

# Integrated Label Transfer for Oligodendrocyte Subpopulation Profiling in Parkinson's Disease and Multiple System Atrophy

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**Keywords:** CCA, Data Integration, Seurat, Label Transfer, Synucleinopathy, Parkinson's Disease, MSA.

**Abstract:** Transfer of cell type labels as part of the comprehensive integration of multiple single nucleus RNA sequencing (snRNAseq) datasets offers a powerful tool for comparing cell populations and their activation states in normal versus disease conditions. Another potential use for these methods is annotation alignments between samples from different anatomic areas. This study describes and evaluates an integration analysis applied for profiling of oligodendrocyte lineage nuclei sequenced from human brain putamen region tissue samples for healthy Control (n = 3), Parkinson's Disease (PD; n = 3) and Multiple System Atrophy (MSA; n = 3) subjects with label transfer to substantia nigra region tissue samples for healthy Control (n = 5) subjects. PD and MSA are both synucleinopathies, progressive neurodegenerative disorders characterized by nervous system aggregates of  $\alpha$ -synuclein, a protein encoded by the SNCA gene. Histologic findings and genetic evidence suggest links between oligodendrocyte biology and synucleinopathy pathogenesis. In this work, we first identify disease-associated changes among transcriptionally distinct oligodendrocyte subpopulations in putamen. We then apply label transfer methods to generalize our findings from putamen to substantia nigra, a brain region characteristically impacted in PD and variably affected in MSA. Interestingly, our analysis predicts oligodendrocytes in substantia nigra include a significantly greater proportion of an oligodendrocyte subpopulation identified in putamen as most highly overexpressing SNCA in PD. Our results provide new insights into oligodendrocyte biology in PD and MSA and our workflow provides an example of label transfer methods applied for cross-dataset exploratory purpose.

## 1 INTRODUCTION

Synucleinopathies are a group of progressive neurodegenerative disorders characterized by nervous system aggregates of  $\alpha$ -synuclein protein (Coon, Cutsforth-Gregory & Benarroch, 2018). PD is the most common synucleinopathy and the second most common chronic neurodegenerative disorder, affecting 1% of the population over age 60 (Tysnes & Storstein, 2017). MSA occurs at a much lower frequency than PD and has an estimated incidence rate of 0.6 per 100,000 people (Vanacore, Bonifati, Fabbrini, et al., 2001). Intracellular inclusions of  $\alpha$ -synuclein are observed on post-mortem microscopic exam of central nervous system tissue (CNS) in both PD and MSA, but the cellular location of  $\alpha$ -synuclein and patterns of CNS involvement differ between the disorders. In PD,  $\alpha$ -synuclein aggregates are observed

mainly as neuronal intracellular collections (Lewy bodies) (Spillantini, Schmidt, Lee, et al., 1997). In MSA, in contrast,  $\alpha$ -synuclein aggregates occur most frequently as oligodendroglial cytoplasmic inclusions (Inoue, Yagishita, Ryo, et al., 1997; Hague, Lento, Morgello, et al. 1997). Death of neurons in the substantia nigra pars compacta is particularly characteristic of PD, with lesion involvement progressing from the brainstem and midbrain to the neocortex observed over the course of the disease (Del Tredici & Braak, 2016). While striatonigral degeneration occurs to varying degrees in MSA, concurrent and more variable involvement of the cerebellum and autonomic nervous system are further clinical features in MSA (Inoue et al., 1997; Hague, et al., 1997).

SNCA is the gene encoding  $\alpha$ -synuclein, a 140 amino acid protein known to participate in vesicle

exocytosis, endocytosis, and neurotransmitter vesicle cycling (Maroteaux, Campanelli, & Scheller, 1988). Studies have also localized this protein to the cell nucleus, where direct interaction with DNA and histone proteins (Pinho, Paiva, Jercic, et al., 2019) and modulation of DNA damage responses (Goers, Manning-Bog, McCormack, et al. 2003) have been reported. The complete spectrum of  $\alpha$ -synuclein activities and how this protein may contribute to the development and progression of each of the synucleinopathies are not fully understood.

