



Context-aware Sleep Analysis with Intraday Steps and Heart Rate Time Series Data from Consumer Activity Trackers

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Abstract: Wearable consumer activity trackers have become a popular tool for longitudinal monitoring of sleep quality. However, sleep data were routinely visualized in isolation from other contextual information. In this paper, we proposed a sleep analytics method to identify the associations between sleep quality and the contextual data that are readily measurable with a single Fitbit device. Different from prior studies that only focused on the daily aggregation of the contextual factors (e.g., total step counts), our method considers the intraday temporal patterns of these factors. Time-domain, frequency-domain, and nonlinear features were derived using the minute-by-minute intraday step and heart rate time series. The results showed that some of the identified contextual features such as the zero-crossing of steps and the absolute energy of heart rate could lead to actionable insights. While the nonlinear features—such as the average and longest diagonal line length derived through the recurrent quantitative analysis of the step time series—may not lead to insights that can be immediately acted on, they generated new hypotheses for further scientific studies. The results also showed that when dealing with data of consumer wearables, the individual-level analysis could generate more personally relevant insight than the cohort-level analysis.

1 INTRODUCTION

Getting enough and quality sleep is critical for people's physical and mental health (Buysse, 2014). While traditional sleep monitoring technologies such as polysomnography (PSG) and actigraphy were only available in medical settings, recent advances in consumer wearable technologies have expanded sleep monitoring to daily life. Consumer activity trackers such as Fitbit are affordable, easy to use, and provide an intuitive user interface for data visualization. These devices have achieved great popularity not only among individual users but also recently in the scientific research community (Peach et al., 2018; Weatherall et al., 2018). As the latest models can achieve comparable accuracy against medical devices, these devices are increasingly used in research studies to generate new insights into sleep health (Liang, 2021; Liang & Ploderer, 2020; Yurkiewicz et al., 2018)

Despite their popularity, consumer sleep tracking technology is yet recognized as an effective tool that helps people improve their sleep quality. Most sleep trackers rely on motion-sensing technology (accelerometer or gyroscope) to gauge how often a user moves during sleep. Therefore, they may overestimate or underestimate sleep and wake. For example, a user wakes up in the middle of the night but lying still could get an imprecise sleep summary the next day. Furthermore, a previous study pointed out 'not identifying reasons for sleep problems' and 'not knowing how to act' as two main barriers to improving sleep with consumer activity trackers (Liang & Ploderer, 2016). From a data science perspective, addressing these two barriers requires the analysis of users' sleep data within their lifestyle context (Liang, Ploderer, et al., 2016). Despite of being able to collect multiple streams of behavioural and physiological data (e.g., steps, heart rate, calorie expenditure), Fitbit only allows users to visualize

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these data separately, leaving it difficult to explore the relationships among different streams of data. Figure 1 illustrates how sleep data are presented in isolation from other streams of data that can potentially provide contextual information. It is worth mentioning that this problem is not specific to Fitbit but rather universal to all consumer activity trackers.

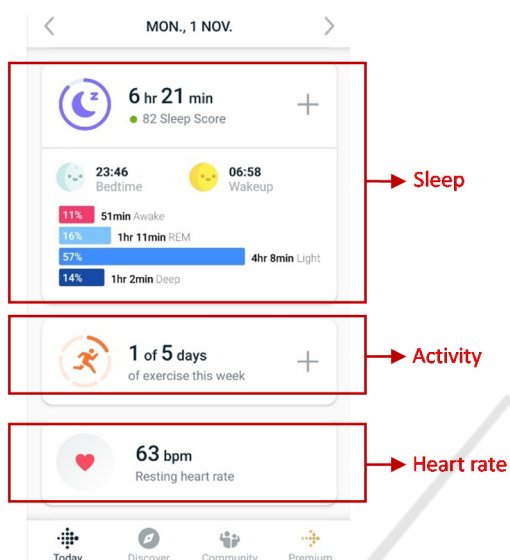


Figure 1: A screenshot of the Fitbit dashboard.

On the other hand, several research studies have attempted to address the above limitation of consumer activity trackers. In these studies, researchers developed web and mobile applications that allow users to explore the correlations among multiple streams of health data readily collected with consumer activity trackers (Bentley et al., 2013; Daskalova et al., 2016; Kay et al., 2012; Liang, Ploderer, et al., 2016). Both linear correlation analysis and data mining techniques have been employed to identify relationships between sleep and lifestyle context (Daskalova et al., 2016; Liang, Chapa-Martell, et al., 2016; Liang, Ploderer, et al., 2016). Here we coin the term ‘context-aware sleep computing’ as the umbrella of all the research studies that attempt to analyse sleep within the context of users’ lifestyle, physiological and psychological states, and living environment.

