator

The Alarms Server is a Windows Service that reads from a configuration module named *Alarms Configurator*. Thanks to this module, the end-user can edit the configuration of the alarms. The Alarms Configurator is a desktop application which is responsible of the real-time data configuration and alarms definition. Alarms can be created and configured in the Alarm tab located in the right panel of the window. The main elements of this tab are:

- Alarm Groups, represent common alarms or alarm grouped by RES technology (e.g. wind, photovoltaic, or based on user defined criteria), a set of alarms that shares the same execution settings;
- Areas, can be refer to specific alarms categories (as environmental, measurements, status);
- Symbols, items created in order to configure alarms for particular groups of devices. A sort of devices subset, which replicates the rule of an alarm over a set of multiple devices.

In order to specify a value for a certain Sentinel host property you have to set a field of the Alarms Configurator called condition, which represents the scalar value that will be monitored. This one represents a generic expression, which make use of IronPython, an open-source implementation of the Python programming language which is tightly integrated with the .NET framework in order to use

Python code easily (Ironpython, 2019), and a specific syntax to evaluate the input string and if it's an historical alarm this one will be stored in a database.

3.4 Creating New Alarm Sentinel Hosts

In order to create a Sentinel host property alarm, first you have to create an Alarm Group, then the following steps can be carried out:

- Specify Alarm name, e.g. "WindowsServicesAlarm";
 - Check if this alarm is Historical or not
 - Check if this alarm is Active or not
 - Insert the condition (syntax is shown below)
 - Chose the Alarm type
 - Additionally, you can specify other properties.
- The condition syntax is expressed as:

```
{SentinelServer#hostProject.hostName | hostProperty}
```

It is also possible to control more than one property associated to the same host by using and/or operators.

The current syntax does not allow to specify more than one host in a single alarm because you have to specify also the Alarm identifier which represents the name of a single host.

Considering Alarm types, which indicates the type of condition that triggers the alarm, the following values can be distinguished:

- Hi, HiHi: the alarm triggers if the value in the condition field is over a selected threshold. Hi and HiHi represent two different levels of severity.
- Low, LowLow: the alarm triggers if the value in the condition field is under a selected threshold. Low and LowLow represent two different levels of severity.
- Digital: the alarm triggers if the value in the condition field is true (in this case you don't have to insert a threshold).
- User Input: the status of the alarm is set by an external process.
- Rate of Change (RoC) Analog: the alarm triggers if the number of changes of condition field (ΔV) exceeded the limit in a given time frame (ΔT).
- Sequence Digital: the script that is called by the condition field has the responsibility to manage the status transitions of the alarm, through the property "alarmState". It is also possible to store variable to maintain the status of the script in a specific memory area that is hold between different executions.
- Rate of Change (RoC) Digital: the alarm triggers if the condition field occurs in a True value for a

specified number of times in a given time frame. The other additional properties that can be specified in an alarm definition are:

- Symbol: the symbol the alarm applies to
- Limit: applies only to alarms of the Hi, HiHi, Low and LowLow types and defines the threshold limit of the value in the condition field to trigger the alarm
- Deadband: represents the range of values above and beyond the limit where the alarm is inactive. It's applied only to alarms of the Hi, HiHi, Low and LowLow types
- Severity: indicates the severity of the specific alarm. According to OPC specification this value ranges from 1 to 1000
- Message: indicates the message text defined for the current alarm, as a static string, an IronPython expression or a tag value
- Code: the user defined code of the current alarm
- Area: one or more areas associated to the alarm
- Site: the power plant associated to the alarm
- Device: the device associated to the alarm
- Model: the model of the alarm device
- Ack: when this check-box is marked, the alarm will require acknowledgement by the operator

3.5 Sentinel Data Hub

A generic data hub is a data collection from multiple sources organized to share and distribute, providing an organization with a centralized data source.