Genome-wide association studies (GWAS) and transcriptomic profiling of human brain tissue have highlighted potential roles for glial cells in the synucleinopathies, with particularly strong evidence implicating oligodendrocyte biology in PD (Bryois, Skene, Hansen, et al., 2020; Smajic, Prada-Medina, Landoulis, et al., 2020; Nalls, Blauwendraat, Vallerga, et al., 2019; Reynolds, Botia, Nalls, et al., 2019). Inadequate metabolic support for neurons, overactive stress and inflammatory response signaling, and dysfunctional autophagy have been suggested as mechanisms by which oligodendrocytes might contribute to PD development (Teeple, Jindal, Kiragasi, Annaldasula, et al., 2020; Bryois, et al., 2020; Reynolds, et al., 2019). Oligodendrocyte-specific differentially expressed genes have also been linked with variants significantly associated with PD risk by GWAS in analyses of snRNAseq data from healthy human donor substantia nigra tissues (Agarwal, Sando, Volpato, et al., 2020) and mouse nervous system single-cell data (Bryois, et al., 2020). The present analysis was undertaken in order to further profile the relationship between midbrain oligodendrocyte population heterogeneity and  $\alpha$ -synuclein biology as part of a comprehensive analysis of PD and MSA snRNAseq data with label transfer methodologies found in this analysis to reveal new insights (Teeple, Joshi, Pande, Huang, et al., 2021).

## 1.1 Related Work

The development and ongoing refinement of single nucleus RNA sequencing (snRNAseq) techniques have greatly advanced our ability to understand the heterogeneity and functional activities of cell populations in the brain and nervous system. Cells are the basic unit of the multicellular organism, but although cells in the brain share DNA, each differs in its transcriptional activities, epigenetic modifications, and functions in and responses to its microenvironment (Duran, Wei, & Wu, 2017). Neuronal cells in the brain form densely interconnected, diversified networks where structure

and cell functional activation states support and coordinate dynamic and complex processes, for example memory encoding, vision, and motor coordination. Non-neuronal cell populations intermixed in these cellular networks support neuronal metabolism, facilitate signal transmission, and modulate vascular flow and immune responses, among many other activities (Duran et al., 2017).

Sequencing of nuclei in a tissue sample yields a unique molecular identified (UMI) count matrix. This matrix includes integer counts of the number of RNA molecules for each feature (gene) identified in each nucleus (one nucleus per cell). In the analysis of snRNAseq data, variations in gene counts between nuclei are used both to cluster cell types (by similar patterns of gene expression in nuclei) as well as for differential expression analysis where different cell groups are compared with respect to their mean expression of different genes. Pre-processing of snRNAseq data includes initial filtering of UMI data tables to remove low quality rows (ie those nuclei with few counts or very many, which likely represent data for empty droplets or multiplets, respectively) and cells with very high percentages of mitochondrial genes (Hao, Hao, Andersen-Nissen, et al., 2021). These filtering steps are undertaken to ensure high quality data are used for downstream analyses.

Variations in sequencing depth may result in different numbers of molecules being detected in different cells. Normalization of UMI count matrices is therefore performed to address this technical variability as a preprocessing step. Options for normalization include log normalization of gene expression measurements for each cell followed by scale factor multiplication (Hao et al. 2021) as well as an alternative method, sctransform, which takes sequencing depth as a covariate in a generalized linear model and yields the residuals of a regularized negative binomial regression for use as effectively normalized data (Hafemeister & Satija, 2019). The sctransform modelling framework has been proposed as a method by which to remove technical characteristics from data while preserving cell-to-cell biological heterogeneity.

In addition to technical effects, joint analysis of multiple samples presents further challenges, as this requires matching cell subpopulations across datasets. Stuart et al. 2019 have proposed and implemented a comprehensive strategy for integration of single cell datasets (Stuart, Butler, Hoffman, et al., 2019). Applying concepts from statistical learning, their approach combines single cell datasets through the application of canonical correlation analysis (CCA) and mutual nearest

neighbors profiling for the task of identifying 'anchors', pairwise correspondences of cell states between datasets. These anchor correspondences, once identified, are then used to transform multiple UMI tables into a shared space for integrated comparisons -- also the transformation derived from creating an integrated reference can then be applied to other query datasets for aligned comparisons.

Integrated reference datasets may be used to transfer predicted cell type labels to a query sample, efficiently labelling newly processed data. Another possible use for these methods, however, is exploration of research datasets to examine whether cell transcriptomic states identified in one dataset may resemble particular subpopulations in a query data set. Here, we present such an analysis, using an integrated reference constructed from Control, MSA, and PD putamen data with Control substantia nigra data as our query.