Current context-aware sleep computing research is limited to the daily aggregation of contextual factors. Prior studies have only considered the associations of sleep to the total number of steps, calories expenditure, or minutes spent in various heart rate zones in a day (Bentley et al., 2013; Daskalova et al., 2016; Kay et al., 2012; Liang, Ploderer, et al., 2016). While daily aggregations provide important

information on day-to-day variability, the intraday temporal patterns of these factors—which may potentially correlate to sleep quality at night—were largely overlooked. This study aims to fill in this gap. We performed a two-week data collection experiment with 16 participants using Fitbit Charge 3. The minute-by-minute time series data of steps and heart rate were retrieved using a special Fitbit web API that requires permission from the Fitbit company. Time-domain, frequency-domain, and nonlinear features were derived from the time-series data to capture the intraday temporal patterns of these two factors in different dimensions. We also proposed an ensemble feature selection method to identify the important intraday features that significantly correlate to sleep quality at night. The contribution of this study is two-fold.

- The proposed context-aware sleep analysis method bridges a methodological gap in persona informatics by considering the intraday temporal patterns of lifestyle factors.
- We demonstrated how the proposed method could help generate not only actionable insights for individuals, but also interesting research hypothesis that may inspire further studies in sleep science.

2 RELATED WORKS

Sleep plays a critical role in human health and has strong associations with learning, memory, and metabolism. Many studies have been conducted to help people understand more about sleep. However, sleep experiments performed in sleep labs had some drawbacks since the environment in which sleep occurs was very different from a bedroom environment. The findings of these studies might not be generalized to real situations and result in poor ecological validity. Recently, the development of commercial sleep-tracking devices provides researchers with a tool to track sleep as well as daytime activities in naturalistic settings. While the companion apps of these devices only present different streams of data independently, several research studies have developed third-party web and mobile applications that help users to learn about the relationship between sleep metrics and contextual factors (Bauer et al., 2012; Bentley et al., 2013; Kay et al., 2012; Liang, Ploderer, et al., 2016). These studies have demonstrated both feasibility and merits in investigating the effects of multiple categories of factors along with sleep. To collect data without disturbing participants’ daily activities, many studies

used wristband activity trackers (Fitbit, Xiaomi Mi Band) to collect behavioural and lifestyle information in addition to sleep. Additional sensors were also used to explore the home sleep environment (Kay et al., 2012; Liang, Ploderer, et al., 2016; Park et al., 2019; Wang et al., 2021). (Kay et al., 2012), built up a system called Lullaby which can be installed in participants' bedrooms to capture light, temperature, noise, and motion signals. Contextual factors varied from study to study and some of them cannot be detected automatically, such as consuming caffeine, nicotine use, relaxation, and food intake. This problem can be solved by asking the participants to write down their observations manually (Bauer et al., 2012; Bentley et al., 2013; Daskalova et al., 2016; Liang, Ploderer, et al., 2016; Park et al., 2019). However, missing data is a big challenge since manual logging did not occur frequently. Apart from using wristband devices, some studies used available sensors in smartphones and developed their own widget so that they can reduce the need for external devices (Bauer et al., 2012; Daskalova et al., 2016). Taking advantage of mobile phones, factors like location, weather, free/busy hours, communication records can be extracted automatically (Bentley et al., 2013; Kay et al., 2012; Liang, Ploderer, et al., 2016; Park et al., 2019; Wang et al., 2021). In these studies, some participants were amazed by how little they knew about sleep despite having sleep every day (Kay et al., 2012). Participants were able to see the links between sleep hours with emotion and physical activity for the next day (Bentley et al., 2013). Studying contextual factors not only benefits healthy subjects but also contributes to sleep disorder research. (Park et al., 2019) found that contextual factors such as calories consumed, walk, distance, stairs, and active ratio could be useful for predicting sleep efficiency and ranking the risk level of insomnia for the next night's sleep. Some contextual factors such as age, gender, subjective perception of sleep quality and heart rate were shown to affect the accuracy of sleep trackers and were used to develop more accurate sleep staging algorithms (Liang & Chapa-Martell, 2019, 2021).

Some limitations exist and demand further work to improve. First, existing studies have only considered the daily aggregation of lifestyle contextual factors, such as the total number of steps, the total calories expenditure, and the total minutes spent in each activity intensity zone. The intraday variability and the temporal patterns were largely neglected. Second, the data from different participants were usually merged into one large dataset for analysis, assuming the homogeneity of the

cohort. While such cohort-level analysis is widely adopted, it is found that the results are usually not generalized well to individuals, especially when the intra-personal variability is larger than the inter-personal variability (Molenaar, 2004). In this study, the intraday temporal patterns of the time series data of steps and heart rate were captured using a diversity of time-domain, frequency-domain, and nonlinear features. In addition, we performed contextual-aware sleep analysis for participants individually to identify correlations between sleep and lifestyle for each person. In what follows, we demonstrate the usefulness of intraday features of the time series data of lifestyle factors, as well as the importance of a research paradigm shift from cohort informatics towards personal informatics.