Sentinel does not have an alarm management system and it's not be able to throw alarms when a particular condition occurs by means of which to connect alarms with Sentinel host properties. This lack is compensated with an enhancement of an Alarms Server local instance. With the Alarms Configurator indeed we can attach alarms to host Sentinel properties by inserting the property condition in the field, leading to a so-called Sentinel data hub to access data in Sentinel and consequently triggers alarms based on variations exceeding the relative property's threshold, in monitored properties.

Starting from a generic data hub Alarms class, it has been developed a Sentinel data hub class which inherits from the previous and represent a particular kind of data hub for Sentinel traffic. This class provides alarm generation based on host Sentinel properties and overrides the following methods:

1. Initialize, which calls for the first time GetValues() that updates host Sentinel properties and status.
2. BuildPath, returning a DataHubPath from

- a) the source identifier, in this case "SentinelServer";
 - b) the source path, whose syntax follows this format: hostProject.hostName|hostProperty;
 - c) and a parameter whose value indicates if the source is valid or not.
3. Subscribe, which provides the subscription to alarms (not to properties of the host) by specifying the alarm path. It takes in input an array of alarm's paths and a sampling rate.
 4. Commit, which calls a scheduler that periodically executes the GetValues() method for updating the host properties and status.
 5. GetNextValidTimestamp, which returns the next valid timestamp starting from a list of requests which receives in input. In particular a comparison is made between timestamp values from incoming requests with a value representing the last valid timestamp. The last valid timestamp value will be the result of the search for the minimum of all timestamp transmitted by these requests.
 6. Read, which reads the timestamps of the incoming items and if this one is the same as the one required, is added to the result, otherwise not. In this way a collection of items, whose timestamp is the required one, is created and returned.

The timestamp required is specified as an input parameter of the function. In the example shown in Figure 4, there are 3 generic properties of a unique host, and the timestamp 12:20 has been chosen since it is the minimum value. Although there may be some recently updated properties, only values with associated timestamp of 12:20 will be read.

3.6 Alarms Server Sentinel Agent

Sentinel is composed of a variable number of Agents.

Agents are modules responsible of monitoring a certain host type. They can be considered as connectors for a particular host type. By implementing an agent connected to a specific Alarms Server it will be possible to immediately recognize problems in this Alarm Server connection. So, this Alarms Server Sentinel Agent was developed to be able to add alarm management and calculation.

What has been developed can be considered as an improvement to Sentinel.

The Alarms Server Sentinel Agent is used to monitor all the active alarms of the host properties.

To accomplish this enhancement of the Sentinel system, first a Sentinel instance is installed locally and modified the configuration files, and then an



Figure 4: Timeline diagram example.

agent using the composite pattern is created.

To implement the Alarms Server Sentinel Agent within Sentinel it has been used the UML composite design pattern. In software engineering, the composite pattern is a partitioning design pattern which describes a group of objects that is treated the same way as a single instance of the same type of object. It's one of the 23 well-known GoF design patterns that describes how to solve recurring design problems to design flexible and reusable object-oriented software. It is used where we need to treat a group of objects in similar way as a single object.

The aim is to compose objects into tree structures to represent part-whole hierarchies. So, you can work through the component interface to treat leaf and composite objects uniformly. In this case, composite pattern allows to treat single agents as Alarm Server Sentinel Agent or WMI Agent and Composite Agents uniformly, so there is no difference between a leaf-node and a branch. Individual leaf objects implement the component interface directly, and composite objects forward requests to their child components.

4 CONCLUSIONS

In this work, the open source monitoring system Refocus has been considered. Data on Refocus came from Sentinel, which has a twofold value (1) distributed software system and (2) monitoring system of the different hosts. Refocus and Sentinel monitoring system have been compared; moreover, the integration of Sentinel with the Alarms Server has been discussed. A proactive approach allows the system to plan appropriate actions timely, thus preventing problems before they occur. An effective monitoring saves both costs due to unforeseen failures and to physical replacement of all monitoring chain elements out of service or whose behavior is unexpected. Since the agent responsible to acquire data status has not yet been implemented, a possible future developments of Sentinel is to create this agent to allow Alarms Server to manage such alarms as well.

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