## 1.2 Problem Formulation and Aims

Clustering of integrated snRNAseq data is performed after filtering, normalization, and integration. The features (genes) in the integrated data with the highest cell to cell variation are used for clustering. PCA is performed on the subset of these highly variable genes for dimension reduction, followed by unsupervised clustering using optimization of a modularity function for different parameter settings to generate cluster solutions (Hao, et al., 2021). Seurat version 4, the software package used in this analysis, uses a graph-based clustering approach that includes selection of a resolution parameter based on stratification of cell-specific feature (gene expression) markers among identified clusters. When working with a single integrated dataset, cluster identities can be assigned by feature differential expression comparisons, with subsets of genes whose expression is particular to certain clusters used for annotation of cell types.

When clustering a single or integrated dataset, feature expression patterns selected by the above workflow for clustering (and the final cluster solutions themselves) will depend on sample composition. For example, analyzing an snRNAseq dataset with many neurons and few other glial cell types will result in the selection of highly variable genes which differ among neurons as the most highly variable features and clustering will likely separate more neuron subclusters than other types. Using such a neuron-predominant reference in a query dataset without many neurons would have limitations, in that the features selected for use in the transformation may

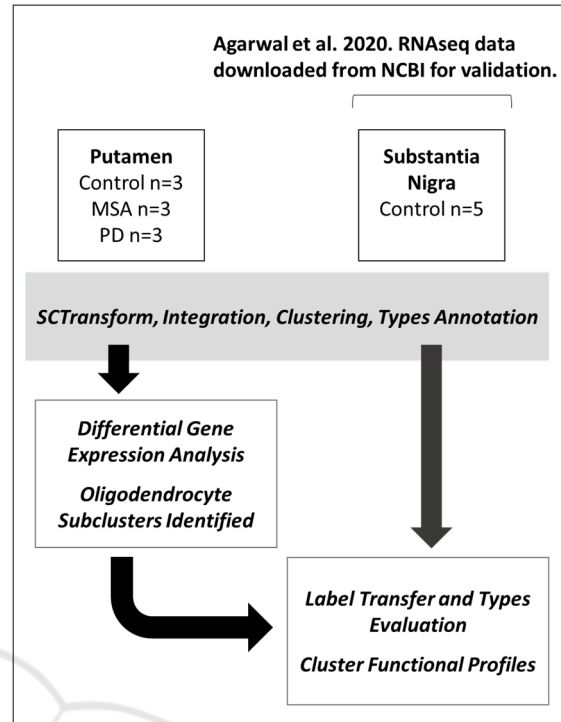


Figure 1: Data analysis workflow schematic.

not perform well for identifying non-neuronal cell subpopulation clusters. Thus, an issue to consider when using snRNAseq label transfer for exploratory research as presented here is whether cell type compositions may be similar. For profiling oligodendrocytes in substantia nigra using a putamen reference, then, a first step to be taken before examining the results of label transfer is to assess the generalizability of the reference to the query dataset. Therefore, we include in this workflow an annotation of nuclei population types for both putamen and substantia nigra to first confirm that oligodendrocyte nuclei are being broadly correctly identified by label transfer predictions. We then explore the results of label transfer among oligodendrocyte subpopulations as the next stage of the analysis.

## 2 METHODS AND PROCEDURES

Post-mortem fresh-frozen unfixed human putamen samples were each obtained through partnerships with licensed organizations with completed pre-mortem consent for donation and ethical committee approval for sample acquisition and use (Teeple et al, 2021). Samples used for single-nucleus RNA sequencing (snRNA-seq) were putamen tissue sections from nine human donors (n =3 per group,

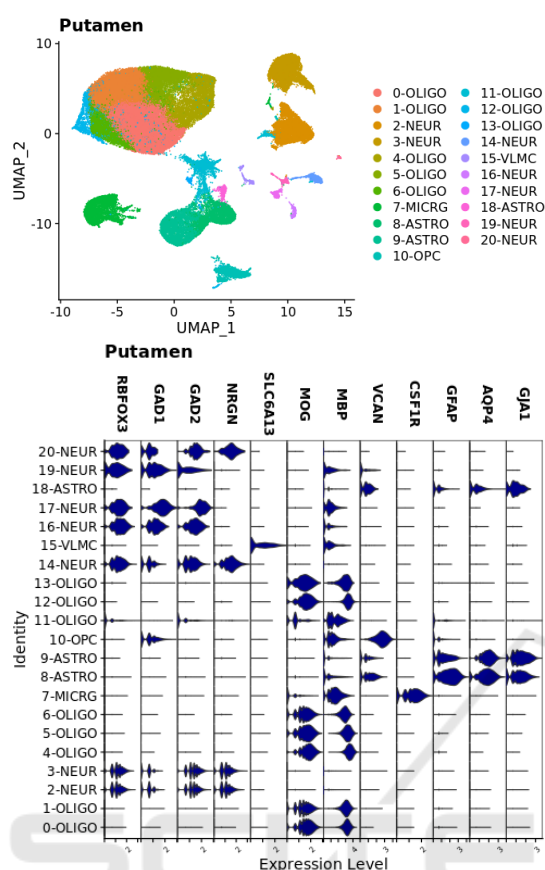


Figure 2: Putamen sample nuclei integrated and clustered. Plots are UMAP of principle components coloured by cluster identity. Expression levels for type-specific markers are shown in violin plots by cluster.