3 METHODS

An overview of the proposed context-aware sleep analysis is illustrated in Figure 2. All data were collected using Fitbit Charge 3. We constrained the contextual factors to steps and heart rate because they are readily measurable together with sleep data using a single Fitbit device, which best represents the usage scenario of consumer activity trackers in real life. In what follows, we detail the data collection experiment, data preprocessing, feature construction and the original feature selection algorithm.

3.1 Data Collection and Retrieval

Due to the lack of high-quality open-access datasets that serve our purpose, we conducted a 14-day data collection experiment on our own with 16 participants using Fitbit Charge 3. The participants were recruited through personal connections and word of mouth. Applicants with diagnosed sleep problems were excluded. The cohort consist of 9 women and 7 men, with an average age of 30 years. Ethics approval was obtained from the Ethics Committee of the Kyoto University of Advanced Science.

We mailed a Fitbit Charge 3 device to each participant and instructed them to set up the device and the companion Fitbit app on their smartphones. The participants were required to log in to the Fitbit app using a provided email account that our research assistants created exclusively for the data collection experiment. The subjects were encouraged to wear the Fitbit Charge 3 as often as possible and to synchronize the device daily. Participants who successfully completed the data collection experiment were allowed to keep the Fitbit device as

a reward, and they were instructed to re-login on their Fitbit apps using their personal email account, so that their data would not be synchronized to the experiment account afterward.

The daily aggregation of sleep data was retrieved using Fitbit public web API. We selected three sleep metrics—total sleep time (TST), wake after sleep onset (WASO), and deep sleep ratio—as indicators of sleep quality, as prior studies showed that many users to consumer sleep trackers rely on these metrics to assess their sleep quality (Bauer et al., 2012; Bentley et al., 2013; Kay et al., 2012; Liang & Ploderer, 2020; Liang, Ploderer, et al., 2016). Prior validation studies found that Fitbit are reasonably accurate in measuring the daily aggregation of sleep metrics (De Zambotti et al., 2019; Liang & Chapa-Martell, 2018).

The intraday time series of steps and heart rate were retrieved using a special Fitbit web API that requires getting permission from the Fitbit company. While a third-party service has no limitations in accessing the aggregated data, permission is needed to access the intraday time series. Both the steps and heart rate time series were retrieved at one-minute resolution.

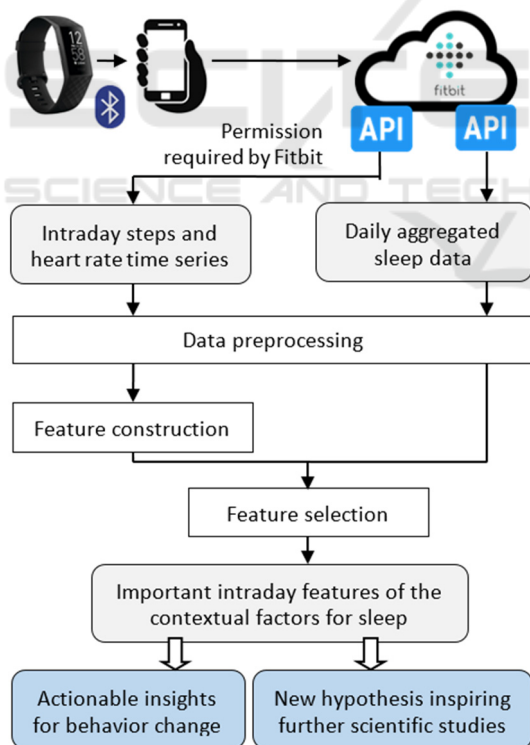


Figure 2: An overview of the proposed context-aware sleep analysis method with Fitbit.

3.2 Data Preprocessing

The data preprocessing protocol described below was performed individually on the dataset of each subject to handle missing data and to ensure the correct timestamp match between the contextual data and the sleep data.

Missing data was an occasional issue when no sleep stage data was recorded throughout the night, or no resting heart rate was recorded upon waking up on a day. The Fitbit API supports the retrieval of two kinds of sleep data. The ‘stage’ data consist of sleep stage levels include ‘light’, ‘deep’, ‘rem’, and ‘wake’, while the ‘classic’ data consist of sleep pattern levels include ‘asleep’, ‘restless’, and ‘wake’. In other words, when the sensor did not record sufficient signals to infer sleep stages of a night, it only roughly classified sleep and awake. The target sleep metrics that were related to sleep stages were all filled in with NAs on nights with no sleep stage information. Missing heart rate data were set to NA as well. Afterward, the NAs were imputed with the mean of the intraday time series.