mean age in years  $\pm$  SD: Control,  $78.7 \pm 9.5$ ; PD,  $79.7 \pm 5.5$ ; MSA,  $65.0 \pm 10.6$ ).

**Nuclei Isolation:** Samples were stored at  $-80^{\circ}\text{C}$ . For tissue lysis and washing of nuclei, tissue sections were added to 1 mL lysis buffer (Nuclei PURE lysis buffer, Sigma) and thawed on ice. Samples were then Dounce homogenized with PestleAx20 and PestleBx20 before transfer to a new tube, with the addition of additional lysis buffer. Following incubation on ice for 15 minutes, samples were then filtered using a 30 mM MACS strainer (MACS strainer, Fisher Scientific), centrifuged at 500xg for 5 minutes at  $4^{\circ}\text{C}$  using a swinging bucket rotor (Sorvall Legend RT, Thermo Fisher), and then pellets were washed with an additional 1 mL cold lysis buffer and incubated on ice for an additional 5 minutes. Samples were then centrifuged at 500g for 5 minutes at  $4^{\circ}\text{C}$  and then were resuspended in 1mL Nuclei PURE Storage Buffer (Nuclei PURE storage buffer, Sigma). Sample washing was performed until the supernatant

cleared. A final resuspension was then prepared in 0.6mL wash buffer before NeuN/Dapi staining and FACS sorting was performed. For NeuN/Dapi and FACS sorting, from 0.6 mL nuclei sample, 540 mL, 30 mL, and 30 mL were aliquoted into tubes for sample and controls and then 10X Dapi/NeuN buffer was added to tubes for a final 1X concentration. Tubes were then incubated on ice for 30 minutes, with inversion every 10 min. Following incubation, samples were spun at 500xg for 5 min, supernatant removed, and samples were resuspended in 600 ul Wash buffer for samples (300 ul for control tubes). Nuclei then underwent filtering and sorting using BD Bioscience InFlux Cell Sorter.

**Library Preparation and NovaSeq Sequencing:** Libraries were prepared according to 10xGenomics protocol for Chromium Single Cell 3' Gene Expression V3 kit. NovaSeq sequencing was performed according to illumine NovaSeq 6000 protocol. UMI count matrices generated by Cellranger V3.0.2.

## 2.1 Sample Integration and Annotation

A workflow schematic for data integration and analysis steps for putamen and substantia nigra samples is shown in Fig. 1.

**Putamen:** Summary information for final UMI count matrices for nuclei by individual samples together with nucleus barcodes and gene labels were loaded with R version 4.0.0/RStudio for sample integration and unsupervised clustering using Seurat Package version 4.0.1. For Quality Control (QC), nuclei were filtered following standard protocols based on examination of violin plots. Cutoffs  $200 < \text{nFeature\_RNA} < 9000$  and  $\text{percent.mt} < 5$  were used. Filtered matrices were individually normalized by sample according to Seurat workflows for SCTransform. After quality filtering, 87,086 total nuclei were included in the final dataset. Sample integration was performed using the R package Seurat using the FindIntegrationAnchors and IntegrateData functions for 3000 variable features. Clustering resolution 0.5 and 30 dimensions were used for the final clustering. Broad type annotations were assigned based on expression of canonical markers: oligodendrocyte precursor cell (OPC; VCAN), oligodendrocyte (OLIGO; MOG, MBP), neuron (NEUR; RBFOX3, SNAP25, GAD1, GAD2, NRGN), astrocyte (ASTRO; GFAP, AQP4, GJA1), microglia (MICRG; CSF1R), and vascular leptomeningeal cells (VLMC; SLC6A13). UMAP