The contextual data and the sleep data needed to be matched by date. According to the data scheme of Fitbit, the sleep data of day N corresponds to the sleep that ends in the morning of day N (not the sleep that starts on the night of day N). Hence, the contextual data of sleep on day N refers to the steps and heart rate data between the end time of sleep on day N-1 and the start time of sleep on day N. Depending on whether a user goes to bed before midnight (case 1) and after midnight (case 2), the sleep start time of day N could be either on day N-1 (case 1) or on day N (case 2). The corresponding contextual data that matched to the sleep on day N hence differed between case 1 and case 2. In addition, the raw sleep data retrieved only consist of sleep stages in minutes. We calculated the ratio of deep sleep (DR) by dividing the minutes of deep sleep by TST.

3.3 Feature Construction

We derived features from the intraday time series of steps and heart rate. A full list of derived features is summarized in Table 1.

The time-domain features were directly derived from the preprocessed time series data. These features capture the statistical and morphological characteristics of the intraday time series data. Frequency-domain features were derived from the Fourier transform of the original time series data. These features capture the spectral characteristics of the intraday time-series data. Nonlinear features were

derived after phase space construction by applying Taken's time-delay embedding to the time-series data (Dingwell & Cusumano, 2000). Several nonlinear dynamic system analytic techniques were applied for deriving nonlinear features. These techniques included recurrence quantitative analysis (RQA), Poincaré plots (PP) (Hoshi et al., 2013), detrended fluctuation analysis (DFA) (Hardstone et al., 2012), as well as several measures of entropy (López-Ruiz et al., 1995). These features capture the chaotic characteristics and the complexity of the intraday steps and heart rate time-series. The infinite and missing values were first unified as 'NA' and then imputed by the mean of the corresponding features.

3.4 Feature Selection

Feature selection is a critical step in identifying the contextual features that are relevant and have the strongest predictive power of the target sleep metric (Guyon & Elisseeff, 2003). Existing feature selection algorithms fall into three main categories: wrappers, filters, and embedded methods. Each category has its merits and demerits. Wrapper methods build a predictive model to score feature subsets, which usually provide the best-performing feature set but are computationally intensive. Filter methods achieve a trade-off between computational speed and the usefulness of the feature set. Embedded methods perform feature selection as part of the model construction process and the computational complexity is between the previous two categories. In this study, we proposed an ensemble feature ranking and selection method illustrated in Figure 3. The proposed algorithm leverages six feature selection algorithms to generate an average importance score for each feature and performs feature pruning based on a set of criteria.

As illustrated in Figure 3, the six feature selection algorithms include one wrapper (i.e., recursive feature elimination (RFE)), two filters (i.e., F-test and mutual information (MI)), and three embedded methods (i.e., multivariate linear regression, Lasso regression, and Ridge regression). All features were scaled between [0, 1] before being passed to the feature selection algorithm. Each algorithm k generated an importance score $\zeta_{x,y}^k$ for a feature x in relation to a target sleep metric y . The $\zeta_{x,y}^k$ of all six algorithms were scaled to the range [0, 1] and then averaged to generate an average importance score for feature x in relation to sleep metric y . In the meantime, the support $sup_{x,y}$ of feature x in relation to sleep metric y —defined as the number of algorithms that generated a scaled $\zeta_{x,y}^k$ above 0.5—was also

computed. Pearson's correlation coefficient and the correspondent p -value were calculated to quantify the linear relationship between feature x and sleep metric y .

Table 1: Features constructed using Fitbit intraday time series data.

Category	Feature	Denotation
Time-domain	mean	<i>mean</i>
	median	<i>median</i>
	standard deviation	<i>std</i>
	variance	<i>variance</i>
	peak to peak	<i>p2p</i>
	maximum	<i>max</i>
	minimum	<i>min</i>
	absolute energy	<i>absEnergy</i>
	mean absolute difference	<i>meanAbsDiff</i>
	zero cross	<i>zc</i>
	skewness	<i>skew</i>
	kurtosis	<i>kurt</i>
5 th order moment	<i>mmt5th</i>	
Frequency-domain	total spectrum	<i>totalSpec</i>
	maximal spectrum	<i>maxSpec</i>
	peak ratio	<i>peakRatio</i>
Nonlinear	recurrence rate	<i>recurRate</i>
	percent determinism	<i>det</i>
	average diagonal line length	<i>avgDiagLine</i>
	longest diagonal line length	<i>longestDiagLine</i>
	entropy of diagonal lines lengths	<i>entropyDiagLine</i>
	laminarity	<i>lam</i>
	trapping time	<i>trappingTime</i>
	longest vertical line length	<i>longestVertLine</i>
	entropy of vertical lines lengths	<i>entropyVertLine</i>
	ratio between determinism and recurrence rate	<i>ratioDetRecurRate</i>
	ratio between laminarity and determinism	<i>ratioLamDet</i>
	correlation dimension	<i>corDim</i>
	scaling exponent	<i>alpha</i>
	scaling exponent with 50% overlap	<i>alphaOverlap</i>
	Hurst exponent	<i>hurstExpK</i>
	Shannon entropy	<i>shannonEn</i>
	sample entropy	<i>sampEn</i>
permutation entropy	<i>permuEn</i>	
system complexity	<i>sysComplexity</i>	