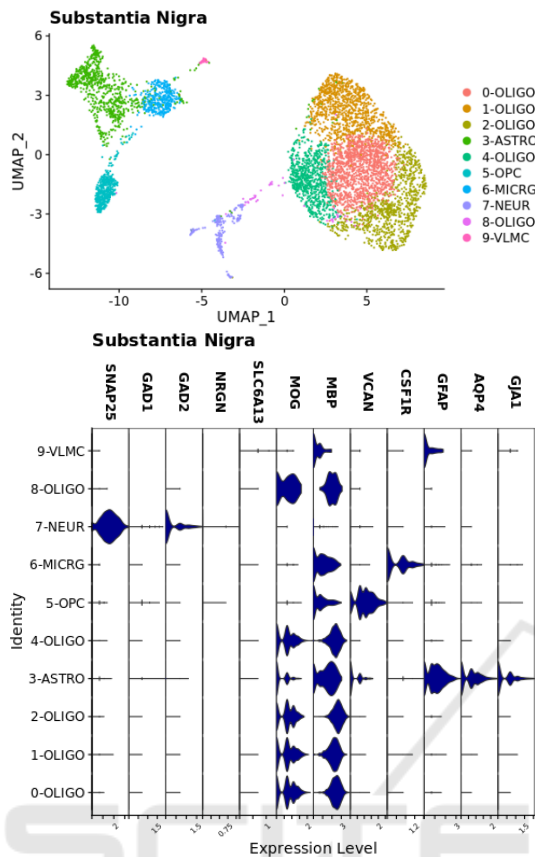


Figure 3: Substantia nigra sample nuclei integrated and clustered. Plots are UMAP of principle components coloured by cluster identity. Expression levels for type-specific markers are shown in violin plots by cluster.

plots for cluster assignments together with violin plots for expression of broad types markers, and types annotations are shown in Fig. 2.

**Substantia Nigra:** Data was downloaded from supplementary files from Agarwal et al. 2020 for substantia nigra samples (5943 nuclei) obtained from five human donors and sequenced using the 10x Genomics Chromium Platform (Agarwal, et al., 2020). These files are accessible online through the NCBI interface: <https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE140231>. Integration and broad cell type annotations were performed for Substantia Nigra data separately in R using the Seurat package, version 4.0.1. A similar workflow as for putamen samples was followed. Pre-processing cut-offs were selected based on initial QC plots:  $200 < nFeatureRNA < 7500$  and  $percent.mt < 5$ . Data were normalized at the individual sample level using SCTransform and then integrated using FindIntegrationAnchors and IntegrateData as

described in the Seurat data integration workflow with 3000 variable features and cluster resolution 0.5. The number of PCs used for clustering ( $n = 30$ ) was chosen to optimize separation between clusters. Broad cell types were assigned for each cluster based on marker expression levels as for putamen (Fig. 3).

## 2.2 Differential Gene Expression

Differentially expressed genes for PD versus Control and MSA versus Control were identified within each cluster using the Seurat FindMarkers() function and the MAST package (Finak, McDavid, Yajima, et al., 2015) for differential gene expression analysis comparisons. Pathway enrichment analysis for differentially expressed genes was performed using Qiagen Ingenuity Pathway Analysis (IPA) software (Kramer, Green, Pollard, & Tugendreich, 2014) using adjusted  $p$ -value  $< 0.05$  and  $abs(\log_2 \text{ fold change})$  cutoff 0.35. For identification of cluster marker genes, FindMarkers was used with the MAST package for the comparison of the selected cluster versus all other nuclei. Functional enrichments for markers were queried using the Enrichr platform (Xie, Bailey, Kuleshov, et al., 2021).

Table 1: Broad Cell Types Proportions.

Cell Type	Tissue Source - Condition	Mean Proportion $\pm$ Standard Deviation
Oligodendrocyte	Putamen - Control	66.5 $\pm$ 14.3
	Putamen - PD	64.2 $\pm$ 24.5
	Putamen - MSA	64.6 $\pm$ 13.2
	Subst. Nigra - Control	63.8 $\pm$ 16.9
Neuron	Putamen - Control	14.5 $\pm$ 6.6
	Putamen - PD	13.9 $\pm$ 14.8
	Putamen - MSA	18.7 $\pm$ 12.1
	Subst. Nigra - Control	5.5 $\pm$ 5.5
Astrocyte	Putamen - Control	9.4 $\pm$ 5.9
	Putamen - PD	12.6 $\pm$ 7.4
	Putamen - MSA	7.4 $\pm$ 1.4
	Subst. Nigra - Control	16.0 $\pm$ 8.6
Microglia	Putamen - Control	4.1 $\pm$ 0.8
	Putamen - PD	6.3 $\pm$ 2.1
	Putamen - MSA	4.8 $\pm$ 1.2
	Subst. Nigra - Control	5.4 $\pm$ 3.7
OPC	Putamen - Control	5.1 $\pm$ 1.6
	Putamen - PD	2.4 $\pm$ 0.3
	Putamen - MSA	3.8 $\pm$ 1.5
	Subst. Nigra - Control	8.4 $\pm$ 4.5
VLMC	Putamen - Control	0.4 $\pm$ 0.4
	Putamen - PD	0.7 $\pm$ 0.5
	Putamen - MSA	0.7 $\pm$ 0.5
	Subst. Nigra - Control	0.9 $\pm$ 0.4