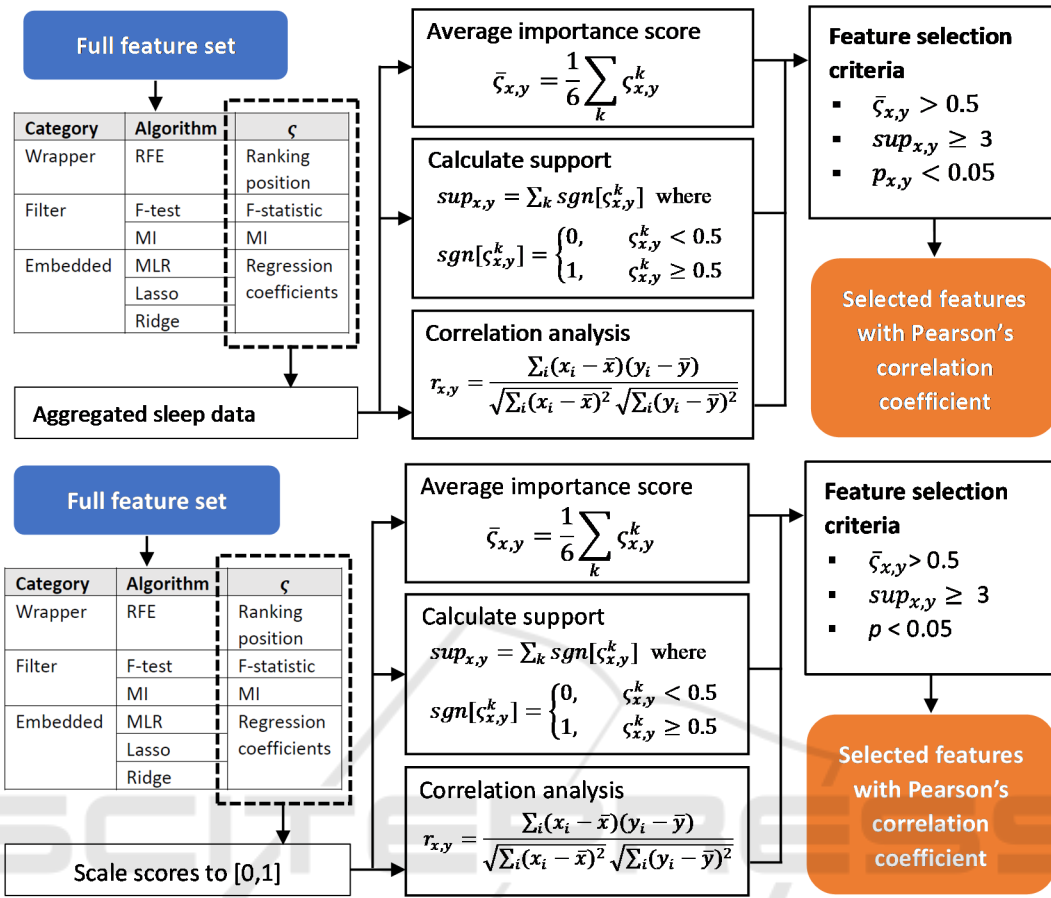


Figure 3: The proposed ensemble method for selecting the most important intraday contextual features in relation to the target sleep metrics.

Three conditions were defined to select the most important features: (1) $\bar{\zeta}_{x,y} > 0.5$, (2) $sup_{x,y} \geq 3$, and (3) $p < 0.05$. The outputs of the ensemble feature selection method were the selected contextual features and the corresponding Pearson's correlation coefficients in relation to each sleep metric.

In this study, feature selection was performed at both the individual level and the cohort level. At the individual level, the cleaned dataset of each subject was fed directly into the ensemble feature selection method. At the cohort level, the datasets of all subjects were merged before being fed into the ensemble feature selection method. It is worth noting that at the cohort level analysis, the repeated measures correlation was used in place of Pearson's correlation to handle the dependence among observations. The parameter α was set to 0.5 for Lasso and Ridge, and the RFE was set to stop the search when 5 features were left. Missing values were removed in a pair-wise manner in correlation analysis.