### 2.3 Label Transfer

Label transfer was performed in Seurat using the FindTransferAnchors and TransferData functions to predict substantia nigra nuclei type for broad cell types and subpopulation clusters as identified in putamen reference samples. Accuracy of broad types classifications was calculated using annotations made for the substantia nigra dataset as ground truth. To examine which cluster markers identify oligodendrocytes in the 4-OLIGO subcluster, the function FindMarkers, using MAST for differential expression testing, was applied for cluster 4-OLIGO in Control putamen samples and for nuclei predicted to belong to 4-OLIGO in Control substantia nigra in comparison to all other sample nuclei.

## 3 RESULTS

### 3.1 Broad Cell Types

After quality filtering, nuclei from human putamen tissue samples included Control (n = 3 donors; 22,297 nuclei), PD (n = 3 donors; 32,301 nuclei), and MSA (n = 3 donors; 32,488 nuclei). Data for nuclei from substantia nigra were Control (n = 5 donors; 6,018 nuclei). For both putamen and substantia nigra samples, oligodendrocytes were found to be the dominant cell type. Table 1 presents a summary of broad cell types proportions for each tissue type and condition.

### 3.2 Oligodendrocytes in Putamen

Unsupervised clustering of integrated putamen sample data identified eight oligodendrocyte clusters from their transcriptomic features. Pathway enrichment analysis for differentially expressed genes in PD versus Control oligodendrocyte nuclei and MSA versus Control oligodendrocyte nuclei revealed differences in gene expression changes between PD and MSA. In IPA comparison pathway enrichment analysis, more prominent differences in expression of genes linked with unfolded protein responses and stress signalling were observed in PD oligodendrocytes (Fig. 4). SNCA expression among cell clusters was also compared, revealing oligodendrocyte clusters 4-OLIGO and 5-OLIGO as subpopulations with the most pronounced increases in SNCA expression in PD while this expression pattern was absent in MSA and in Control oligodendrocytes (Fig. 5).

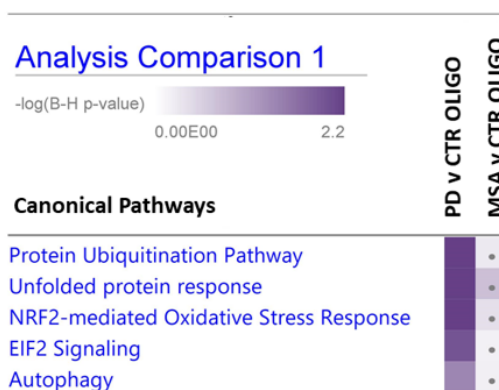


Figure 4: Pathway enrichments for oligodendrocyte nuclei differentially expressed genes. (grey dot: p-adj>0.05).

### 3.3 Predicted Cell Types

Using profiled putamen nuclei as a reference, the accuracy of oligodendrocyte nuclei classification by label transfer for substantia nigra oligodendrocytes was 98%. A summary of accuracy across all cell types is shown in Fig. 6. Prediction of oligodendrocyte subtypes was then performed, which was found to

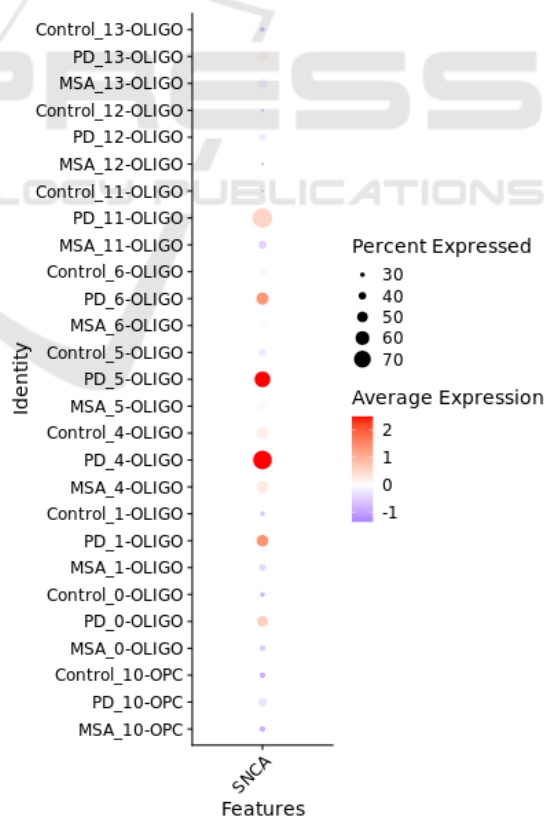


Figure 5: Comparative proportions and average expression of SNCA in oligodendrocyte lineage clusters.