4 RESULTS

The contextual features that were significantly associated with each sleep metrics are shown in Figure 4~6. The features were selected using the proposed ensemble method. Red, blue, and grey cells indicate significantly and positively correlated important features, significantly and negatively correlated important features, and unimportant features, respectively. The shades of red and blue indicate the strength of correlation. The first column shows the result at the cohort level, and the subsequent columns show the result for each subject. As can be seen from figures 4-6, the identified correlations exhibit great inter-participant differences, while no correlation was found between the contextual and sleep metric for P2 and P9.

Figure 4 shows the identified important contextual features of TST. At the cohort level, *ratioDetRecurRate* was the only contextual feature

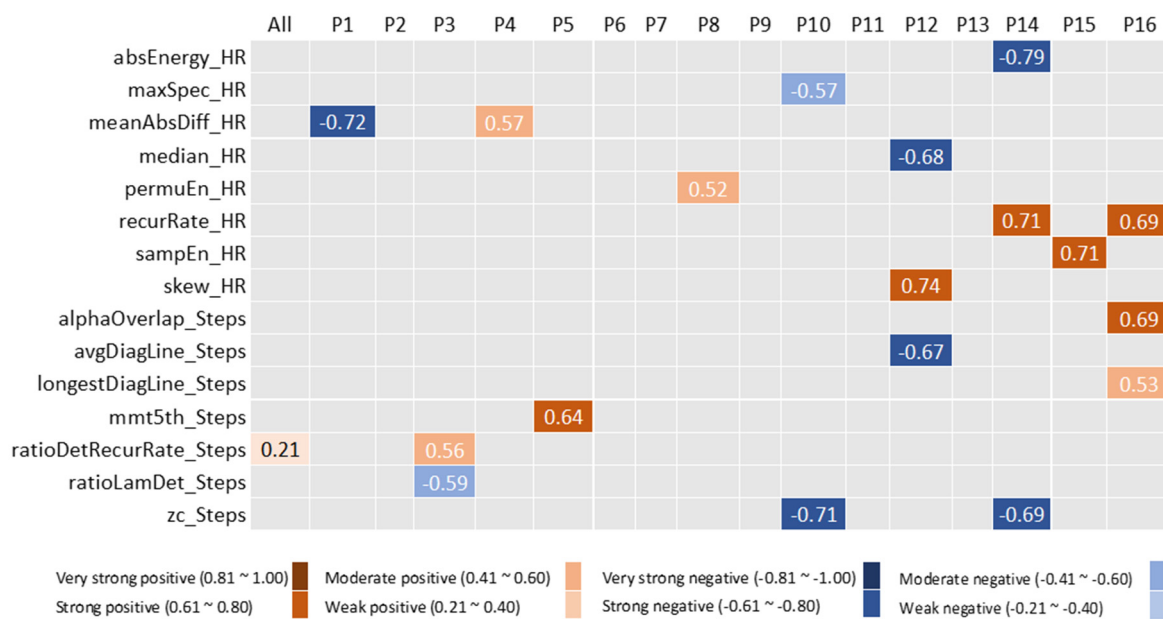


Figure 4: Contextual features that significantly correlate to TST. The value and colour shade of a cell indicate the correlation coefficient and the correlation strength, respectively.

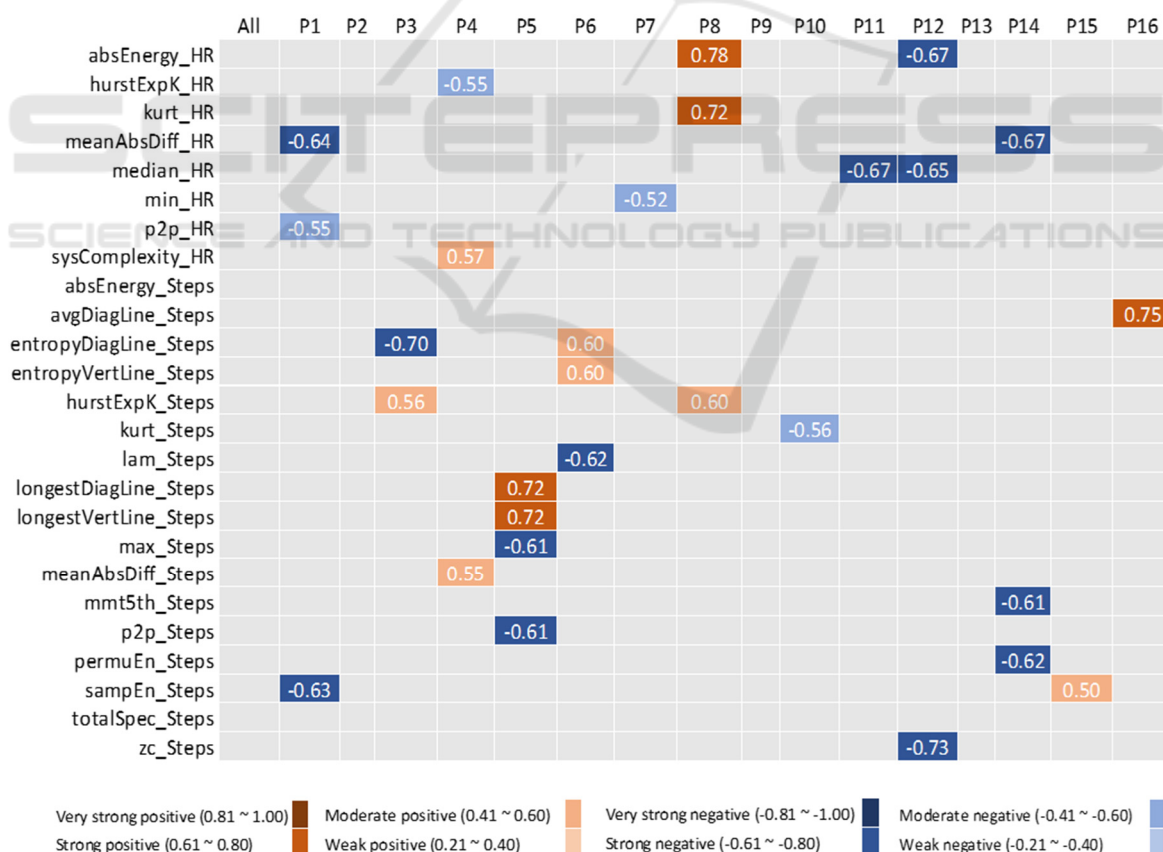


Figure 5: Contextual features that significantly correlate to WASO. The value and colour shade of a cell indicate the correlation coefficient and the correlation strength, respectively.

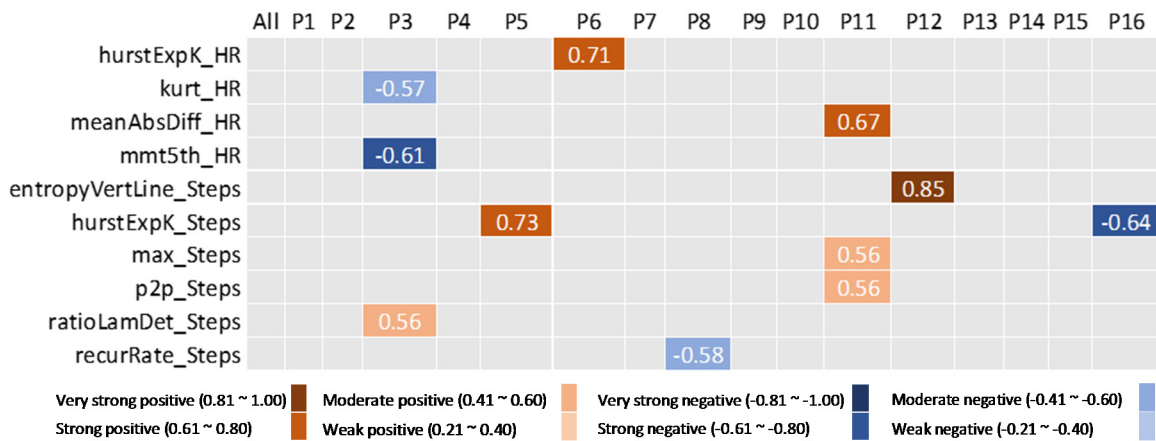


Figure 6: Contextual features that significantly correlate to the deep sleep ratio. The value and colour shade of a cell indicate the Pearson's correlation coefficient and the correlation strength, respectively.

that exhibits a significant correlation. This factor was also an important factor for P3, though the correlation strength at the cohort level was much lower than that at the individual level. At the individual level, the same contextual feature may demonstrate the opposite correlation direction for different participants. For example, *meanAbsChange_HR* was negatively correlated to TST for P1 ($r = -0.72, p = 0.006$) but positively correlated to TST for P4 ($r = 0.57, p = 0.035$). The strongest correlation was found between *absEnergy_HR* and TST for P14 ($r = -0.79, p < 0.001$). No correlation was found between the contextual features and TST for P2, P6, P7, P9, and P11. Figure 5 shows the identified important contextual features of WASO. At the cohort level, no factor was significantly correlated to WASO. Similar to TST, the same contextual feature may demonstrate opposite correlation direction for different participants. It is shown that *entropyDiagLine_Steps* was a negatively correlated factor for P3 ($r = -0.70, p = 0.008$) but a positively correlated factor for P6 ($r = 0.60, p = 0.010$), and *sampEn_Steps* was a negatively correlated factor for P1 ($r = -0.63, p = 0.020$) but a positively correlated factor for P15 ($r = 0.50, p = 0.020$). Significant inter-subject differences were observed. P4 and P5 had the highest number of correlated features, while no correlation was found for P2, P9, and P13.