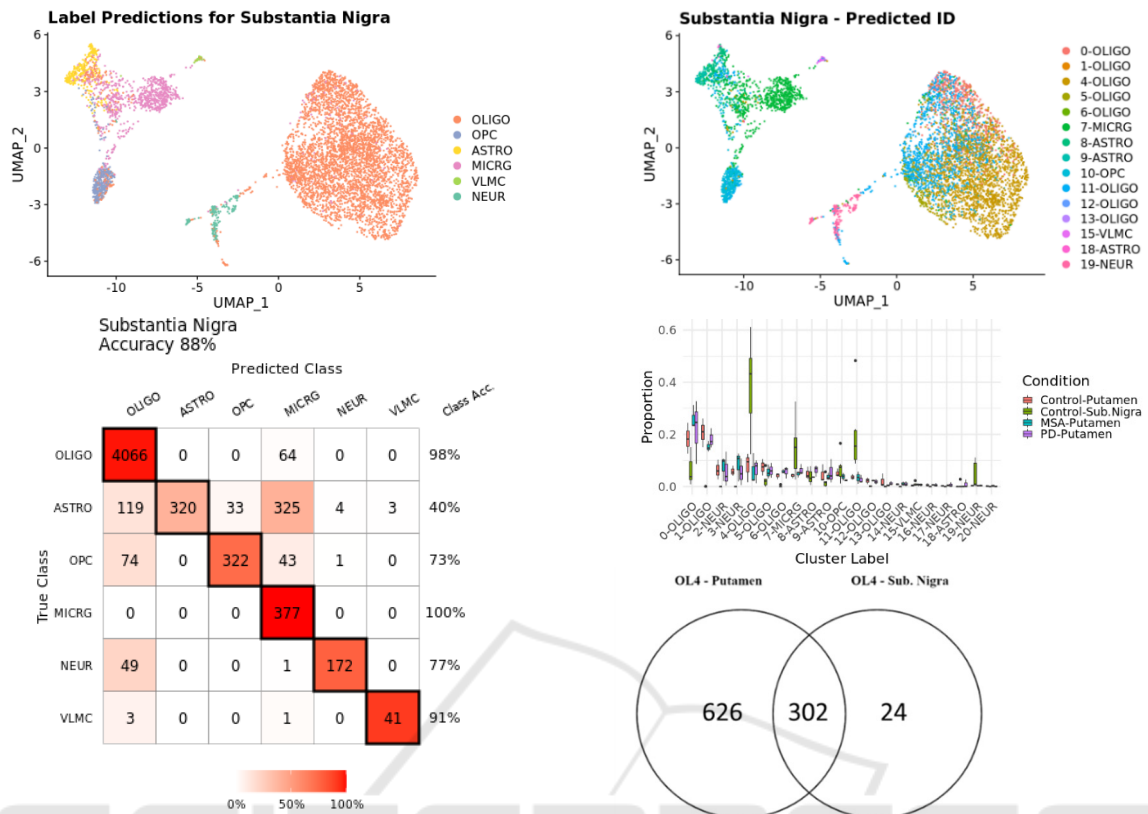
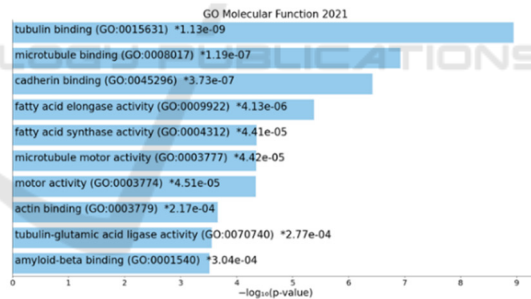


Figure 6: Prediction of broad cell types from putamen reference and confusion matrix with class accuracies.

identify a greater than expected number of oligodendrocytes as the 4-OLIGO type. Predicted subpopulations are identified in label transfer based on similarities in gene expression patterns, and these gene expression patterns may be functionally annotated by gene set enrichment analysis relative to pathway and function annotation references. Marker genes are genes differentially expressed within a cluster relative to all other nuclei. We compared cluster markers for 4-OLIGO nuclei in putamen with marker genes for the predicted 4-OLIGO subcluster of substantia nigra. Remarkably, 302 genes were identified as shared markers for both the 4-OLIGO cluster in putamen and the predicted 4-OLIGO nuclei in substantia nigra. Functional enrichments for the putamen 4-OLIGO gene set and the common gene markers for predicted cluster nuclei are shown in Fig. 7. Prominent among these enriched pathways and functions are microtubule binding and folding.