Figure 6 shows the identified important contextual features of the deep sleep ratio. No contextual feature was selected at the cohort level. At the individual level, no contextual feature was selected for 9 out of 16 participants. P3 and P11 had the highest number of selected features for the deep sleep ratio.

5 DISCUSSIONS

With the burgeon of consumer sleep tracking technologies, there has been an increasing analytical need to interpret personal sleep data within a user's behavioural and physiological context. In response to this need, several prior studies have considered the relationships between sleep and the daily aggregations of contextual factors (Bauer et al., 2012; Bentley et al., 2013; Kay et al., 2012; Liang, Ploderer, et al., 2016), but the intraday temporal patterns of the contextual factors were largely neglected. In this study, we directed the focus to the intraday temporal patterns and characteristics of the heart rate and step time-series data, which can be readily measured together with sleep data using consumer activity trackers such as Fitbit. We derived time-domain, frequency-domain, and nonlinear features from the minute-by-minute intraday time series and proposed an ensemble feature selection method to identify the most important intraday features that were significantly associated to target sleep metrics.

This study yielded two principal findings. First, the intraday temporal patterns of the behavioural and physiological data collected with consumer activity trackers encoded valuable contextual information for sleep analysis. Second, the correlation analysis results generated at the cohort level are likely to deviate from the correlations at the individual level.

Some of the identified contextual features could lead to intuitive interpretations that generated actionable insights. The zero-crossing of the intraday step time-series was an important contextual factor at the individual level for TST and WASO. At the individual level, it shows that a decrease in zero-crossing was associated with increased sleep hours

for P10 and P14, but increased wake time for P12. Since zero-crossing is an indicator of the noisiness of a signal (Liang, 2021), it is indicated that P10 and P14 were likely to achieve longer sleep hours by improving the regularity of their daily physical activity, while P12 may pursue the opposite to reduce wake time during sleep. Zero-crossing has been an important feature in EEG-based automatic sleep staging (Şen et al., 2014). Our finding suggests that the zero-crossing of intraday step time series collected using consumer activity trackers may serve as a predictor of night sleep, though it requires further analysis to confirm this hypothesis.

The mean absolute difference of the intraday heart rate time series was an important contextual factor of all the target sleep metrics at the individual level. An increase in the mean absolute difference of the intraday heart rate was associated with increased TST for P4, decreased WASO for P1 and P14, and increased deep sleep ratio for P11. Since being engaged in more intense physical activity during the day is linked to the increased mean absolute difference in heart rate, these participants may attempt to integrate exercise into daily routines for better sleep at night.

Contextual factors such as the absolute energy of the intraday heart rate time series also yielded actionable insights. An increase in the absolute energy of the heart rate time series was positively associated with WASO for P8 but was negatively associated with WASO for P12. Correspondingly, P8 may benefit from spending more time in the low heart rate zone while P12 may benefit from the opposite.

On the other hand, some of the nonlinear features may not provide insights that can be immediately acted on, but they may generate interesting hypotheses that inspire further scientific studies. Several selected nonlinear features were derived from the intraday step time series using recurrence quantitative analysis (RQA). For example, the average diagonal line length (negatively associated to TST for P12 and positively associated to WASO for P16), the longest diagonal line length (positively associated to WASO for P5 and to TST for P16), the entropy of the vertical line length (positively correlated to WASO P6 and to deep sleep ratio for P12) were important contextual features of sleep for certain participants. Chaos-based analysis of human physiological data has become widely adopted for diagnosing motor-control and cardiovascular diseases (Dingwell & Cusumano, 2000; Wu et al., 2009). Similarly, the nonlinear chaotic features derived from the intraday personal health data may represent a

promising method for predicting sleep quality or diagnosing sleep problems in daily life settings.

6 CONCLUSIONS

In this study, we demonstrated the importance of considering the intraday temporal patterns of steps and heart rate for context-aware sleep analysis with personal health data. The statistical, spectral, morphological, and nonlinear features of the intraday time series could all provide valuable predictive information of sleep at night and should be routinely included in personal informatics analysis. While some intraday features provided actionable insights that could guide behaviour change for better sleep, others may generate interesting hypotheses that inspire further scientific studies. In the meantime, the individual-level analysis may be preferred over cohort-level analysis for generating personalized insights on sleep health.

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