Putamen OL4 Cluster Markers



Putamen-Substantia Nigra OL4 Intersection

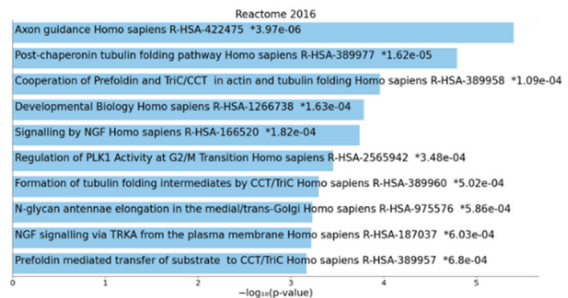


Figure 7: Predictions shown in UMAP project and predicted nuclei population proportions. Overlap of markers for 4-OLIGO cluster and functional enrichments.

## 4 CONCLUSIONS

Recent methods developed for single cell and single nucleus sequencing have enabled more comprehensive studies and profiling of oligodendrocytes in different brain diseases (Agarwal et al., 2020; Smajic, et al., 2020; Jakel, Agirre, Falcao, et al., 2019) as well provided new avenues for exploratory and comparative analyses. In this study, we apply label transfer methods to generalize our disease versus control putamen region comparisons to another brain region to newly identify an expanded subpopulation of transcriptionally similar nuclei in substantia nigra. While we observe a greater predicted proportion of an oligodendrocyte subtype in substantia nigra which is identified as overexpressing SNCA in PD in putamen, it remains to be further understood how functional activities in oligodendrocyte subpopulations relate to  $\alpha$ -synuclein biology and synucleinopathy disease processes.

Oligodendroglial cytoplasmic inclusions of  $\alpha$ -synuclein protein are described as the predominant neuropathological finding in MSA; neuronal  $\alpha$ -synuclein aggregates are described as being more prominent in PD, although varying degrees of neuronal and oligodendroglial involvement are reported in both disorders (Jellinger, 2018; Henderson, Trojanowski, & Lee, 2019. Gillman, Wenning, Low, et al., 2008). SNCA mutations, duplications, and triplications have been causally linked with familial PD in multiple studies (Ibanez, Bonnet, Debarges, et al., 2004; Polymeropoulos, Lavedan, Leroy, et al., 1997; Singleton, Farrer, Johnson, et al., 2003). While genetic variants within the SNCA locus have also been associated with MSA in a few studies (Scholz, Houlden, Schulte, et al., 2009; Kiely, Asi, Kara, et al., 2013), the connection between SNCA overexpression in oligodendrocytes and MSA is less clear. Cell-to-cell transmission of highly pathogenic misfolded  $\alpha$ -synuclein proteins from neurons to oligodendrocytes has been hypothesized as one potential explanation for the prominent oligodendroglial inclusions observed in MSA (Peng, Gathagan, Covell, et al., 2018). Our observation of lower levels of oligodendrocyte SNCA expression in MSA versus PD may lend some further support to this theory. Yet it remains to be further explored how increased SNCA expression in oligodendrocytes may relate to PD pathogenesis and how disease mechanisms may vary between PD, MSA, and other synucleinopathies.

Our observation of different oligodendrocyte transcriptional changes suggests that PD and MSA, while both synucleinopathies, may differ in their

pathological mechanisms. Future work, including the analysis of greater numbers of patient samples is needed to verify and generalize our observations. In addition, further studies are needed to examine how gene expression changes relate to protein levels by orthogonal analytic methods. The oligodendrocyte subpopulations profiled here exhibit distinctive functional activities which may offer promising therapeutic targets for these debilitating and often lethal diseases.

## ACKNOWLEDGEMENTS

We thank Dr. Srinivas Shankara for critical review and insightful feedback on this paper.

This work was supported by Sanofi. E.T., P.J., R.P., Y.H., A.K., M.L.M., S.P.S., A.C.M., K.W.K., A.F.M., S.L.M., D.K.R., and D.K. are employees of Sanofi and may hold shares and/or stock options in the company.

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